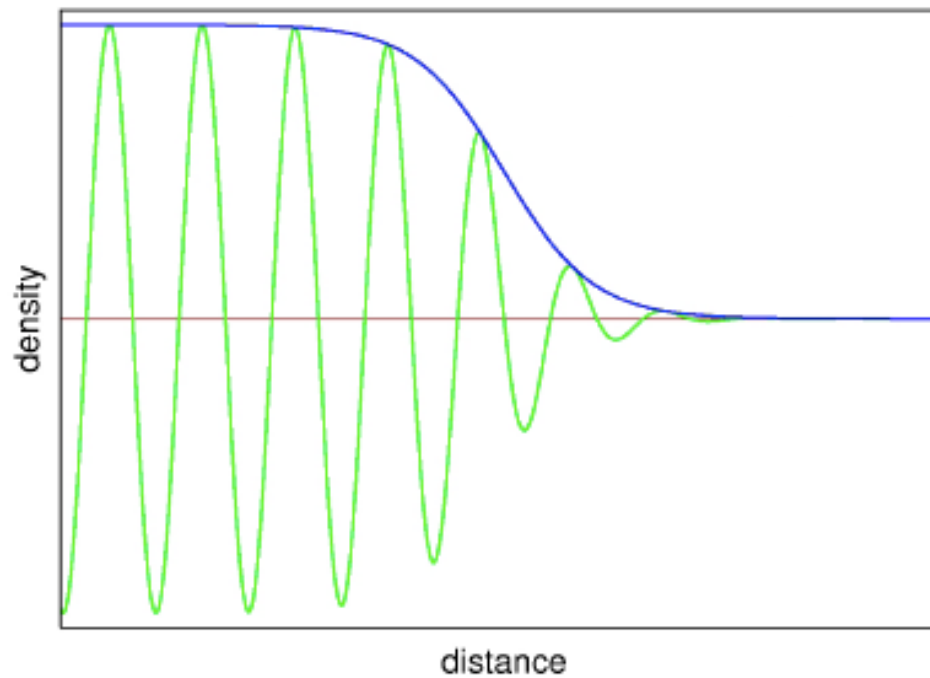




The Phase Field Crystal Model



Idea: Free energy formulation for number density ρ



$\phi = (\rho - \rho_{eq})$ Phase Field Crystal Model

Mobility

$$\partial_t \phi = \nabla \cdot (M(\phi) \nabla \mu)$$

$$\mu := \delta_\phi E(\phi)$$

Temperature

$$E(\phi) = \int_{\Omega} \left\{ \frac{1}{4} \phi^4 + \frac{1-\epsilon}{2} \phi^2 - |\nabla \phi|^2 + \frac{1}{2} (\Delta \phi)^2 \right\} d\mathbf{x}$$

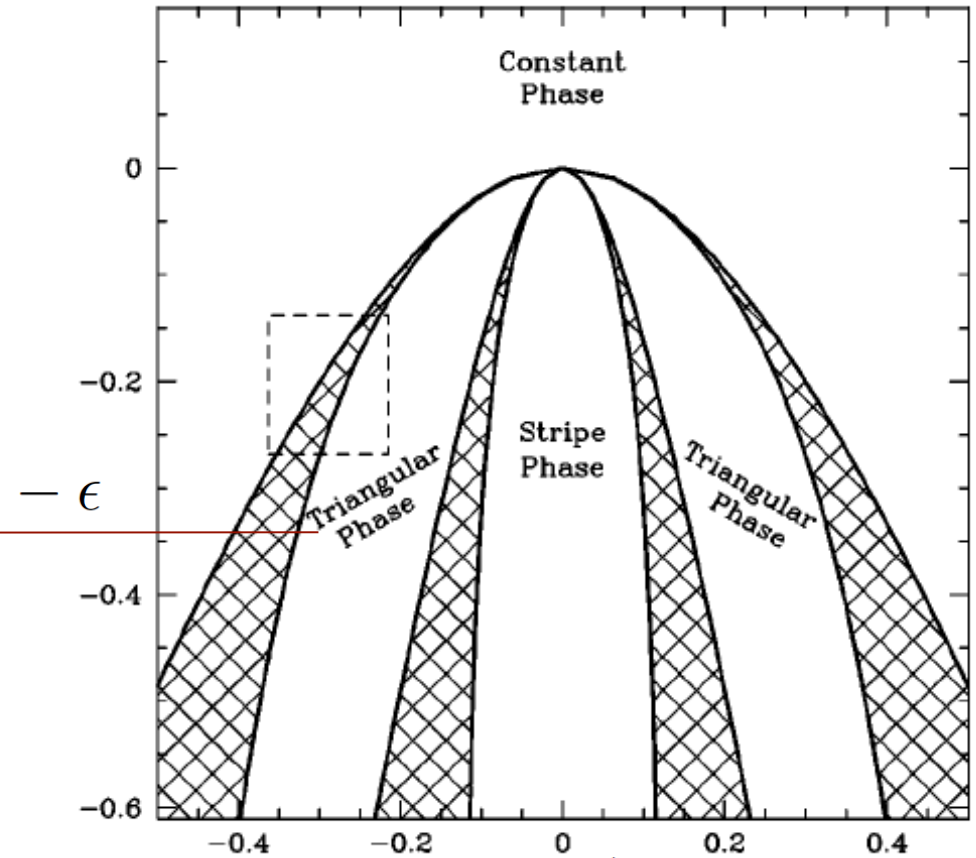
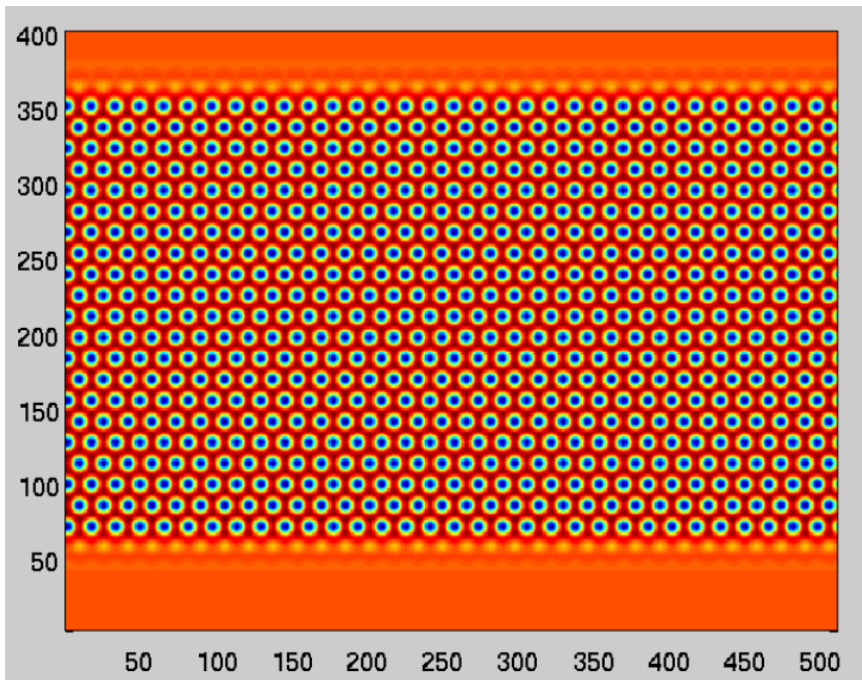
$$\epsilon \leq 1, \epsilon \in \mathbb{R}$$

Swift-Hohenberg

$$-\epsilon \approx \Delta T$$

Phase Diagram

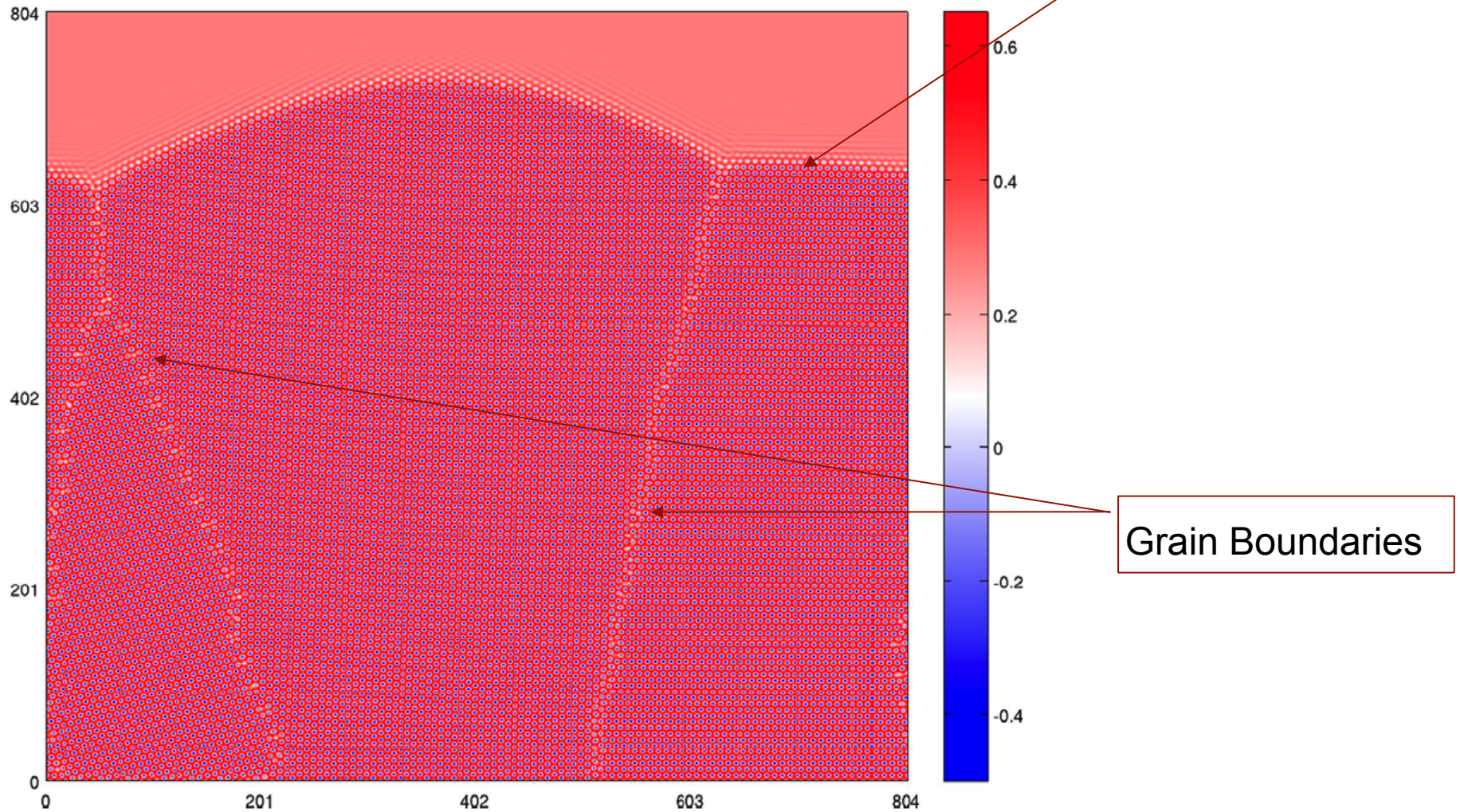
Elder et al [PRE 051606 (2004)]



$$E(\phi) = \int_{\Omega} \left\{ \frac{1}{4} \phi^4 + \frac{1-\epsilon}{2} \phi^2 - |\nabla \phi|^2 + \frac{1}{2} (\Delta \phi)^2 \right\} d\mathbf{x} \quad \phi \text{ (average)}$$



Phase Field Crystal

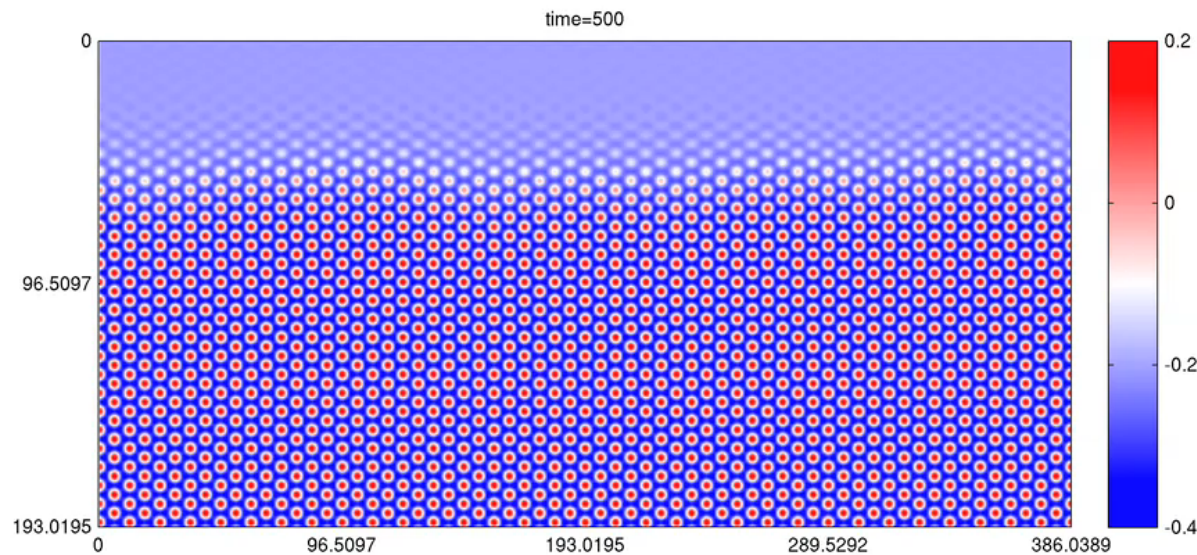




Strained Film



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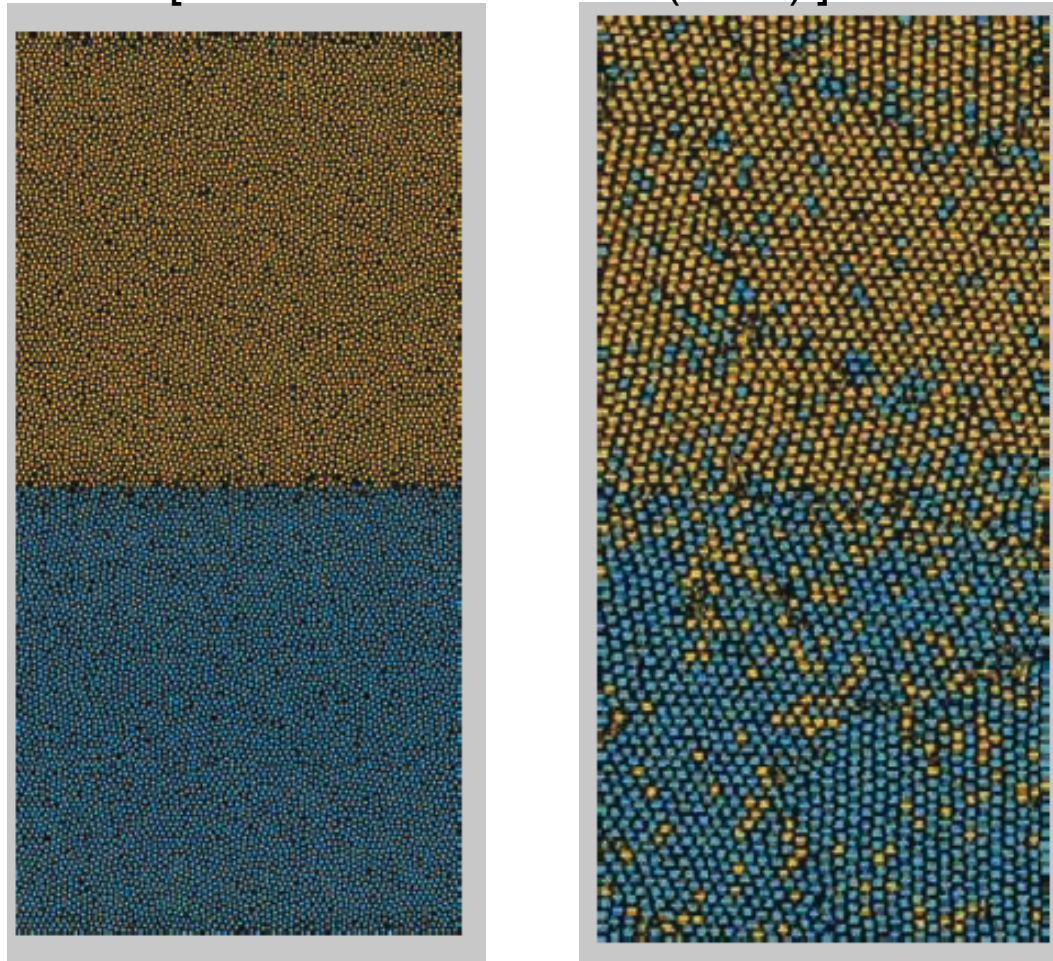


- Strain is applied to the film by changing the dimension of the film.
- Strained film has higher free energy
- To release the energy, the film produces undulation, or create dislocations.
- Film flattens after the stresses are relieved by the annihilation of dislocations.



Phase Field Simulation of Binary Alloy

[N Provatas et al JOM (2007)]



Elastic and Interfacial Energy

Elastic Interactions

$$\phi(\vec{x}) = \phi_{eq}(\vec{x} + \vec{u}) + \delta$$

$$E(\phi) = E(\phi_{eq}) + \int d\vec{x} (C_{ijkl} u_{ij} u_{kl}) + \dots \longrightarrow \text{Hook's Law}$$

Anisotropic Interfacial Energy

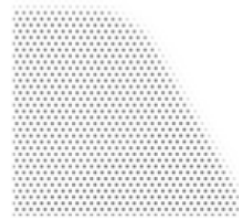
R. Backofan and A. Voigt J. Phys. Condens. Matter 21. (2009) 464109



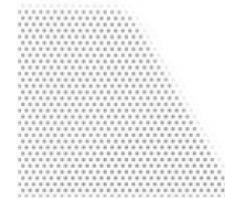
1



2



3



4



5



PFC Advantages :

- 1 Efficient numerical evolution though straight forward finite difference schemes
- 2 Captures the basic energetics
 - a) Elastic Energy (Linear Elasticity)
 - b) Anisotropic Interfacial Energy
- 3 Captures Atomistic details including
 - a) Grain Boundaries
 - b) Defect formation



PFC Disadvantages :

- 1 Modeling Pyrite Structure is difficult
 - Random arrangement of the two species on the lattice in Binary alloys
- 2 Surface rearrangement of atoms is hard to model
- 3 Determining the elastic field of the crystal is hard (computationally expensive)
- 4 Lack of resolution of difference on relaxation and diffusion time scale

$$\frac{\mathcal{F}}{k_B T} = \int d\vec{r} \left[\rho_A \ln \left(\frac{\rho_A}{\rho_L^A} \right) - \Delta\rho_A + \rho_B \ln \left(\frac{\rho_B}{\rho_L^B} \right) - \Delta\rho_B \right] - \frac{1}{2} \int d\vec{r}_1 d\vec{r}_2 [\Delta\rho_A(\vec{r}_1) C_{AA}(\vec{r}_1, \vec{r}_2) \Delta\rho_A(\vec{r}_2) + \Delta\rho_B(\vec{r}_1) C_{BB}(\vec{r}_1, \vec{r}_2) \Delta\rho_B(\vec{r}_2) + 2\Delta\rho_A(\vec{r}_1) C_{AB}(\vec{r}_1, \vec{r}_2) \Delta\rho_B(\vec{r}_2)],$$

Expand 2-particle correlation function.

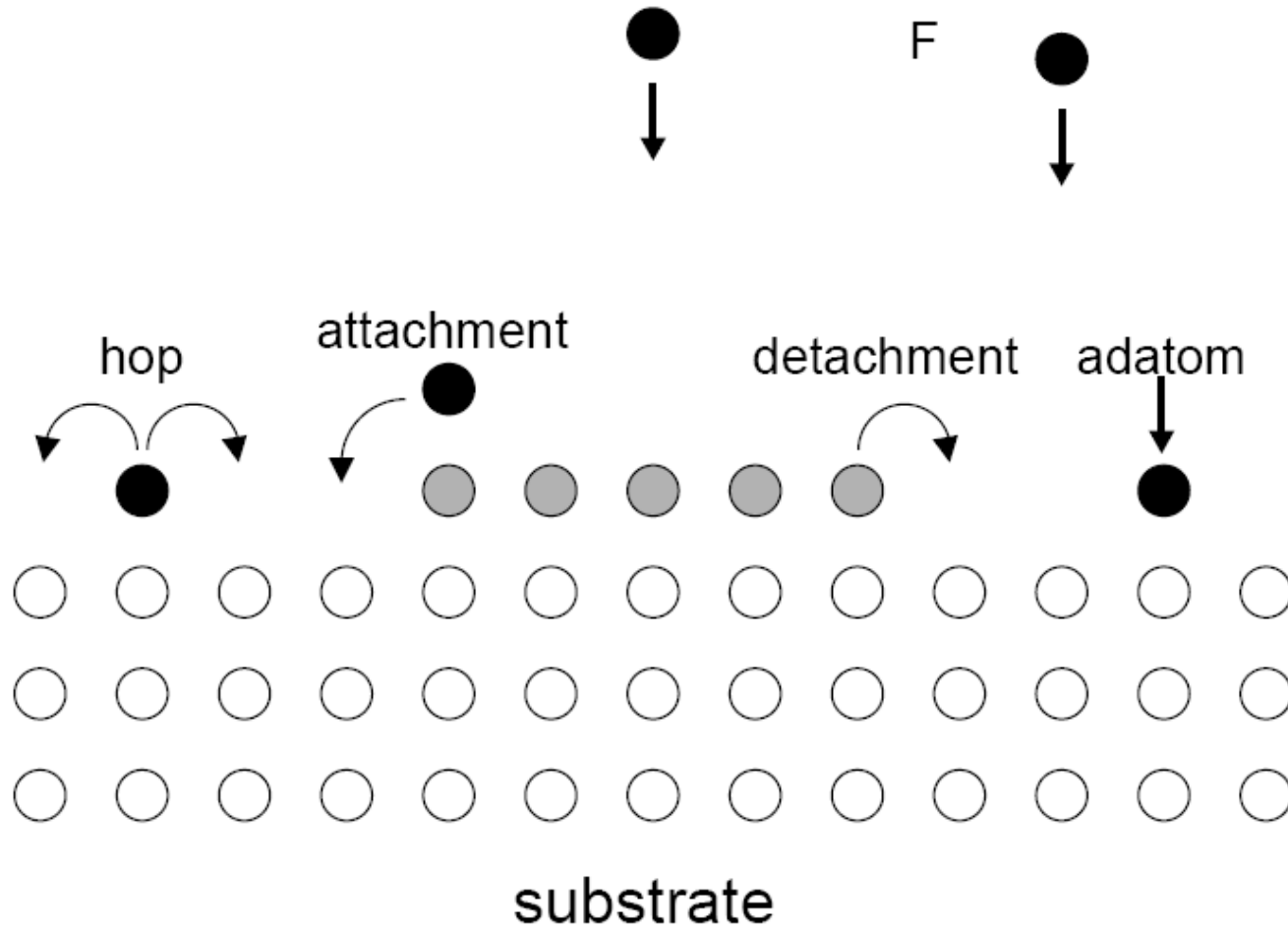
$$C_{ij} = [\check{C}_{ij}^0 - C_{ij}^2 \nabla^2 + \check{C}_{ij}^4 \nabla^4] \delta(\vec{r}_1 - \vec{r}_2)$$

$$\frac{\partial n}{\partial t} = M_1 \nabla^2 \frac{\delta \mathcal{F}}{\delta n},$$

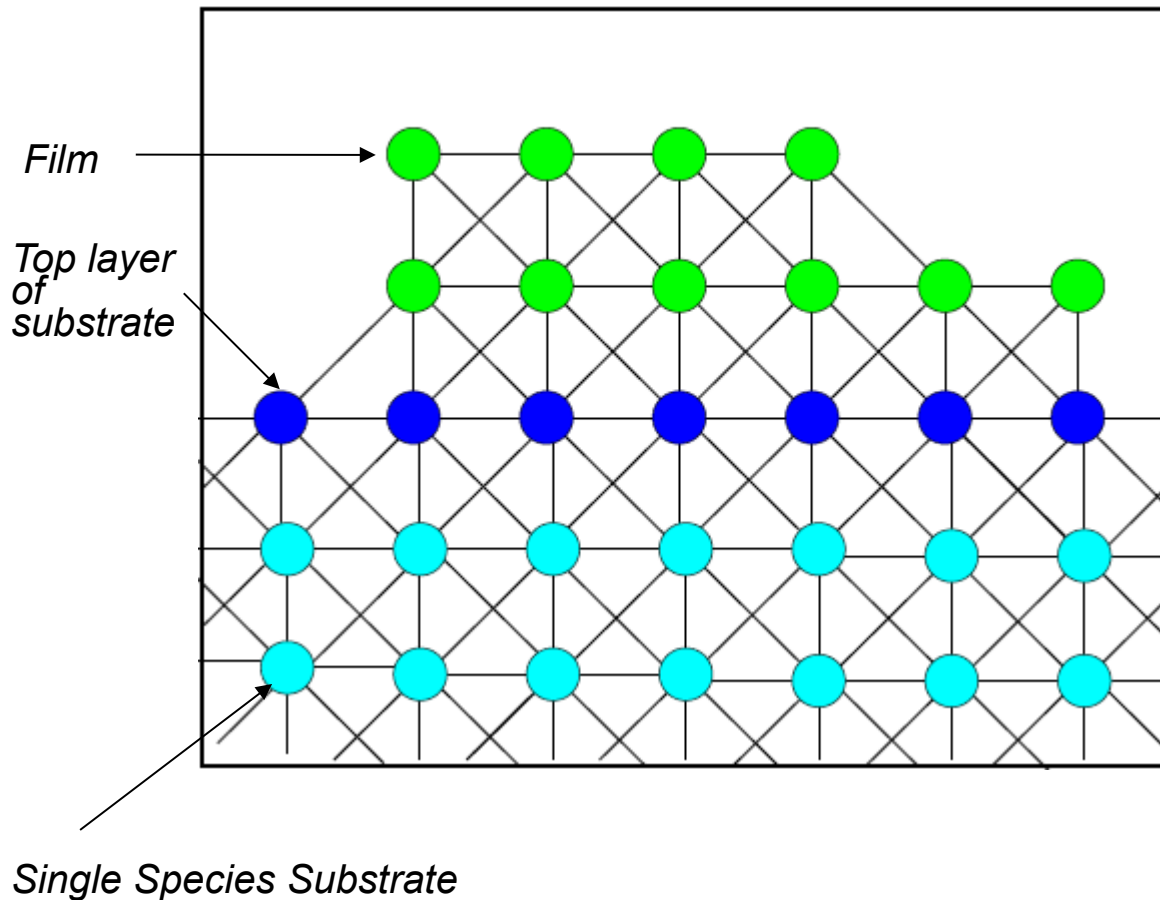
$$\frac{\partial(\delta N)}{\partial t} = M_2 \nabla^2 \frac{\delta \mathcal{F}}{\delta(\delta N)},$$



Evolution of KMC Model



Example : KMC Model for a Si/Ge system to study Stranski - Krastanov Growth



What is a Crystal?

- Atoms on a square lattice
- Semi-infinite in the y direction
- Infinite in the x direction (We use a periodic boundary...)
- Nearest and next to nearest neighbour bonds
- Nearest and next to nearest neighbour springs

How does it evolve?

- “Surface atoms are allowed to hop to neighbouring vacant surface site”
Surface Diffusion



Hopping Rates - Lam, Lee & Sander (2002)

$$R = \omega \exp(-\Delta E / kT)$$

$$\Delta E = E(\text{with the atom}) - E(\text{without atom})$$

ω is the attempt frequency

kT is the thermal energy

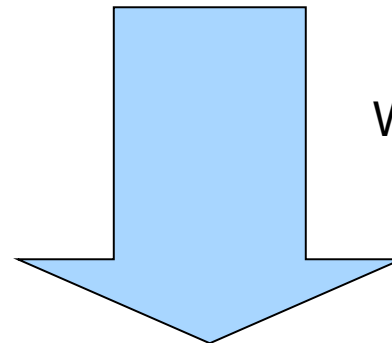
E = energy of the state (configuration)

$$E = E_{\text{chem}} + E_{\text{elastic}}$$

E_{chem} bond energy (bond counting)
 E_{elastic} energy stored in springs

These rates satisfy :

- Detailed balance
- Ergodicity



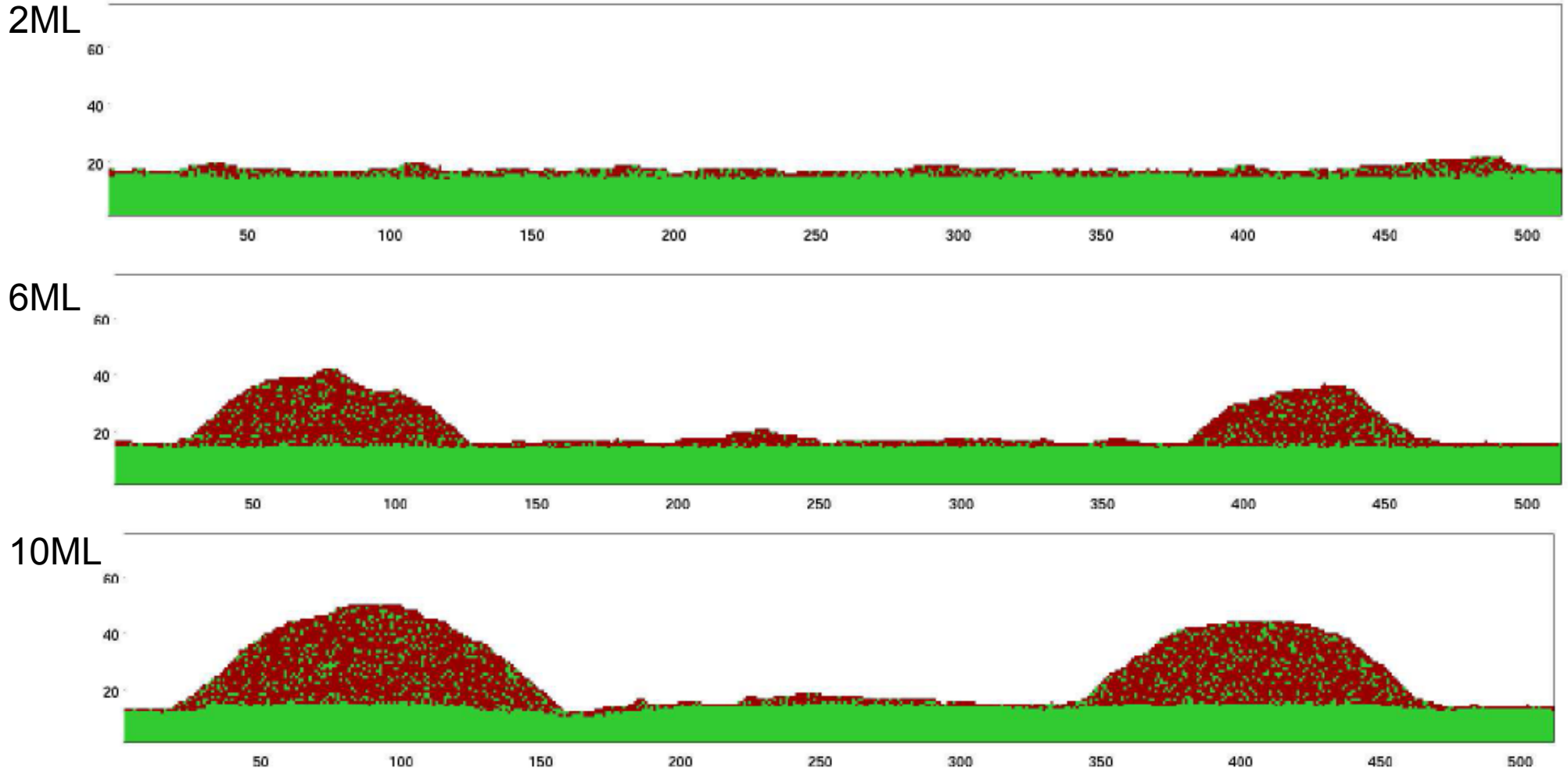
Wait long enough...

Boltzmann Equilibrium Distribution



Crystal Grown with different bonds strengths

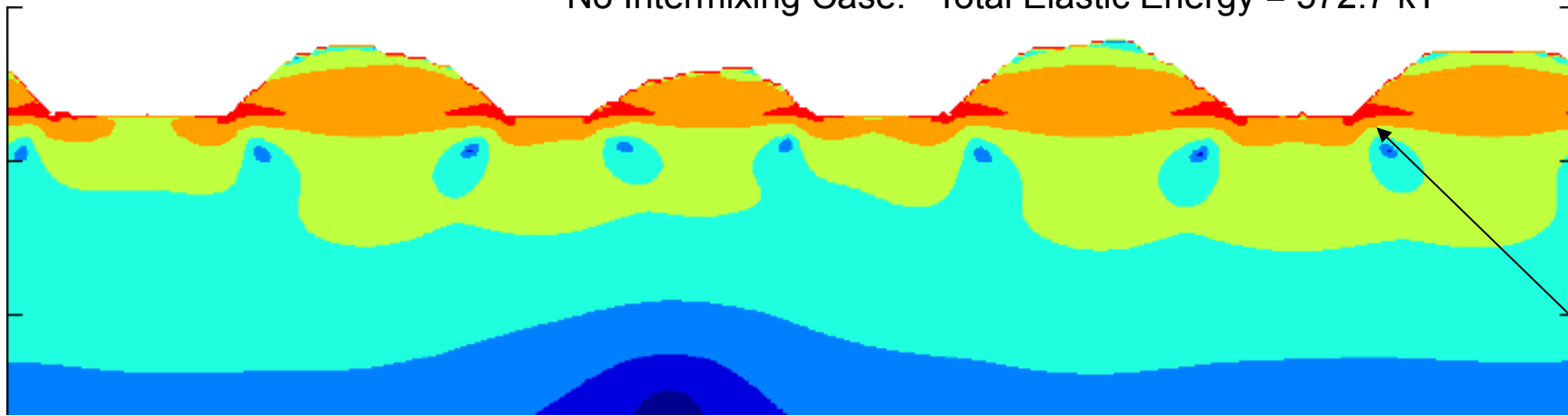
Si-Si = 0.37 eV Ge-Si = 0.355 eV Ge-Ge = 0.34 eV



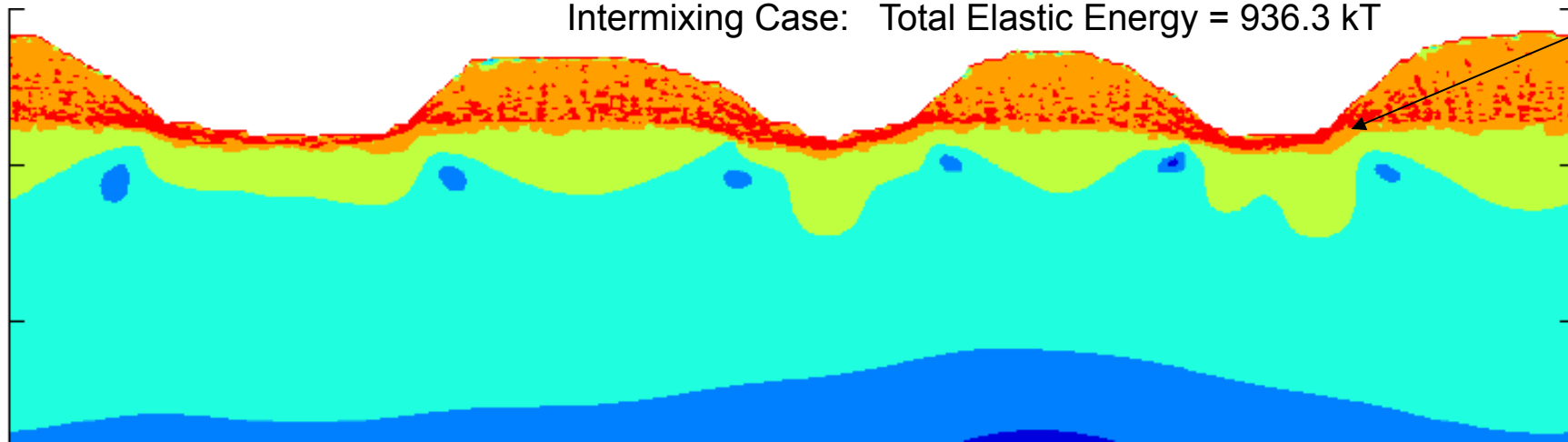


Elastic Energy Density with and without intermixing of Ge/Si

No Intermixing Case: Total Elastic Energy = 572.7 kT



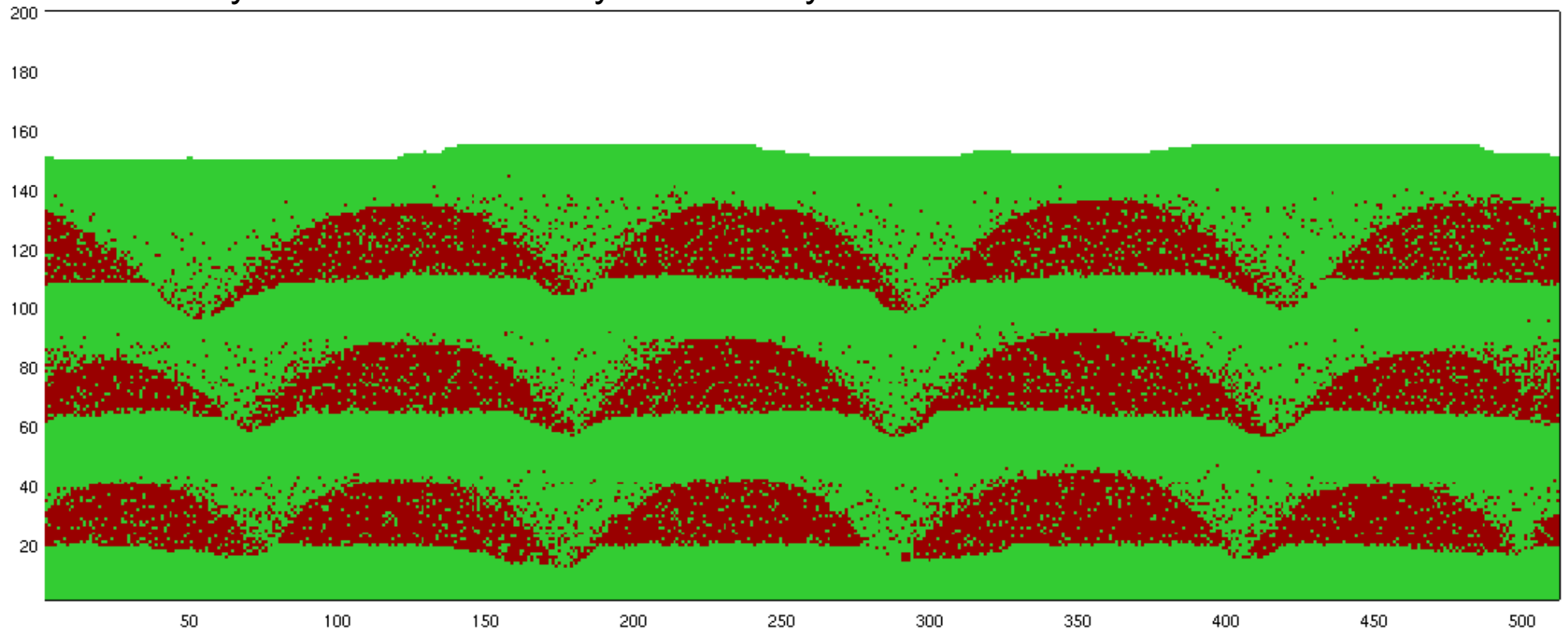
Intermixing Case: Total Elastic Energy = 936.3 kT





Stacked quantum dots

10 Monolayers of Ge followed by 15 Monolayers of Si





KMC Advantages :

- 1 Modeling Pyrite Structure will be straight forward
- 2 Surface rearrangement of atoms is modeled through introduction of new events
- 3 Determining the elastic field of the crystal is simple
- 4 The model is stochastic and captures entropy
It minimizes the Free energy rather than the energy of the system



PFC Disadvantages :

- 1 Developing a discrete elastic model for the pyrite structure is not straight forward
- 2 Numerical evolution for systems with misfit strain is expensive
Elastic computations are expensive
- 3 Lacks the ability to capture the Defects and Grain Boundaries.



	PFC	KMC
Grain Boundaries/Defects	Yes	No
Surface Rearrangements	No	Yes
Pyrite Structure	Needs to be modeled	Yes
Control over material Constants/ parameters	No	Yes
Elasticity interactions in pyrite configuration	Yes	No