

# Formation of nitrogen- and sulfur-containing light-absorbing compounds accelerated by evaporation of water from secondary organic aerosols

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[1] Aqueous extracts of secondary organic aerosols (SOA) generated from the ozonolysis of d-limonene were subjected to dissolution, evaporation, and re-dissolution in the presence and absence of ammonium sulfate (AS). Evaporation with AS at pH 4–9 produced chromophores that were stable with respect to hydrolysis and had a distinctive absorption band at 500 nm. Evaporation accelerated the rate of chromophore formation by at least three orders of magnitude compared to the reaction in aqueous solution, which produced similar compounds. Absorption spectroscopy and high-resolution nanospray desorption electrospray ionization (nano-DESI) mass spectrometry experiments suggested that the molar fraction of the chromophores was small (<2%), and that they contained nitrogen atoms. Although the colored products represented only a small fraction of SOA, their large extinction coefficients ( $>10^5 \text{ L mol}^{-1} \text{ cm}^{-1}$  at 500 nm) increased the effective mass absorption coefficient of the residual organics in excess of  $10^3 \text{ cm}^2 \text{ g}^{-1}$  - a dramatic effect on the optical properties from minor constituents. Evaporation of SOA extracts in the absence of AS resulted in the production of colored compounds only when the SOA extract was acidified to pH  $\sim 2$  with sulfuric acid. These chromophores were produced by acid-catalyzed aldol condensation, followed by a conversion into organosulfates. The presence of organosulfates was confirmed by high resolution mass spectrometry experiments. Results of this study suggest that evaporation of cloud or fog droplets containing dissolved organics leads to significant modification of the molecular composition and serves as a potentially important source of light-absorbing compounds.

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## 1. Introduction

[2] Monoterpenes ( $\text{C}_{10}\text{H}_{16}$ ) comprise a significant fraction of biogenic emissions [Geron *et al.*, 2000]. Numerous laboratory, field, and modeling studies have demonstrated that atmospheric oxidation of monoterpenes by  $\text{O}_3$  and OH is a globally important source of secondary organic aerosols (SOA) [Hallquist *et al.*, 2009; Kanakidou *et al.*, 2005]. Laboratory measurements have shown that monoterpene SOA efficiently activate cloud and fog droplets due to the high water solubility of the SOA material [Engelhart *et al.*, 2008; Hartz *et al.*, 2005; van Reken *et al.*, 2005]. Likewise,

atmospheric modeling studies show that the composition of the aerosol can significantly affect cloud droplet properties [Ervens *et al.*, 2005; Roesler and Penner, 2010].

[3] The nuclei of clouds and fogs commonly represent mixtures of organic material and inorganic compounds such as ammonium sulfate,  $(\text{NH}_4)_2\text{SO}_4$  (AS). Once formed, the droplets continue to build up dissolved organic and inorganic material [Seinfeld and Pandis, 1998] by wet deposition of water-soluble compounds, including polar organics [Facchini *et al.*, 1999; Limbeck and Paxbaum, 2000]; aqueous oxidation of  $\text{SO}_2$  to  $\text{H}_2\text{SO}_4$  [Finlayson-Pitts and Pitts, 2000]; cloud-processing of volatile organics [Carlton *et al.*, 2008; Ervens *et al.*, 2008; Huang *et al.*, 2011]; scavenging additional particles; droplet coalescence; and a number of other processes [Pruppacher and Klett, 1997; Seinfeld and Pandis, 1998]. The total dissolved organic carbon (DOC) content, inorganic ion content, and acidity are greatly enhanced in smaller atmospheric droplets, which alters the chemistry of the droplet [Barth, 2006; Bator and Collett, 1997; Chate and Devara, 2009]. For example, fog water

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can have a wide pH range of 2.1–7.6 [Collett *et al.*, 2002; Mancinelli *et al.*, 2005; Waldman *et al.*, 1982], DOC of up to 0.2 g/L [Capel *et al.*, 1990; Jacob *et al.*, 1984; Mancinelli *et al.*, 2005], and sulfate ( $\text{SO}_4^{2-}$ ) and ammonium ( $\text{NH}_4^+$ ) concentrations in excess of 0.15 g/L [Fisak *et al.*, 2002; Jacob *et al.*, 1984; Joos and Baltensperger, 1991]. The changes in DOC concentration with droplet size may be induced by, among other things, evaporation in cloud and fog droplets.

[4] When the relative humidity drops and the droplets evaporate, the residual particles contain a mixture of organic and inorganic compounds from the initial droplet nuclei and from the gases and inorganic compounds the droplets picked up during their lifecycle. The processes involving dissolution of particles followed by evaporation of the resulting droplets (cloud cycling) are quite common in the lower atmosphere [Hoose *et al.*, 2008; Pruppacher and Jaenicke, 1995]. Furthermore, any given cloud droplet exists on average only a few minutes [Pruppacher and Klett, 1997]. The clouds themselves last longer but most of them also dissipate via evaporation on a time scale of hours. “Attempted rains,” where droplets scavenge particles and gases during their descent and evaporate without ever hitting the ground, are also quite common [Lin and Rossow, 1996; Rosenfeld and Mintz, 1988]. Finally, nighttime fogs tend to scavenge soluble material during the night and evaporate in the morning.

[5] The increased concentration and acidity of DOC resulting from evaporation may promote various chemical reactions such as acid-catalyzed processes and condensation reactions that generate water as a reaction product. Chemical processes occurring during evaporation have received little attention from atmospheric chemists despite the fact that aerosols undergo a number of dissolution/evaporation cycles during their lifetime. Previous work focused on evaporation of single-component solutions of glyoxal and methylglyoxal, which was shown to result in formation of involatile oligomeric residues [De Haan *et al.*, 2009b; Loeffler *et al.*, 2006]. So far, there have been no studies of evaporation of solutions containing SOA material or SOA mixed with typical inorganic constituents of clouds and fogs.

[6] Evaporation processes that can produce light-absorbing compounds from the initially colorless SOA organics are of particular interest. Our recent work [Bones *et al.*, 2010; Laskin *et al.*, 2010] demonstrated that biogenic SOA produced by ozone-initiated oxidation of d-limonene undergoes browning as a result of chemical reactions between the carbonyl species in SOA and ammonia. The chromophores formed in these reactions are conjugated nitrogen-containing organic compounds (NOC). These reactions are similar in mechanism to the well-known Maillard browning reaction [Rizzi, 1997] between amino acids and reducing sugars. Formation of light-absorbing NOC species has also been observed in the reaction of 1,2-dicarbonyls (glyoxal, methylglyoxal) with hydrated AS aerosols [Trainic *et al.*, 2011], with aqueous solutions of amino acids [De Haan *et al.*, 2009a] and with aqueous solutions of AS [Galloway *et al.*, 2009; Sareen *et al.*, 2010; Shapiro *et al.*, 2009; Yu *et al.*, 2011]. Reactions of photo-oxidized solutions of pyruvic acid mixed with AS also produced colored compounds [Rincón *et al.*, 2009, 2010]. Light-absorbing species can also be produced by mechanisms that do not involve chemistry of reduced nitrogen. For example, photo-oxidation

of aromatics under high- $\text{NO}_x$  conditions produces aerosol that has intense brown color because of the presence of nitroaromatics [Jaoui *et al.*, 2008]. Aldol condensation of volatile carbonyls under highly acidic conditions results in formation of larger, light-absorbing, unsaturated carbonyls [Casale *et al.*, 2007; Esteve and Nozière, 2005; Garland *et al.*, 2006; Nozière and Esteve, 2005; Nozière *et al.*, 2006; Nozière and Esteve, 2007; Zhao *et al.*, 2005]. Oxidation of hydroxybenzoic acid by Fenton mechanism was shown to produce light-absorbing aromatic compounds [Gelençsér *et al.*, 2003]. As these processes involve condensation reactions, they should be promoted by evaporation, and more generally by low relative humidity conditions. Our previous work demonstrated that condensation oligomers are significantly enhanced in isoprene photooxidation SOA under low relative humidity conditions [Nguyen *et al.*, 2011]. The goal of this work is to examine the possibility of formation of light-absorbing “brown carbon” compounds and other major products during evaporation of aqueous solutions of model biogenic SOA in the presence and absence of AS.

## 2. Experimental Setup

### 2.1. Secondary Organic Aerosol Generation

[7] For the majority of experiments, model SOA was produced as previously described [Bones *et al.*, 2010] from the reaction of d-limonene, one of the most common atmospheric monoterpenes [Geron *et al.*, 2000], with ozone in a 17 L glass flow tube at ambient atmospheric pressure ( $750 \pm 10$  Torr), low relative humidity (<2%) and ambient temperature ( $295 \pm 2$  K). Throughout this work, the SOA produced from ozonolysis of d-limonene will be referred to as simply “SOA.” d-limonene (Sigma-Aldrich, 97%) was introduced into a flow of zero air and ozone via a syringe pump (Fisher, KDS100) at a rate of 25  $\mu\text{L/hr}$ . The injector was located at the flow cell entrance. Purified air was supplied by a purge gas generator (Parker75-62), while ozone was produced by flowing oxygen gas (99.994% purity) through a commercial ozone generator. The overall flow through the tube was 3 SLM (standard liters per minute) resulting in a 5–6 min residence time. The estimated steady state limonene mixing ratio in the absence of ozone was 20 ppm, and the measured ozone mixing ratio was around 100 ppm. The 5:1  $\text{O}_3$ :limonene ratio was selected to ensure full oxidation of both exo- and endo-cyclic double bonds in limonene [Maksymiuk *et al.*, 2009]. After the flow tube, the resulting mixture was sent through a denuder filled with activated charcoal where excess ozone and volatile organic compounds were removed. The SOA was collected on a pre-weighed filter (Millipore Fluoropore membrane filter, 0.2  $\mu\text{m}$  pore size) at 25 SLM (3 SLM from the cell + 22 SLM of makeup clean air). The filter loading was typically 2–3 mg of SOA material (weighed with Sartorius ME5-F filter balance;  $\pm 0.001$  mg precision) after operating the reactor for approximately 1 h. Additional SOA samples were prepared using lower limonene mixing ratios (300 ppb – 5 ppm), while keeping the  $\text{O}_3$ :limonene ratio at 5:1, to investigate the effect of the concentrations on the evaporative browning. Finally, some of the samples were also prepared in a 5  $\text{m}^3$  chamber as described by Bateman *et al.* [2011] using limonene mixing ratios below 1 ppm. The chamber-generated SOA had

the same properties as the flow-tube SOA produced at the same limonene and O<sub>3</sub> mixing ratios.

## 2.2. Dissolution, Evaporation, and Redissolution Experiments

[8] We performed experiments on evaporation of aqueous SOA extracts in the presence and absence of ammonium sulfate (AS) at various pH values. The freshly prepared SOA samples were extracted into ultra-purified water ( $R \approx 18 \text{ M}\Omega\text{-cm}$ , Thermo Barnstead Nanopure) using 15 min sonication to obtain a stock solution with a mass concentration of 0.3 g/L for the dissolved SOA material. We verified the completeness of the filter extraction by performing a secondary extraction of the same filter in water or methanol, which did not dissolve additional organic material, as demonstrated by negligible optical absorption around 200–300 nm (where most organic compounds absorb) of the secondary extract compared to the primary one. A fresh stock solution was prepared for each evaporation experiment and used immediately. A 2 mL aliquot of SOA extract was placed in a 10 mL glass vial. The pH was measured with a digital pH-meter (Mettler Toledo S20) against a calibrated Ag/AgCl reference electrode. The pH of the initial SOA solution at 0.3 g/L was typically  $4.5 \pm 0.3$  reflecting a significant amount of carboxylic acid functionality in SOA. In experiments with AS, a pre-mixed solution of AS was added to the vial to achieve a mass concentration ranging from 0.0003 to 3 g/L (either well below or in excess of the SOA mass concentration of 0.3 g/L). The addition of AS increased the solution pH somewhat ( $\Delta\text{pH} < 0.5$ ), depending on the amount added. The pH of the extract was further adjusted to pH = 2–9 by addition of pre-mixed solutions of H<sub>2</sub>SO<sub>4</sub> or NaOH. The AS addition, as well as pH adjustments, typically increased the volume of the SOA extracts in the vial by 5–200  $\mu\text{L}$ .

[9] The content of the vial was transferred to a standard 1 cm quartz cuvette, and a UV/Vis absorption spectrum was recorded in a dual-beam spectrophotometer (Shimadzu UV-2450) using ultra-purified water as the reference. The solution was then evaporated to dryness using a rotary evaporator (Buchi R-210) at a bath temperature of  $T = 50 \text{ }^\circ\text{C}$ . Because 50  $^\circ\text{C}$  is higher than the temperature at which atmospheric cloud and fog droplets normally evaporate, we first investigated the effect of the temperature on the extent of browning. Figure S1 in the auxiliary material shows that the chromophore concentration increased by about 30% when the temperature was reduced from 50  $^\circ\text{C}$  to 25  $^\circ\text{C}$  in our evaporation experiments.<sup>1</sup> Despite this temperature effect,  $T = 50 \text{ }^\circ\text{C}$  bath temperature was chosen to reduce the evaporation time of relatively large sample volumes (2–3 mL). For example, it takes <10 min to evaporate 2 mL from the vial at  $T = 50 \text{ }^\circ\text{C}$  as opposed to >60 min at 25  $^\circ\text{C}$ . As water was always present in the parts of the evaporator that were at room temperature and the pumping rate was relatively low, the pressure in the evaporator was around 15–20 Torr during the evaporation experiments, and it was dominated by the water vapor. This corresponds to about 16–22% relative humidity in the vial at the end of the

evaporation (equilibrium water vapor pressure at 50  $^\circ\text{C}$  is 93 Torr). We will come back to this point when we discuss the atmospheric implications of this work.

[10] After evaporation, the residue was redissolved in ultra-purified water to achieve the original SOA concentration of 0.3 g/L, and the UV/Vis absorption spectrum of the resulting solution was recorded, thus completing the dissolution/evaporation/redissolution cycle. The redissolution step was necessary in our experiments in order to quantitatively compare optical properties of the water-soluble organics before and after evaporation, and investigate the stability of the chromophores with respect to hydrolysis. For each set of pH and AS concentrations, the experiment was repeated 2–4 times using different SOA batches in order to assess the degree of variability in the results. Uncertainties are reported within one standard deviation.

[11] We quantify the degree of browning in terms of the effective average mass absorption coefficient ( $MAC$ , measured in the units of  $\text{cm}^2 \text{ g}^{-1}$ ) of the organic material, which can be directly calculated [Chen and Bond, 2010] from the base-10 absorbance  $A_{10}$  of an SOA extract with the solution mass concentration  $C_{mass}$  ( $\text{g cm}^{-3}$ ) measured over path length  $b$  (cm):

$$MAC(\lambda) = \frac{A_{10}^{solution}(\lambda) \times \ln(10)}{b \times C_{mass}} \quad (1)$$

It is also convenient to define  $\langle MAC \rangle$  averaged over the desired wavelength range, typically from 300 to 700 nm:

$$\langle MAC \rangle_{\lambda_1-\lambda_2} = \frac{1}{\lambda_2 - \lambda_1} \times \int_{\lambda_1}^{\lambda_2} MAC(\lambda) d\lambda \quad (2)$$

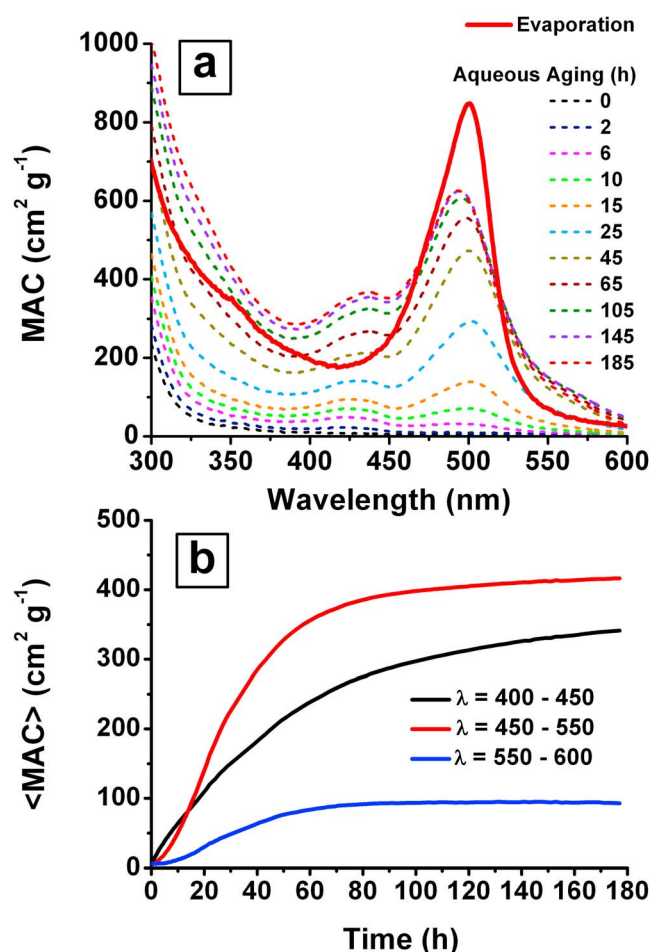
For the sake of consistency, we only included the mass concentration of the organic material in equations (1) and (2), and excluded the mass concentration of the inorganic additives (AS, H<sub>2</sub>SO<sub>4</sub>, and NaOH).

[12] We found that the calculated  $\langle MAC \rangle$  depended weakly on the initial limonene mixing ratio used in SOA preparation for SOA extracts examined at 0.3 g/L mass concentration. For example,  $\langle MAC \rangle$  for evaporated samples with AS (“brown samples”) increased by about 30% between the experiments using the lowest (300 ppb) and the highest (20 ppm) limonene mixing ratios. We have not corrected the measured values for these concentration effects. In view of the observed SOA precursor concentration dependence, the  $MAC$  values reported here should be treated as an upper limit to the  $MAC$  expected for atmospherically relevant limonene concentrations.

## 2.3. High Resolution Mass Spectrometry Analysis

[13] The solutions were analyzed before and after evaporation using a high-resolution LTQ-Orbitrap™ mass spectrometer (Thermo Electron Corporation, Inc.) equipped with an electrospray ionization (ESI) source. Acetonitrile (ACN, Aldrich, >99.9%) was added to the solutions to improve the stability of the ESI. The dry residue formed in the evaporation was also analyzed using a home-built nano-DESI source described by Roach *et al.* [2010]. For nano-DESI experiments, a droplet of the solution was placed on the surface of a microscope slide and evaporated to dryness with gentle heating. Blank mass spectra were obtained from the

<sup>1</sup>Auxiliary materials are available in the HTML. doi:10.1029/2011JD016944.



**Figure 1.** (a) Comparison of the absorption spectra of a solution containing 0.3 g/L of SOA and 0.3 g/L of AS at different reaction times (dashed lines) with the absorption spectrum of the evaporated/redissolved sample obtained after subtraction of the pre-evaporated spectrum (solid red line) with the same initial concentrations. (b) The time dependence of the average *MAC* values corresponding to the 400–450, 450–550, and 550–600 nm windows. The aqueous system does not reach the same absorbance as the evaporated one, and the absorbances keep growing even after 5 days of reaction. The shapes of the absorption spectra are different, reflecting differences in the reaction mechanisms.

unexposed microscope slide surface, which were not significantly different from the mass spectra of the working solvent itself. The H<sub>2</sub>O/ACN solvent for the nano-DESI or the probe solution for the ESI were fed through a fused silica capillary tip (50 μm ID) at a flow rate of 0.5–1.0 μL min<sup>-1</sup>, using a spray voltage of about 4.0 kV. The system was operated in both positive and negative ion modes with a resolving power of 60,000 ( $m/\Delta m$  at  $m/z$  400) and a mass range of  $m/z$  100–2000. Calibration was done twice a day using a standard solution composed of caffeine, MRFA and Ultramark 1621 (calibration mix MSCAL 5, Sigma-Aldrich, Inc).

[14] Data analysis was similar to that described in our previous publications on high resolution mass spectra of limonene SOA [Bateman *et al.*, 2009; 2011; Laskin *et al.*,

2010; Walser *et al.*, 2008]. After the calibration, the mass accuracy was better than ±0.001 Da across the  $m/z$  range of 100–1000. Peaks that appeared only in the mass spectra of the solvent or blank samples were discarded. Peaks in the positive ion mode spectra (for the samples evaporated with AS) were assigned to formulas C<sub>c</sub>H<sub>h</sub>O<sub>o</sub>N<sub>0–2</sub>S<sub>0–2</sub>Na<sub>0–1</sub><sup>+</sup> while peaks in the negative ion mode spectra (for the samples evaporated with H<sub>2</sub>SO<sub>4</sub>) were assigned to C<sub>c</sub>H<sub>h</sub>O<sub>o</sub>N<sub>0–2</sub>S<sub>0–2</sub><sup>-</sup>. The formula assignment procedure did not allow ions to have both N and Na atoms (under the assumption that molecules contacting reduced nitrogen should have high proton affinities). The H/C and O/C ratios were limited to 0.8–2.1 and 0.1–1.5, respectively. Ambiguous assignments were evaluated by checking mass defects, parities, atomic ratios, and double bond equivalents (DBE) [Nizkorodov *et al.*, 2011].

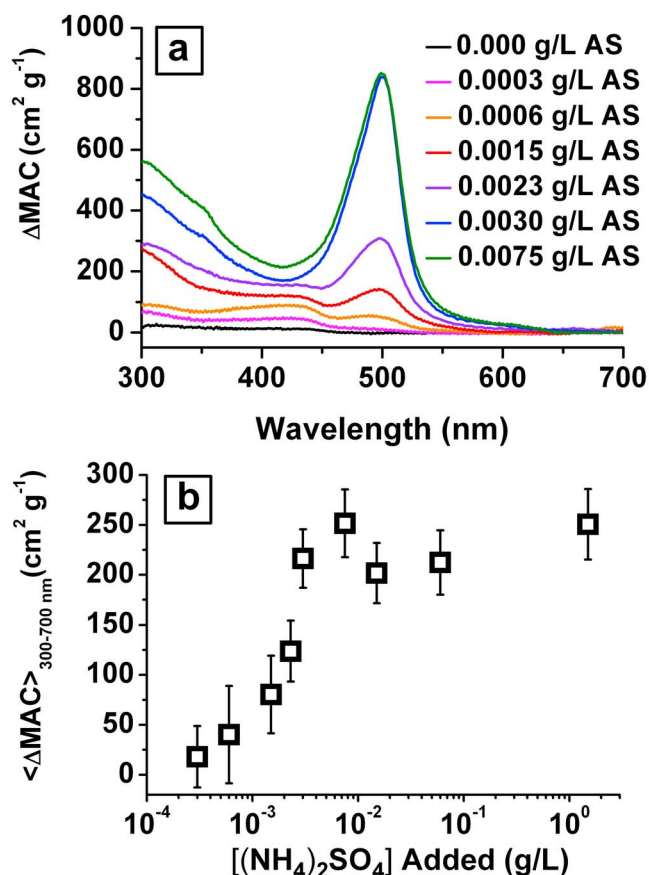
### 3. Results and Discussion

#### 3.1. Evaporation of Limonene SOA in the Presence of AS

[15] Evaporation of limonene SOA solutions in the presence of AS reproducibly generated a brown residue in the evaporation vial. The solution remained colorless during the initial stages of evaporation, with the color change occurring over a short period of time, usually in less than a minute, as the residual water was removed from the sample. The residue was fully soluble in water and the resulting solution maintained its brown color for many hours, indicating that the chromophores were stable with respect to hydrolysis (auxiliary material Figure S2).

[16] The solid red trace in Figure 1a shows a typical UV/Vis absorption spectrum obtained by subtracting the pre-evaporation spectrum of an SOA + AS extract (pH = 4.5) from the post-evaporation/redissolution spectrum. Because the initial SOA + AS solutions do not absorb significantly above 300 nm, the difference spectra in the 300–700 nm range are entirely due to the chromophores generated during the evaporation. All of the absorption spectra presented in Figure 1 and thereon correspond to the difference spectra converted into *MAC* units using equation (1).

[17] The evaporated/redissolved SOA+AS extracts have a distinctive absorption band at around 500 nm superimposed on a broad absorption that smoothly increases toward UV. Similar absorption spectra were obtained at other pH values, as discussed below. A similar 500 nm absorption band appeared in the slow SOA + AS chemistry occurring in the bulk aqueous phase on a timescale of hours to days [Bones *et al.*, 2010]. This band was also observed when limonene SOA collected on a substrate was exposed to humid air containing ammonia (NH<sub>3</sub>) [Laskin *et al.*, 2010]. Comparison of the absorption spectra suggests that the general features of the browning mechanism are similar for the SOA + AS (solution), SOA + AS (evaporated solution), and SOA + NH<sub>3</sub> (gas -particle) cases. Bones *et al.* [2010] also studied the reaction between amino acids and SOA in the aqueous phase. From the amino acid dependent shifts in the position of the 500 nm absorption band, it was concluded that the chromophores were likely nitrogen-containing organic compounds (NOC). Evaporation experiments in which AS was replaced by glycine also resulted in a significant red shift in the position of the 500 nm band (auxiliary material



**Figure 2.** The effect of the initial AS mass concentration on (a) the absorption spectra and (b) the  $\langle \Delta MAC \rangle_{300-700 \text{ nm}}$  values of the evaporated/redissolved SOA + AS solutions. The SOA mass concentration is 0.3 g/L in all cases. The absorbance saturates above the relative AS:SOA mass ratio of 1:100. The  $C_{430}$  chromophore is favored at low AS concentrations, but the  $C_{500}$  chromophore becomes dominant above this saturation ratio.

Figure S3), confirming that the 500 nm chromophores formed during the evaporation also correspond to NOC.

[18] However, there are also reproducible differences in the chemistry between the aqueous and evaporation aging experiments. The dashed traces in Figure 1a correspond to the UV/Vis absorption spectra for the aqueous mixture containing 0.3 g/L SOA and 0.3 g/L AS, obtained as a function of reaction time in bulk solution. When compared with the spectrum obtained by evaporation and redissolution of the same mixture (solid red line), it is obvious that the evaporation chemistry produces chromophores absorbing in the 500 nm region on an accelerated timescale. In aqueous solutions of limonene SOA aged with AS, the 500 nm absorption band and another absorption band at 430 nm slowly form over the course of  $\sim 180$  h. There is an additional shoulder at 570 nm, which becomes discernable after 2 days of reaction. For brevity, chromophores absorbing at  $\lambda = X$  nm will be referred to henceforth as  $C_X$ .

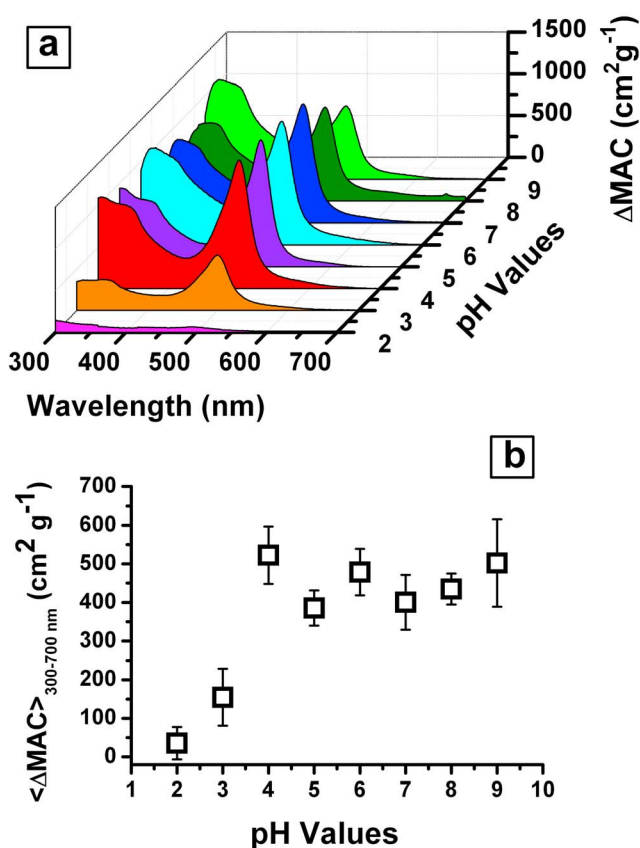
[19] The spectral position of  $C_{430}$  continuously shifts toward the red (from  $\lambda_{\text{max}} \sim 425$  nm at early times to  $\sim 440$  nm after 180 h) and the position of  $C_{500}$  continually shifts toward the blue (from  $\lambda_{\text{max}} \sim 505$  nm at early times to

$\sim 490$  nm after 180 h) throughout the experiment, possibly due to additional peaks growing in the same spectral region or/and slow aqueous oxidation of the chromophores. Such slow reactions should not, and does not, affect the chromophores in the evaporated/redissolved samples, which are produced and analyzed on a considerably shorter experimental timescale (minutes). In contrast,  $C_{430}$  is not visible in the spectrum of the evaporated sample (this band does appear in the evaporated solutions at considerably lower AS concentrations, see below). The shape of  $C_{500}$  is considerably narrower in the evaporated sample, and there is no evidence of the  $C_{570}$  shoulder band. It appears that the slower SOA + AS aqueous chemistry produces a considerably wider range of chromophoric compounds, and evaporation selectively favors the compounds absorbing at 500 nm.

[20] *Bones et al.* [2010] compared the UV/Vis spectra of AS + excess SOA and SOA + excess AS in bulk solution after 20 h of reaction. The absorption of  $C_{430}$  was significantly stronger in the AS + excess SOA case, which led to the suggestion that  $C_{430}$  are either imine-based intermediates in the formation of  $C_{500}$  or formed in an  $\text{NH}_4^+$ -catalyzed reaction. In this work, the kinetics of  $C_{430}$  and  $C_{500}$  were followed over a significantly longer time scale (Figure 1b), and the results are more consistent with  $\text{NH}_4^+$  catalysis to form  $C_{430}$  and N-incorporation to form  $C_{500}$ . In order to account for the slow shift in the peak positions, the time dependence data presented in Figure 1b were averaged over the 400–450 nm range for  $C_{430}$ , the 450–550 nm range for  $C_{500}$ , and the 550–600 nm for  $C_{570}$ . The growth of  $C_{500}$  and  $C_{570}$  follows sigmoidal time dependence, which is consistent with the sequential “SOA compound  $\rightarrow$  intermediate  $\rightarrow$  chromophore” mechanism. The kinetics of  $C_{500}$  could be fitted with the effective first order rate constants of 0.044 and 0.090  $\text{h}^{-1}$  for the two sequential steps. The observed growth of  $C_{430}$  could be well described with a single effective rate constant of 0.019  $\text{h}^{-1}$ . Because of its slower rate of formation,  $C_{430}$  continues to grow after 180 h when  $C_{500}$  and  $C_{570}$  are close to saturation. The difference in the rate constants indicates that  $C_{430}$  is not an intermediate in the formation of  $C_{500}$  or  $C_{570}$ ; they are formed by independent mechanisms.

[21] The dependence of the chromophore production on the AS concentration in the evaporation/redissolution experiments was examined by varying the initial mass concentration of AS ( $= [\text{AS}]_{\text{mass}}$ ) relative to that of SOA by several orders of magnitude from  $[\text{AS}]_{\text{mass}}:[\text{SOA}]_{\text{mass}} = 1:1000$  to 10:1. The  $[\text{SOA}]_{\text{mass}}$  remained fixed at 0.3 g/L in these experiments. Although the addition of AS increased the solution pH to 4.5–5.0, we have not compensated for this because the browning chemistry does not change significantly over this pH range (see below).

[22] Figure 2a shows the dependence of the absorption spectrum of the evaporated/redissolved SOA + AS solution on the initial  $[\text{AS}]_{\text{mass}}$  (Figure S4 shows a photograph of the corresponding solutions, with different shades of brown). There is a distinction between absorption spectra in the low  $[\text{AS}]_{\text{mass}}$  and higher  $[\text{AS}]_{\text{mass}}$  range: small concentrations of AS lead to an exclusive formation of  $C_{430}$ , whereas higher concentrations of AS suppress  $C_{430}$  in favor of  $C_{500}$ . Figure 2b shows the  $\langle MAC \rangle_{300-700 \text{ nm}}$  of the evaporated/redissolved sample as a function of the initial  $[\text{AS}]_{\text{mass}}$ .



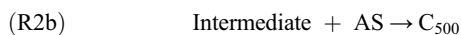
**Figure 3.** (a) Absorption spectra observed after evaporation/redissolution of SOA + AS solutions at different initial pH. The absorbance was converted into  $MAC$  units using equation (1). (b)  $\langle \Delta MAC \rangle_{300-700\text{nm}}$  were calculated from equation (2). The reaction between SOA and AS appears to be suppressed under acidic conditions.

At the lower  $[AS]_{\text{mass}}$ , both  $C_{430}$  and  $C_{500}$  contribute to  $\langle MAC \rangle_{300-700\text{nm}}$ , with  $C_{500}$  absorption becoming dominant at higher  $[AS]_{\text{mass}}$ , in good agreement with the observations of *Bones et al.* [2010] in the aqueous phase.

[23] Remarkably, the  $\langle MAC \rangle_{300-700\text{nm}}$  values reach a plateau after the  $[AS]_{\text{mass}}:[SOA]_{\text{mass}}$  ratio exceeds 1:100. Assuming an average molecular weight of 300 g/mol for limonene SOA compounds, this translates into an effective molar ratio of AS:SOA of 0.022. Further assuming that each AS molecule may supply two  $NH_4^+$  ions for reaction with SOA compounds to produce  $C_{500}$ , we estimate that <2% of SOA compounds are converted into  $C_{500}$ . It is of course entirely possible that additional SOA compounds undergo competing reactions with  $NH_4^+$ , which do not lead to products absorbing in the visible region, or that  $C_{500}$  contains more than one nitrogen atom. Such reactions would lower the estimate of the molar fraction of SOA compounds capable of generating brown products even further. Using the same assumptions, we can also place the lower limit for the molar extinction coefficient of the chromophores as  $>10^5 \text{ L mol}^{-1} \text{ cm}^{-1}$  at 500 nm. We conclude that the  $MAC$  of the browned SOA mixture is dominated by a very small number (<2%) of very strong absorbers, with the rest of the SOA compounds absorbing little or no visible light. We will

come back to this important point during the discussion of the atmospheric relevance of these results.

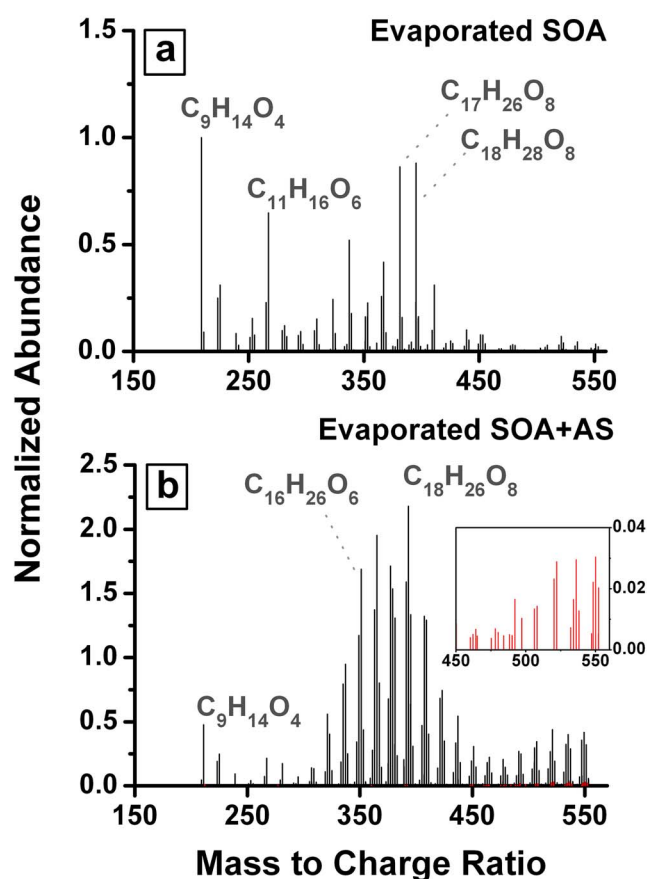
[24] The dependence of  $C_{430}$  and  $C_{500}$  formation on  $[AS]_{\text{mass}}$  further supports the hypothesis that they are produced by different mechanisms. Our observations are qualitatively consistent with an AS-catalyzed formation of  $C_{430}$ , and with a two-stage mechanism of  $C_{500}$  production, which must involve a reaction with AS in one of these steps to produce an NOC with at least one nitrogen atom:



Catalysis by  $NH_4^+$  has been documented in certain reactions involving carbonyls such as aldol condensation [*Dziedzic et al.*, 2009; *Nozière et al.*, 2010a] leading to the formation of oligomeric and fulvic-like compounds [*Nozière et al.*, 2009]. Based on experiments conducted in this study, it is likely  $C_{430}$  is nitrogen-free (although we do not have enough evidence to completely rule out direct reaction with  $NH_4^+$ ). At higher AS concentrations, production of the NOC chromophore  $C_{500}$  becomes more favorable because the exponential dependence of  $C_{500}$  formation on  $[AS]$  is amplified at higher  $[AS]_{\text{mass}}$ , resulting in the almost exclusive formation of the 500 nm chromophore.

[25] Regardless of the formation mechanism for the light-absorbing products, it is clear that evaporation significantly accelerates the formation of chromophores, mainly  $C_{500}$ , with respect to the aqueous phase. It takes >150 h for the bulk aqueous chemistry to reach  $MAC$  values in the 500 nm region comparable to what can be achieved in a 10 min evaporation/redissolution experiment. The reaction is effectively accelerated by approximately 3 orders of magnitude. Because the color change takes place in less than one minute during the last stages of the evaporation, the actual rate enhancement is even greater. Considering the widespread nature of droplet evaporation events in the atmospheric environment, this kind of evaporation-driven chemistry has a real potential to irreversibly modify light absorbing properties and chemical composition of the dissolved organic material.

[26] The evaporation/redissolution experiments were performed at different initial pH values to confirm that the evaporation-induced browning occurs under atmospherically relevant conditions. Figure 3a shows absorption spectra and Figure 3b shows  $\langle MAC \rangle_{300-700\text{nm}}$  values for the evaporated/redissolved SOA + AS sample as a function of the initial solution pH. The average  $MAC$  values are of the order  $500 \text{ cm}^2 \text{ g}^{-1}$ , and the peak values (at 500 nm) are in excess of  $10^3 \text{ cm}^2 \text{ g}^{-1}$ . At pH values below 4, the browning is inhibited. The suppression of the  $NH_4^+$ -induced chromophore formation under acidic conditions was also observed in the bulk solutions of carbonyls + AS [*Nozière et al.*, 2009; *Shapiro et al.*, 2009] and limonene SOA + AS reaction [*Bones et al.*, 2010]. It is notable that in the absence of AS, SOA extracts acidified by  $H_2SO_4$  at pH = 2 do produce light-



**Figure 4.** Positive ion mode nano-DESI mass spectra of evaporated SOA residues obtained (a) without AS and (b) with AS. Red peaks correspond to formulas containing nitrogen (magnified in the insert). Selected peaks are labeled with the formulas of the corresponding neutral molecules. Peak intensities of both spectra were normalized to the intensity of the sodiated  $C_9H_{14}O_4$  ( $m/z$  209.0784) found in the evaporated SOA spectrum.

absorbing compounds upon evaporation (see section 3.3). However, the  $AS+H_2SO_4+SOA$  solutions at  $pH = 2$  do not show significant browning during evaporation. Mixtures of  $H_2SO_4$  and AS have complex phase diagrams [Chelf and Martin, 1999; Martin, 2000] and may form solid phases corresponding to  $(NH_4)_zH_{[2-z]}SO_4$  ( $z = 2$  for ammonium sulfate, 1 for ammonium bisulfate, 1.5 for letovicite) upon removal of water. Formation of these solid phases in the evaporated  $AS + H_2SO_4 + SOA$  system may bind the  $H^+$  and  $NH_4^+$  ions and make them unavailable for participation in the browning reactions that occur in the evaporated  $H_2SO_4+SOA$  system at  $pH = 2$  and in the  $AS + SOA$  system at  $pH \geq 4$ . The shape of the absorption spectrum does not significantly change in the range of  $pH = 4-9$  (Figure 3a), suggesting that the addition of NaOH to increase the pH does not significantly alter the chemistry.

### 3.2. Composition of Evaporated SOA Extracts in the Presence of AS Studied With High-Resolution Mass Spectrometry

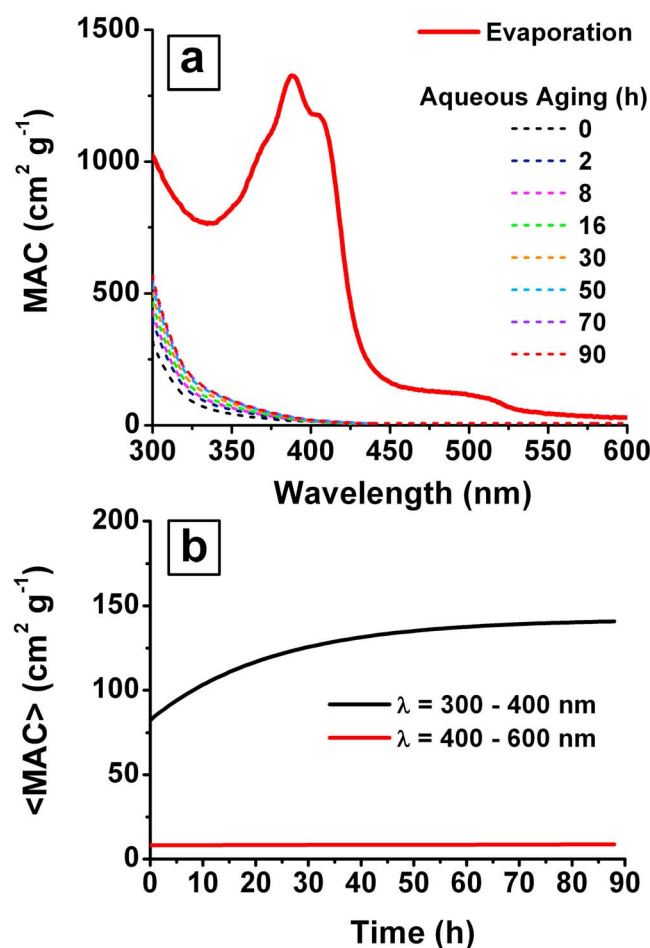
[27] Evaporation of solutions of methylglyoxal in the presence of amino acids was found to form oligomers of

NOC, which were strongly light-absorbing [De Haan et al., 2009a]. Aqueous solutions of AS mixed with glyoxal or methylglyoxal [Galloway et al., 2009; Sareen et al., 2010; Shapiro et al., 2009; Yu et al., 2011], and AS mixed with pyruvic acid [Rincón et al., 2009, 2010] were also shown to undergo browning and form complex NOC simultaneously. Our previous experiments on aqueous reactions of limonene SOA with amino acids and AS demonstrated that  $C_{500}$  is a light-absorbing NOC based on evaporated (auxiliary material Figure S3) and aqueous [Bones et al., 2010] experiments. Because chromophores produced during evaporation of limonene SOA + AS solutions and in the bulk aqueous phase have similar absorption spectra, we expected to observe a significant fraction of NOC in the mass spectra of the dry residues.

[28] Figure 4 shows the nano-DESI mass spectra of the evaporated SOA residues produced in the absence (Figure 4a) and presence (Figure 4b) of AS. The spectra include only compounds for which the elemental composition could be unambiguously assigned. Selected high-abundance peaks are labeled with the formula of the corresponding SOA compound. Peak intensities were normalized with respect to a known major limonene/ $O_3$  oxidation product, sodiated keto-limononic acid [Bateman et al., 2009; Walser et al., 2008] ( $C_9H_{14}O_4Na^+$ ,  $m/z$  209.079). The same normalization was applied to the evaporated AS + SOA spectrum (although the intensities are not easily comparable between nano-DESI spectra of different samples). The spectra are not dramatically different between the samples evaporated with and without AS. However, the fraction of higher-MW compounds is larger in the former. Although the contribution of NOC compounds increased from 2% to 11% by count (auxiliary material Table S1) when evaporation was done with AS, the contribution of NOC to the total ion current (TIC) was  $<1\%$ . A negligible fraction ( $<1\%$  by count) of compounds in the evaporated AS + SOA sample could be assigned to sulfur-containing organic compounds (SOC). No SOC appeared in the evaporated SOA sample without AS, as expected.

[29] The fraction of NOC in the evaporated SOA+AS residues appears to be surprisingly small. Bones et al. [2010] also found little change in the ESI mass spectra and no evidence of extensive production of NOC in the SOA + AS solutions after they browned. These observations are consistent with the “titration” experiment shown in Figure 2, which suggested that less than  $\sim 2\%$  of the SOA compounds participate in the production of chromophores in reactions with AS. It is remarkable that the optical characteristics of SOA material can change so drastically without an accompanying change in the overall molecular composition.

[30] The low NOC fraction observed in the AS + SOA case should be contrasted to the previous DESI and ESI MS study, which detected a significantly higher fraction of NOC in the mass spectra of limonene SOA immobilized on a substrate and exposed to humid  $NH_3$  vapor [Laskin et al., 2010]. It appears that gaseous ammonia is more efficient at producing NOC than AS. Indirect support for this hypothesis comes from our measurements of  $MAC$  for different types of organic aerosols aged with humid  $NH_3$  vapor. That study showed that  $\langle MAC \rangle_{300-700nm}$  values of limonene SOA exposed to humid  $NH_3$  vapor exceed  $MAC$  for the dissolved/evaporated SOA+AS sample by a factor of  $\sim 2$ , with an array



**Figure 5.** (a) Comparison of the evolution of absorption spectra over time of a solution containing 0.3 g/L of SOA, acidified to pH = 2 with  $\text{H}_2\text{SO}_4$ , with the absorption spectrum of the evaporated/redissolved sample with the same initial concentrations. The evaporation chemistry is distinctly different from the aqueous chemistry, where no visible light-absorbing compounds are produced. (b) The time dependence of the average  $MAC$  values corresponding to the 300–400 nm and 400–600 nm windows.

of NOC compounds detectable by nano-DESI. In order to keep this paper focused on evaporation, the results of the SOA + humid  $\text{NH}_3$  vapor study will be presented elsewhere.

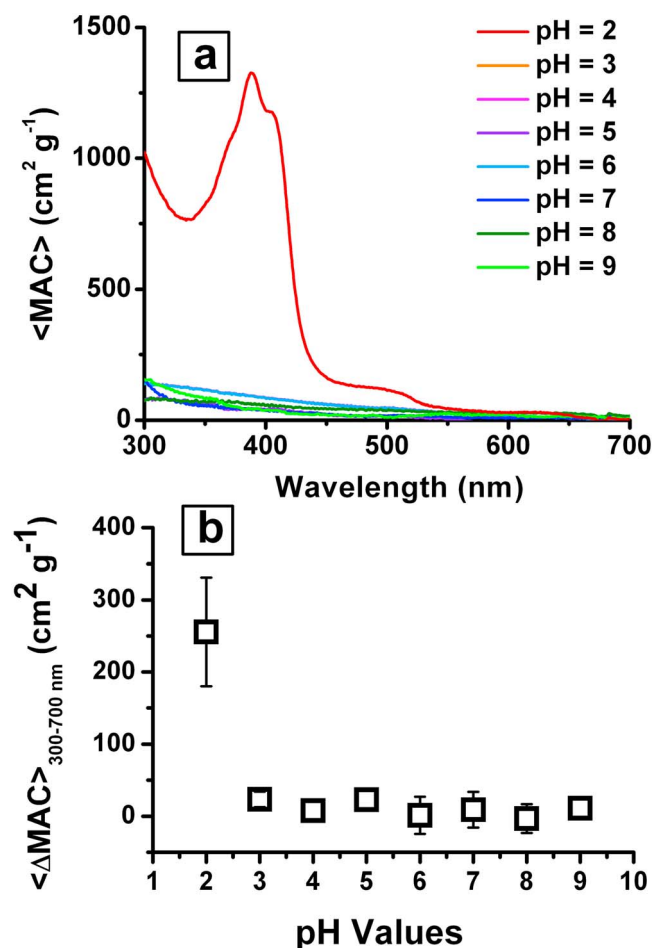
### 3.3. Evaporation of Limonene SOA Acidified by $\text{H}_2\text{SO}_4$

[31] Evaporation and redissolution experiments for SOA +  $\text{H}_2\text{SO}_4$  (or NaOH) mixtures were performed over a range of initial pH values. The evaporation of SOA solutions in the pH range of 3–9 did not produce light-absorbing species. However, SOA solutions acidified with  $\text{H}_2\text{SO}_4$  to pH = 2 produced oily red-brown residues after evaporation. Unlike the SOA + AS case, the residue was only partially soluble in water (auxiliary material Figure S5 shows that water extracted a smaller fraction of light-absorbing organics from the residue compared to acetonitrile and tetrahydrofuran). Similar to the SOA + AS case, the water-soluble chromophores formed in the SOA+ $\text{H}_2\text{SO}_4$  reaction were stable with respect to hydrolysis on a time scale of at least a day (see

auxiliary material Figure S6). In this study we examined the water soluble fraction by UV/Vis spectroscopy, and the water/acetonitrile soluble fraction with high resolution ESI mass spectrometry.

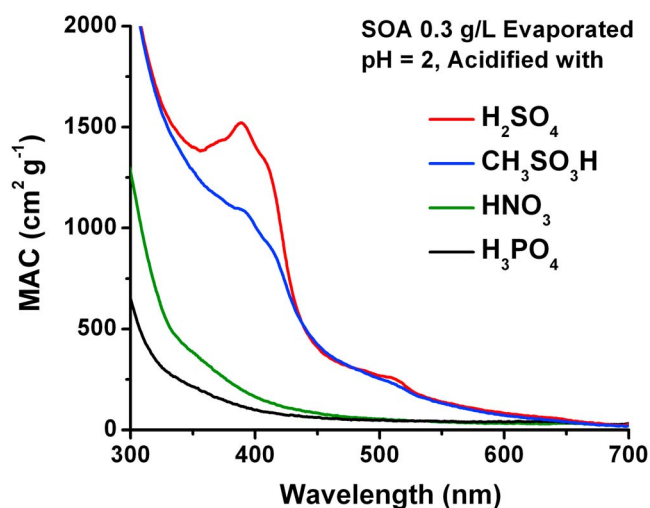
[32] In the absence of AS, evaporation of acidic aqueous solutions promotes the production of light-absorbing compounds that would not be produced otherwise in the aqueous phase chemistry. Figure 5 compares the evolution of the absorption spectrum during slow aqueous-phase chemistry of pH = 2 SOA extracts with the spectrum obtained after evaporation/redissolution. In the SOA + AS system, the aqueous-phase chemistry slowly produced absorption spectra that were qualitatively similar to the spectra resulting from evaporation (Figure 1). In contrast, the aqueous-phase reactions in SOA +  $\text{H}_2\text{SO}_4$  (pH = 2) system do not produce light-absorbing compounds without evaporation, except for small changes occurring in the near-UV region.

[33] Figure 6a shows absorption spectra of the evaporated SOA as a function of pH ( $\text{H}_2\text{SO}_4$  and NaOH were used for pH adjustment). Chromophoric compounds are produced at pH = 2, as evidenced by overlapping absorption peaks at



**Figure 6.** (a) Absorption spectra observed after evaporation/redissolution of SOA +  $\text{H}_2\text{SO}_4$  solutions at different initial pH values. The absorbance was converted into  $MAC$  units using equation (1). (b)  $\langle \Delta MAC \rangle_{300-700\text{nm}}$  was calculated from equation (2). Unlike the AS+SOA evaporation case, browning is more efficient under acidic conditions.





**Figure 7.** Absorption spectra (converted into  $MAC$ ) observed after evaporation of SOA solutions acidified to  $pH = 2$  with various acids, followed by redissolution in water. The change in the positions of the absorption bands for the sulfuric and methanesulfonic acids provides indirect evidence for the incorporation of S atoms in the chromophores.

390 nm and 410 nm. There is also a small peak at 510 nm that becomes more readily observable in spectra taken in acetonitrile (auxiliary material Figure S7). Figure 6b demonstrates that the  $\langle MAC \rangle_{300-700nm}$  values decrease sharply at  $pH > 2$  from approximately  $250 \text{ cm}^2 \text{ g}^{-1}$  to  $< 50 \text{ cm}^2 \text{ g}^{-1}$ . Based on the comparison of the UV/Vis spectra in Figures 3 and 6, and on the observed pH dependence, it is clear that the chemistry governing the production of chromophores in the experiments with  $H_2SO_4$  is starkly different from that occurring in the experiments with AS. For example, both the 430 nm and 500 nm bands found in SOA + AS evaporated residues are absent in the SOA +  $H_2SO_4$  spectra in Figure 6a. Lower pH suppresses browning in the SOA + AS case and enhances it in the SOA +  $H_2SO_4$  case.

[34] The mechanism of chromophore production from the evaporation of SOA extracts with  $H_2SO_4$  may be similar to the acid-catalyzed aldol condensation reaction of carbonyl compounds in solutions of concentrated  $H_2SO_4$  [Garland *et al.*, 2006; Nozière and Esteve, 2005; Nozière *et al.*, 2007; Nozière and Esteve, 2007]. The active removal of water during the evaporation and simultaneous increase in the acidity both help shift the equilibrium from reactants toward the aldol condensation products. A large fraction of the compounds in limonene ozonolysis SOA are carbonyls. For example, Bateman *et al.* [2008] previously estimated that at least 40% of the SOA compounds are either ketones or aldehydes. The high concentration of acid coupled to the removal of water may catalyze the production of light-absorbing aldol products in the evaporated samples, similar to the results obtained in the reactions of simple  $C_2$ – $C_8$  carbonyls with concentrated  $H_2SO_4$  solutions [Casale *et al.*, 2007; Garland *et al.*, 2006; Nozière and Esteve, 2005; Nozière *et al.*, 2007; Nozière and Esteve, 2007]. Although the 390 nm and 410 nm absorption bands observed in this work do not exactly match the bands produced in  $H_2SO_4$ -catalyzed

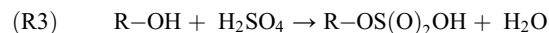
reactions of  $C_2$ – $C_8$  carbonyls, the wavelength range over which absorption happens (350–450 nm) is the same. The carbonyls found in limonene SOA are larger ( $>C_8$ ) and multifunctional. The average molecular “formula” for the monomeric limonene/ $O_3$  SOA compounds can be best expressed as  $C_{10}H_{16}O_4$ , containing 2–4 oxygenated functional groups per molecule [Bateman *et al.*, 2009; Walser *et al.*, 2008]. Therefore, the aldol condensation products in the limonene SOA are expected to be highly substituted, with a higher degree of conjugation and different absorption properties as compared to the aldol condensates formed from the simpler carbonyls.

[35] Acidity alone does not fully explain the formation of light-absorbing compounds. We conducted experiments in which  $H_2SO_4$  was replaced by either nitric acid ( $HNO_3$ ), phosphoric acid ( $H_3PO_4$ ), or methanesulfonic acid ( $CH_3SO_3H$ , MSA). Evaporation of SOA solutions acidified at  $pH = 2$  with  $HNO_3$  and  $H_3PO_4$  did not result in visible browning or the production of  $C_{390}$ ,  $C_{410}$  or  $C_{500}$  bands observed in evaporation/redissolution of the SOA +  $H_2SO_4$  mixtures (Figure 7). More significantly, replacing  $H_2SO_4$  by MSA produced a small wavelength shift in the positions of the  $C_{390}$  and  $C_{410}$  bands, in both the water soluble fraction (Figure 7) and in the fraction that dissolved in acetonitrile (auxiliary material Figure S7), implying that these chromophores are sulfur-containing organic compounds (SOC). We will show in the next section that evaporation of the SOA +  $H_2SO_4$  solutions is accompanied by formation of a number of organosulfates. While the  $-OS(O)_2OH$  and  $-OS(O)_2CH_3$  groups are not chromophoric by themselves, incorporation of these groups next to the chromophoric core of a molecule may change its optical properties. Based on these observations, we conclude that the chromophores formed during evaporation of the SOA +  $H_2SO_4$  solutions are SOC, and the presence of sulfur affects their optical properties.

### 3.4. Composition of Evaporated SOA Extracts Acidified by $H_2SO_4$ Studied With High-Resolution Mass Spectrometry

[36] The same conditions that favor production of aldol condensates in evaporating SOA +  $H_2SO_4$  solutions are also favorable for production of organosulfates ( $H_2SO_4$  esters). Organosulfates represent an important fraction of atmospheric aerosols. They have been observed in field studies of aerosols [Chan *et al.*, 2010; Gómez-González *et al.*, 2008; Hawkins *et al.*, 2010; Reemtsma *et al.*, 2006; Schmitt-Kopplin *et al.*, 2010; Surratt *et al.*, 2007] and in laboratory SOA generated in the presence of acidic seeds [Iinuma *et al.*, 2007a, 2007b, 2009; Liggio and Li, 2006]. They can also be generated by aqueous photolysis of organics in the presence of sulfates [Nozière *et al.*, 2010b]. In particular, oxidation of limonene by ozone has been demonstrated to produce organosulfate oligomers on acidified seeds [Iinuma *et al.*, 2007b]. However, no accompanying color change was reported in these experiments.

[37] Direct esterification of alcohols is one possible route to organosulfates



but it is believed to be too slow under most atmospherically relevant conditions [Darer *et al.*, 2011; Minerath *et al.*,

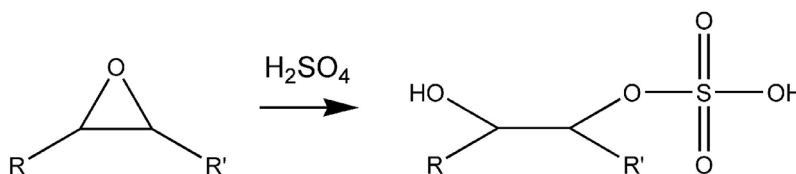


Figure 8. Reaction (4).

2008; *Minerath and Elrod*, 2009]. It should be noted that enolic forms of carbonyls may contribute to R-OH in reaction (R3) and in the case of deliquesced particles, the keto-enol tautomerism equilibrium may be shifted toward the enol, enhancing direct esterification [*Ghorai et al.*, 2011]. Additionally, organosulfates may be formed in the more kinetically favorable ring-opening reaction of epoxides by  $\text{H}_2\text{SO}_4$ , as proposed in a number of previous studies, e.g., see [*Darer et al.*, 2011; *Eddingsaas et al.*, 2010; *Minerath and Elrod*, 2009] and references therein. Both reactions (R3) and (R4) (Figure 8) are acid-catalyzed and therefore should be accelerated by evaporation in the presence of acids. Reaction (R3) is a condensation process and should additionally benefit from the active removal of water during evaporation.

[38] We examined the composition of the redissolved evaporation residues with high-resolution mass spectrometry using negative ion mode ESI. Figure 9 shows assigned peaks in the mass spectra of evaporated/redissolved limonene SOA (Figure 9a), limonene SOA +  $\text{H}_2\text{SO}_4$  at pH = 4 (Figure 9b) and limonene SOA +  $\text{H}_2\text{SO}_4$  at pH = 2 (Figure 9c). All three spectra were taken under identical instrumental conditions. The intensities in Figure 9a were normalized to the peak corresponding to deprotonated keto-limononic acid ( $\text{C}_9\text{H}_{13}\text{O}_4^-$ ,  $m/z$  185.0819), and the same normalization was applied to spectra in Figures 9b and 9c. We intentionally excluded the peak at  $m/z$  96.960 corresponding to the  $\text{HSO}_4^-$  ion, which would have overwhelmed the mass spectrum otherwise, by setting the lower limit for the mass range to  $m/z = 100$  during data acquisition. A considerable number of SOC peaks were detected by negative ion mode ESI-MS (Table S2 in the auxiliary material). Tandem mass spectrometry (MS/MS) was performed on prominent SOC peaks to confirm that they represent genuine deprotonated molecular ions, and not weakly bound complexes of the type  $\text{M}-\text{HSO}_4^-$ . The collision energies required to break these ions were characteristic of covalent bonding, and although the collision induced dissociation was dominated by the loss of  $\text{HSO}_4^-$ , losses of neutral fragments leaving behind ions that retained S-atoms were also observed.

[39] HR MS data can potentially distinguish between reactions (R3) and (R4) because these reactions add an equivalent of  $\text{SO}_3$  (79.9568 Da) and  $\text{H}_2\text{SO}_4$  (97.9674 Da), respectively, to the original molecular formula of the SOC precursor. We examined all SOC compounds observed in the mass spectra, and in most cases each SOC could be matched to either one or both corresponding precursor compounds ( $\text{C}_c\text{H}_h\text{O}_o\text{S}_s - \text{SO}_3$  or  $\text{C}_c\text{H}_h\text{O}_o\text{S}_s - \text{H}_2\text{SO}_4$ ) in the pre-evaporated sample. The results showed no clear pattern that would make it possible to rule out either reaction (R3) or (R4) as possibilities.

[40] The mass spectrum of the evaporated/redissolved SOA solution (Figure 9a) is quite similar to the published ESI mass spectra of limonene SOA extracted in acetonitrile [*Walser et al.*, 2008] or water [*Bateman et al.*, 2010]. The characteristic envelopes corresponding to the monomeric (centered at  $m/z$  180) and dimeric (centered at  $m/z$  370) compounds are clearly visible in the spectrum. Evaporation/redissolution of SOA +  $\text{H}_2\text{SO}_4$  at pH = 4 produced a colorless solution and preserved most of the peaks in the original SOA spectrum, including their relative intensity distribution. Several new peaks observed for this system

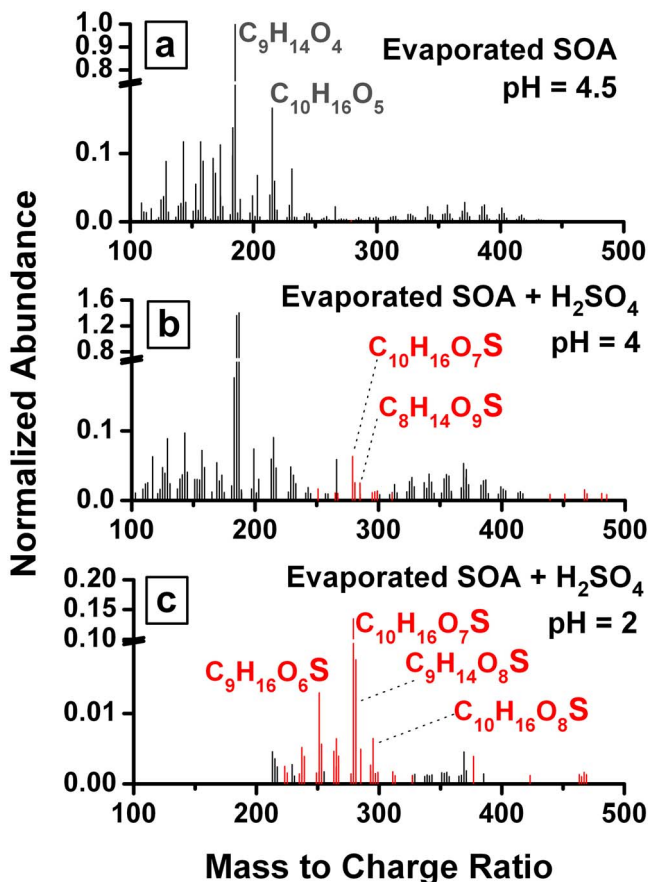


Figure 9. Negative ion mode ESI mass spectra of evaporated/redissolved solutions of: (a) SOA; (b) SOA +  $\text{H}_2\text{SO}_4$  at pH = 4; and (c) SOA +  $\text{H}_2\text{SO}_4$  at pH = 2. Red peaks correspond to formulas containing sulfur. Assignments of neutral precursors corresponding to selected peaks are shown next to the peaks. All mass spectra were normalized to the intensity of the  $\text{C}_9\text{H}_{13}\text{O}_4^-$  peak at  $m/z$  185.0819 in the evaporated SOA spectrum. Note the different abundance ranges and breaks in the vertical axis.

**Table 1.** High-Resolution Mass Spectrometry Results From the Molecular Composition Analysis of Aqueous Extracts of SOA and of SOA Acidified With H<sub>2</sub>SO<sub>4</sub> Before and After Evaporation/Redissolution at Different Initial pH Values<sup>a</sup>

Sample	Evaporation	Total Peaks	SOC (% Count)	SOC (% TIC)	⟨O/C⟩
SOA, nothing added	Before	203	0	0	0.50
SOA, nothing added	After	218	0	0	0.48
SOA + H <sub>2</sub> SO <sub>4</sub> (pH = 4)	Before	147	7	2	0.51
SOA + H <sub>2</sub> SO <sub>4</sub> (pH = 4)	After	138	12	3	0.54
SOA + H <sub>2</sub> SO <sub>4</sub> (pH = 2)	Before	95	30	27	0.58
SOA + H <sub>2</sub> SO <sub>4</sub> (pH = 2)	After	54	57	85	0.69

<sup>a</sup>Total peaks: the number of assigned peaks below  $m/z$  500; % count and % TIC refer to the percentage of the peaks and percentage of the total ion current, respectively, assigned to sulfur-containing organic compounds (SOC). The average O/C ratio is calculated as the intensity-weighted average for all assigned peaks.

correspond to SOC (Figure 9b). Evaporation/redissolution at pH  $\sim$  2, produced a brown solution, and led to a dramatic change in the appearance of the mass spectrum (Figure 9c). The majority of peaks pertaining to the original SOA material disappeared, and the peaks that remained were dominated by SOC.

[41] Table 1 shows the number of assigned peaks, the fraction of peaks corresponding to SOC, the fractional ion current of SOC, and the intensity-weighted average O/C ratios ( $\langle O/C \rangle = \sum[o_i \times \text{intensity}_i] / \sum[c_i \times \text{intensity}_i]$ ) for six types of samples: the pre-evaporated and evaporated/redissolved solutions for each of non-acidified SOA, SOA acidified to pH = 4 and SOA acidified to pH = 2. Evaporation/redissolution of the SOA material without addition of H<sub>2</sub>SO<sub>4</sub> did not produce a color change. Furthermore, the average composition of the SOA was not significantly different: 92% of the peaks from the pre-evaporated samples were retained, and the overall number of peaks did not decrease. A small fraction (13% by count) of additional compounds were observed in the non-acidified evaporated SOA sample, suggesting that subtle chemical changes occurred in the evaporation process even without acids present. The new compounds found uniquely in the evaporated sample had an average double bond equivalency (DBE = 1 - h/2 + c) of  $\langle \text{DBE} \rangle \sim 5$  and  $\langle O/C \rangle = 0.38$ , compared to an average  $\langle \text{DBE} \rangle \sim 4$  and  $\langle O/C \rangle = 0.51$  for all the compounds observed in the pre-evaporated sample. This implied that the new compounds formed by the evaporation process on average gained one double bond and lost one oxygen atom. This increase in  $\langle \text{DBE} \rangle$  and concurrent decrease in  $\langle O/C \rangle$  is consistent with the production of (colorless) aldol condensation products during evaporation, in which one double bond is added to the molecular structure and one oxygen is removed for each condensation reaction that is accompanied by a loss a water molecule.

[42] In acidified samples, we observe a general trend that the total number of detected peaks decreases, the number of SOC increases, and the  $\langle O/C \rangle$  ratio increases with increasing acidity. Evaporation of the acidified samples further amplifies the trend. The increase in SOC and  $\langle O/C \rangle$  is expected, as reactions (R3) and (R4) produce SOC from H<sub>2</sub>SO<sub>4</sub> and enrich the oxygen content of the molecule. The decrease in the total number of peaks is due to the ionization suppression by much more abundant ions HSO<sub>4</sub><sup>-</sup> and H<sub>2</sub>SO<sub>4</sub>-HSO<sub>4</sub><sup>-</sup>

present in the electrospray. Indeed, the H<sub>2</sub>SO<sub>4</sub>-HSO<sub>4</sub><sup>-</sup> peak would dominate the spectrum in Figure 9c if this peak was not removed as part of the background correction process. At pH = 4, the small amount of added H<sub>2</sub>SO<sub>4</sub> resulted in production of 7% assignable peaks corresponding to SOC. Compared to the non-acidified SOA case, the total number of observed peaks decreased from  $\sim$ 200 to  $\sim$ 150.

[43] Evaporation/redissolution of the pH = 4 sample increased the  $\langle O/C \rangle$  ratio from 0.51 to 0.54, and increased the fraction of detected SOC, from 7% to 12% by number. At pH = 2, the number of observed peaks in the pre-evaporated sample further decreased to  $\sim$ 100. The fraction of SOC compounds increased from 7% to 30% and the  $\langle O/C \rangle$  increased from 0.51 to 0.58. Evaporation/redissolution of the pH = 2 sample further increased  $\langle O/C \rangle$  to 0.69, reduced the total number of observed compounds, and dramatically increased the fraction of SOC in the sample ( $\sim$ 60% by number). We note that the relative fractions of SOC quoted above should be considered an upper limit because of the high ionization efficiency of SOC in negative ion mode ESI.

[44] In summary, we observed a dramatic change in composition of the acidified and evaporated SOA extracts, which paralleled similarly dramatic changes in the optical and physical (solubility in water) properties of the dissolved organics.

#### 4. Atmospheric Implications

[45] Cloud and fog processing of atmospheric gases and particles is an important driver of atmospheric chemistry. A number of key atmospheric processes occur in water, with the classic example being oxidation of SO<sub>2</sub> to sulfuric acid [Finlayson-Pitts and Pitts, 2000]. Organic compounds dissolved in cloud and fog droplets can undergo photooxidation by dissolved OH [Ervens *et al.*, 2008], direct photolysis [Bateman *et al.*, 2011], hydrolysis, and other chemical transformations on atmospherically relevant time scales. However, a number of aqueous phase reactions are too slow under atmospherically relevant conditions because of insufficient reactant concentrations, insufficient time spent by the molecules in droplets, unfavorable equilibria, solubility limitations, etc. Relevant examples include acid-catalyzed aldol condensation of aliphatic carbonyls in aqueous H<sub>2</sub>SO<sub>4</sub> [Casale *et al.*, 2007; Nozière and Esteve, 2007], formation of organosulfates by esterification of alcohols with H<sub>2</sub>SO<sub>4</sub> in aqueous solutions [Minerath *et al.*, 2008], and formation of light-absorbing nitrogen containing compounds in aqueous reactions between SOA compounds and ammonium sulfate (AS) [Bones *et al.*, 2010]. In this work, we demonstrate that evaporation of water droplets, a very common process in the atmosphere, can greatly accelerate some otherwise slow processes, and lead to dramatic changes in the composition or/and optical properties of the dissolved organics. The main driving forces behind this acceleration are increased reactant concentrations and increased acidity produced by evaporation, and in the case of condensation reactions, shifting equilibria toward the products by actively removing water from the system.

[46] More specifically, we have shown that evaporation of solutions containing dissolved SOA material mixed with dissolved AS can produce nitrogen-containing chromophoric compounds. Such compounds represent a minor fraction of

the overall composition, and they would not be readily detectable by online aerosol mass spectrometry methods [DeCarlo et al., 2006; Noble and Prather, 2000; Pratt and Prather, 2012; Zahardis et al., 2011]. This irreversible process occurs readily over the pH range of 4–9 and requires small amounts of dissolved AS ( $\ll 1$  mg/L), conditions commonly found in cloud and fog droplets [Fisak et al., 2002; Gioda et al., 2011]. Given the widespread occurrence of SOA and AS in the environment, this evaporation-driven process and similar reactions occurring during evaporation of water from droplets and wet aerosols may be an important pathway to brown carbon. The mass absorption coefficient (*MAC*) for the material produced by evaporations is around  $0.1 \text{ m}^2 \text{ g}^{-1}$  at 500 nm, which is comparable to the measured *MAC* values for the brown carbon obtained by burning wood ( $<0.5 \text{ m}^2 \text{ g}^{-1}$  at 500 nm) [Chen and Bond, 2010].

[47] In addition, we have shown that evaporation of SOA solutions in the presence of  $\text{H}_2\text{SO}_4$  produces organosulfates and aldol products. Under sufficiently acidic conditions, at the initial solution pH = 2, evaporation in the presence of  $\text{H}_2\text{SO}_4$  irreversibly produces light-absorbing products with maximum absorption peaks around 400 nm. High resolution mass spectrometry of the products suggests that this kind of “evaporative processing” results in a dramatic change in the composition of the organics, with a number of the initial compounds being converted into organosulfates and other products. The solubility of the organic material in water is also reduced by this process. Although the acidity of pH = 2 required for these transformations in the composition, solubility, and optical properties is outside the range of relevance for cloud droplets, it can be easily achieved in smaller fog droplets and in hydrated aerosols.

[48] In our experiments, drying the solutions from an equivalent of saturation (RH  $\sim$  100%) to an equivalent of RH  $\sim$  20% triggered a sudden change in the composition and color of the dissolved solutes. Can we expect the conditions in which the RH in the air surrounding droplets or wet aerosols can change from saturated to dry on reasonably fast time scales? Although individual droplets within clouds and fogs appear and evaporate on a time scale of minutes, the interstitial RH remains close to 100% [Pruppacher and Klett, 1997] making the evaporation-driven chemistry unlikely. A more likely scenario is evaporation of falling rain droplets before they hit the ground, which is very common in semiarid continental regions and in deserts [Rosenfeld and Mintz, 1988]. In these regions falling droplets become exposed to very dry air on their way down, and dry completely, leaving a processed particle behind. Heat-driven dissipation of fogs, which occurs on the time scales of hours, represents another scenario in which evaporation is likely to be important. Transitions between high and low RH conditions should be even more common for aerosols. Although the concentration regime investigated in this work is more relevant for cloud and fog chemistry, especially in polluted urban regions, it is reasonable to assume that the same processes will also occur when internally mixed organic/inorganic aerosols lose adsorbed water by drying. In fact, these evaporation-driven reactions may be even more efficient because of the higher concentrations and, for acid-catalyzed reactions, lower pH values found in wet aerosols.

[49] This work demonstrates that chemical and physical changes may occur in SOA compounds due to atmospheric

dynamics. The physical motion of air masses or rain droplets is ultimately responsible for the change in the relative humidity, which then triggers evaporation and the associated chemistry. Therefore, future modeling of the atmospheric significance of these processes, as well as assessing their role in the brown carbon production, will require explicit inclusion of atmospheric dynamics and chromophore formation chemistry in the models.

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