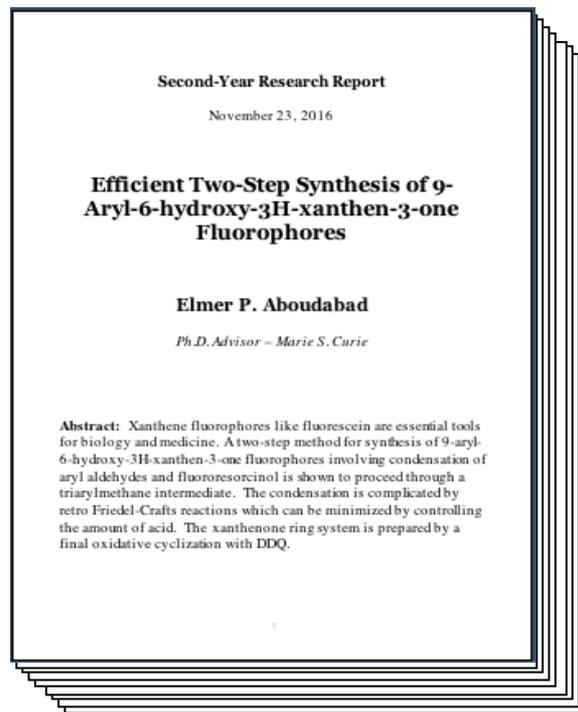


Written Research Reports

The Second-Year Report



Due: Wednesday, noon, Nov. 22, 2017
(Just before Thanksgiving break)

Six Basic Requirements for a Ph.D. in Chemistry

<http://www.chem.uci.edu/>

1. Completion of a minimum of seven approved courses with maintenance of an average grade of B or better.
2. **Completion of a second-year Written Examination.**
3. Completion of an Oral Examination for *Advancement to Candidacy*.
4. Completion of the teaching requirement (four quarters).
5. Completion of six quarters in residence at UCI.
6. Submission of an acceptable doctoral dissertation.



Year 1

- Fall
 - Winter
 - Spring
 - Summer
- } **Courses**

Year 2

- Fall ← **Y2R**
- Winter
- Spring ← **Orals**
- Summer

Years 3-

Main Objective: Learn to Think and Write

1. Encourage **deep, scholarly thought** about your research problems, including background, significance, and goals.
2. Develop **good research habits**, including knowledge of the literature and careful documentation of experimental findings.
3. Teach **effective communication** of scientific material, including writing of an experimental procedure and accurate citation of the literature.
4. Provide additional **feedback** to students from outside their own research group and advisor.
5. Determine that students are developing as **independent** research scientists.

Organization of the Research Report

Second-Year Report and Orals

Generally, you will compile:

1. Descriptive Title + Abstract

2. Introduction

3.a. Results and

b. Discussion

} combined or
separate

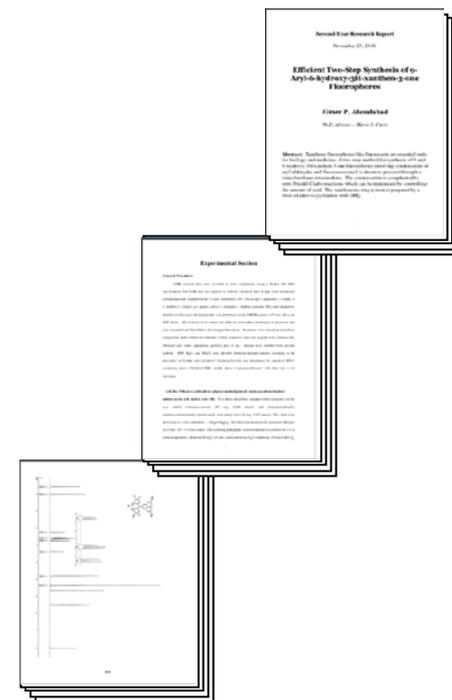
4. Conclusions and Future Work

5. Scholarly References

6. Experimental Section

7. Supporting Information

≤10
pages



What are we looking for in a Second-Year Report?

1. Satisfactory **research progress**
 - Fully characterized products
 - Yields for many, many reactions
 - Purified proteins or peptides
 - Developed and validated new assays
2. A written document that **communicates effectively**
 - Why is the project important to society?
 - What is the long term objective of the science?
 - What is the short term objective of your research?
 - What obstacles did you overcome?
 - If you worked with someone else, which accomplishments are yours?

About Research Progress on the Second-Year Report

- I can not overemphasize the importance of making **significant research progress**.
- A well written report **identifies challenges** you faced - unstable compounds, poor protein expression, low transformation efficiencies, difficulties in purification, assay (ir-reproducibility).
- **Faculty understand the difference between lack of effort and challenging projects.**

Evaluation Criteria for the Second Year Report

1. Research Progress

Is student making satisfactory progress in their research?

2. Clarity of presentation

a. **Introduction:** Have the problem and the current state of knowledge been clearly defined?

b. **Results:** Are results clearly presented? Did you distinguish your accomplishments from those of coworkers?

c. **Discussion:** Are the conclusions supported by the data?

d. **Future Goals:** Is there a plan to circumvent problems or capitalize on key findings?

e. **Experimental Procedures:** Are compounds characterized with respect to identity and purity? Details are important. Format is important.

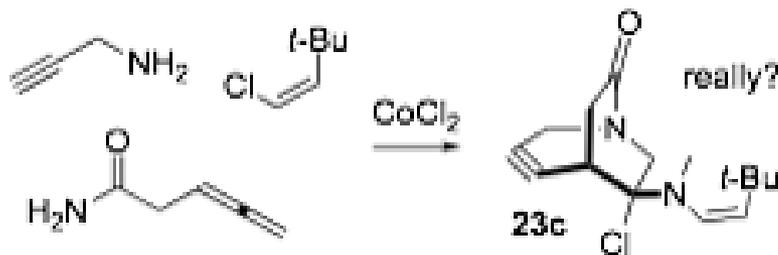
f. **Literature Cited:** Is literature cited generously? Are correct formats employed?

g. **Supporting Information:** Are the data accurately and clearly portrayed?

Characterization of New Chemical Substances

Give Evidence for Identity and Purity

- **Identity** = the chemical structure
 - the connectivity of atoms and bonds
 - the stereochemistry (*E/Z*, cis/trans, *R/S*)



- **Purity** = which molecules are present?
 - unless you say otherwise, it is assumed that you have prepared compounds with 100% purity

How to say otherwise: *Compound was 97% pure by ^1H NMR with a small amount of ethyl acetate.*

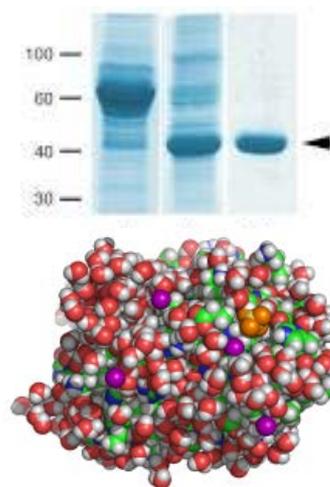
Small Molecule Characterization Data Checklist:

1. **Yield.** Significant figures should match measured reagents.
2. **^1H NMR Spectroscopy (^1H NMR):** Critical to establishing both structure and purity of compounds.
3. **^{13}C NMR Spectroscopy (^{13}C NMR):** Critical to establishing both structure and purity of compounds.
4. **Infrared Spectroscopy (IR).** Some information about functional groups (structure)
5. **Melting Point.** (solids only) Informs about stability and purity.
6. **High-Resolution Mass Spectrometry (HRMS).** Provides evidence for the molecular weight and elemental composition of a compound, but provides no indication of purity. Low-resolution data is less preferable, but still useful.
7. **Optical Rotation.** (enantiomerically enriched compounds only) Provides evidence of optical and/or chemical purity
8. **Gas or Liquid Chromatography Data.** For purity and product ratios (isomeric purity)
9. **Elemental Analysis.** Absolute criterion of purity; i.e., if it's "off," the compound is not pure.

Characterization of New Biological Substances

Identity and Homogeneity

- **Identity** = the sequence of an oligonucleotide, gene, or protein
- **Homogeneity** = usually means only one type of biooligomer
Biologists rarely account for water content, buffer molecules, or ions. An enzyme might be considered “pure” even if contaminated with DNA, as long as they don’t affect the biological activity.
- **ACS Chemical Biology**: “Provide evidence to firmly establish both the identity and the purity of new substances. The criteria vary according to substance categories but may include **electrophoretic**, **chromatographic**, **spectrometric**, spectroscopic, crystallographic, or other analytical methods. Supply **sequencing** or **functional data** for all biological constructs, such as fusion proteins, plasmids, etc.”

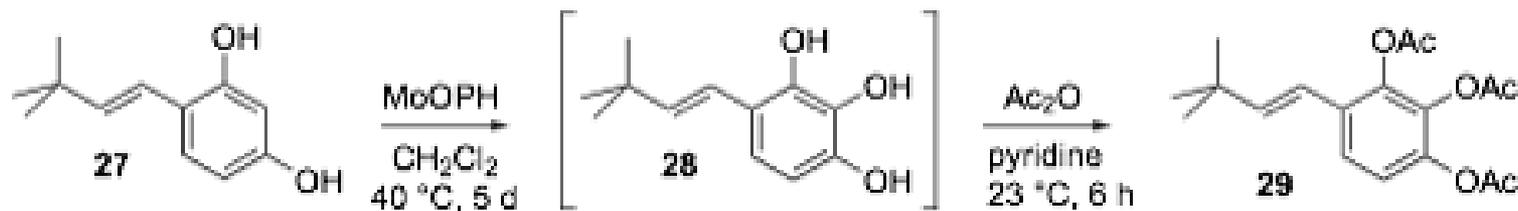


Exceptions to Standard Characterization

Consult with your advisor in these situations.

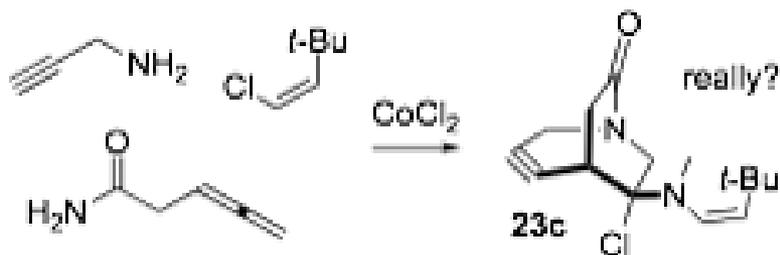
- **Sensitive (unstable) compounds**

Explain their reactivity (thermal ring-opening, oxygen autoxidation, acid-sensitivity). Estimate the final level of purity and offer convincing evidence of the chemical structure. You can convert it to a stable, characterizable derivative.



- **Unexpected chemical structures:**

Require more detailed characterization.



Evaluation Criteria for the Second Year Research Report

Your report should be perfect.

- The spelling should be perfect.
- The grammar should be perfect.
- The references should be perfect with perfect formatting, perfect spelling, and perfect scholarship.
- The layout should be perfect.
 - Consistent fonts
 - Consistent structure drawing settings
 - Consistent orientation of chemical structures
- Drawings should appear professional
 - Key features should be clearly labeled
 - Easily readable font sizes should be chosen
- Writing or figures from other sources should be clearly referenced.

Advice For Preparation

1. Read a perfected report and a from a senior student and look at some completed Ph.D. theses from your group.
2. Talk about the report with a senior lab member and your advisor
3. Get help. **Have senior students and your advisor read over it.**
4. Consult Fall 2014 Chem 200 lecture notes for more details about Second-Year Reports, Orals, Research Notebooks, and Compound Characterization; or I will email

<https://eee.uci.edu/14f/41133/Lectures.html>

2014 CLASS LECTURES ON ETHICS

Lecture #1: Scholarly Writing and Plagiarism

Lecture #2: Responsibilities of Mentors, Trainees, Authors and Reviewers

Lecture #3: Scientific Data, and Ownership of Results

Lecture #4: Copyright

Lecture #5: Scientific Misconduct and Integrity

Lecture #6: Recordingkeeping and Characterization

Refer to the **2011 web site** for an earlier version of these lectures, with additional details and text.

Lecture #1: Scientific Misconduct

Lecture #2: Data and Recordkeeping

Lecture #3: Responsibilities of Mentors, Trainees, Authors, and Reviewers

Lecture #4: Copyright

Lecture #5: Plagiarism

ADVISOR SELECTION FORM

A form for organizing your choices for research advisor. Email it to me Friday, December 5, at noon.

ADDITIONAL LECTURES

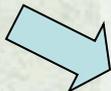
Second-Year Reports, Orals, and Beyond Notebooks and Characterization Lecture

2014 Second-Year Report Advising Meeting slides

Guidelines for Writing Research Reports

Criteria for Characterization of Organic Compounds

Experimental Details for Chemical Biology



Who Reviews the Second-Year Report?



- The second-year report is assigned for review by **two faculty referees**, much like a manuscript or grant application.
- Your Ph.D. advisor does not review your report.
- Each faculty member reviews 2-4 reports, depending on the number of reports to be reviewed.
- Faculty meet to discuss their reviews, identify weak and strong students, and determine the outcome.

How is the Second-Year Report Reviewed?

Two faculty members will be assigned the report as primary readers.

Second-Year Written Examination in Organic Chemistry		
2013		
Student: _____		
<hr/> <hr/> <p>The outcome of this exam is based on an assessment of research progress as well as the quality and accuracy of the written report. These factors are considered independently, and satisfactory completion of both components is required to receive a "Pass." A "Rewrite required" rating will require that a revised version of the document be deemed satisfactory before the exam has been formally passed.</p> <hr/> <hr/>		
Research Progress: Satisfactory <input type="checkbox"/>	Marginal Progress <input type="checkbox"/>	Unsatisfactory <input type="checkbox"/>
Reviewer's Comments:		
<hr/> <hr/>		
Written Report: Accept without revision <input type="checkbox"/>	Accept with minor revision <input type="checkbox"/>	
Accept after major revision <input type="checkbox"/>	Unsatisfactory <input type="checkbox"/>	
Reviewer's Comments:		

Outcomes of the Second-Year Written Report / Exam

- 1. Pass**
- 2. Deferred for written, pass upon rewrite/approval**
- 3. Research progress needs to accelerate, but acceptable**
- 4. Fail**