

# Scattering & Fluorescence

## **Rayleigh Scattering-** Elastic Scattering

"photon bouncing off a molecule/atom"

Scattered light has same  $\lambda$  as incident light.

## **Raman Scattering-** Inelastic scattering

Incident light alters vibrational or rotational energy

Happens to  $\sim 1$  in  $10^7$  photons

Scattered light has longer  $\lambda$  (lower energy)  
than the incident light.

## **Fluorescence-** Absorbed light electronically excites a molecule

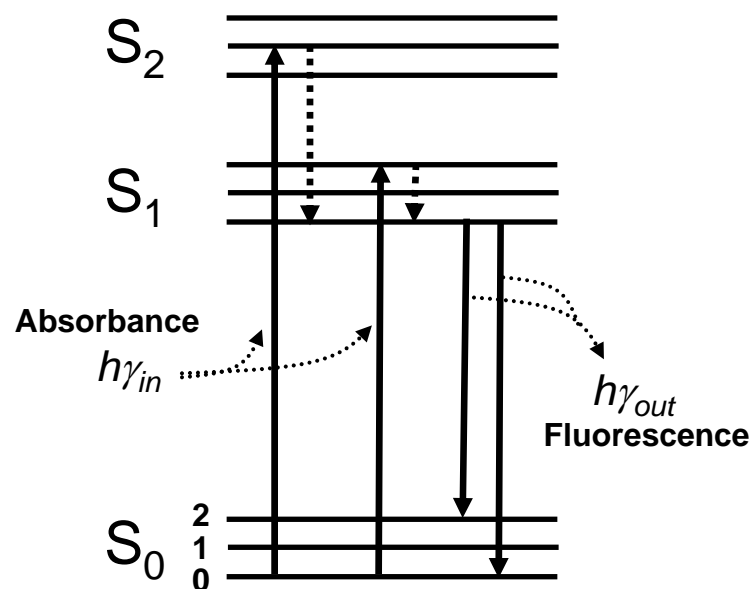
Decay after a resonance lifetime

Emitted light typically has a longer  $\lambda$  than incident light

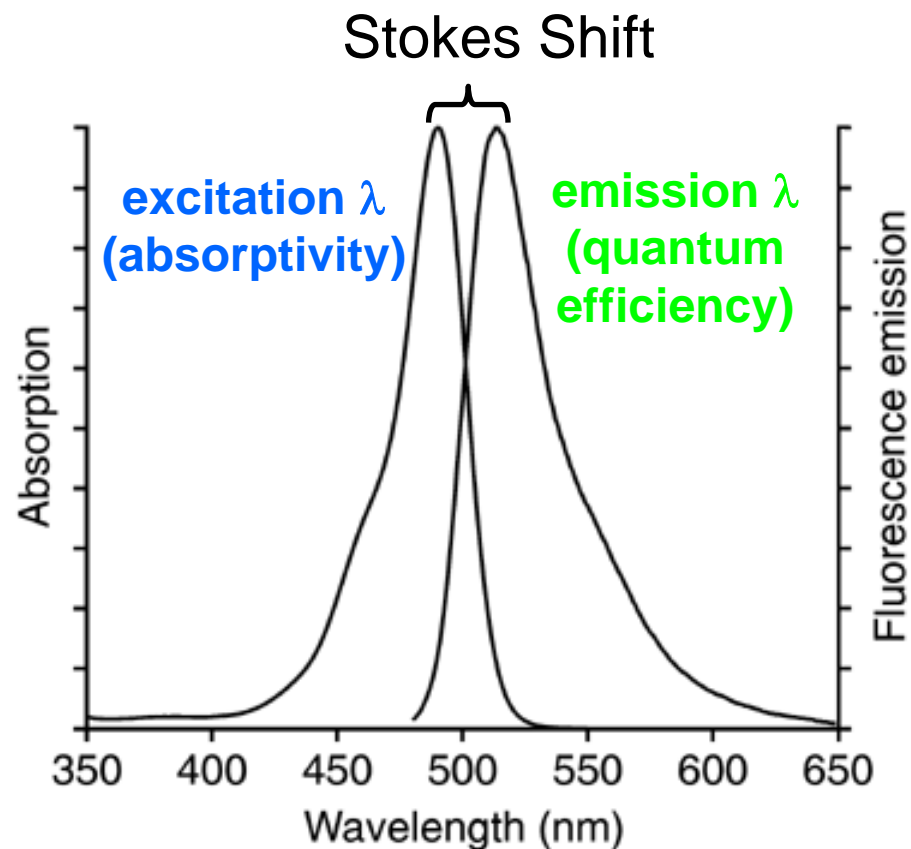
Can have a very high probability of occurring

# Fluorescence

## Jablonski Diagram



$S_0, S_1, S_2$  = Singlet ground, first, & second electronic states  
 0,1,2 = Vibrational energy levels



# Lecture 3

## Fluorescence Detection and Dyes for DNA Sequencing

DNA sequencing on slab gel in 1993

Sensitivity-  $10^{-17}$ - $10^{-18}$  moles of fluorophore/band  
(~500 bands per lane- A lot!!!!)

**Can't sequence genome if need this much DNA!!!!**

**Analytical Chemistry to the rescue!!!!**

Definitions for CE

atto:  $10^{-18}$

zepto:  $10^{-21}$

yocto:  $10^{-24}$

Limits of Detection for CE

1. Concentration (CLOD)- molar

2. Mass (MLOD)- moles

# Fluorescence Detection By CE

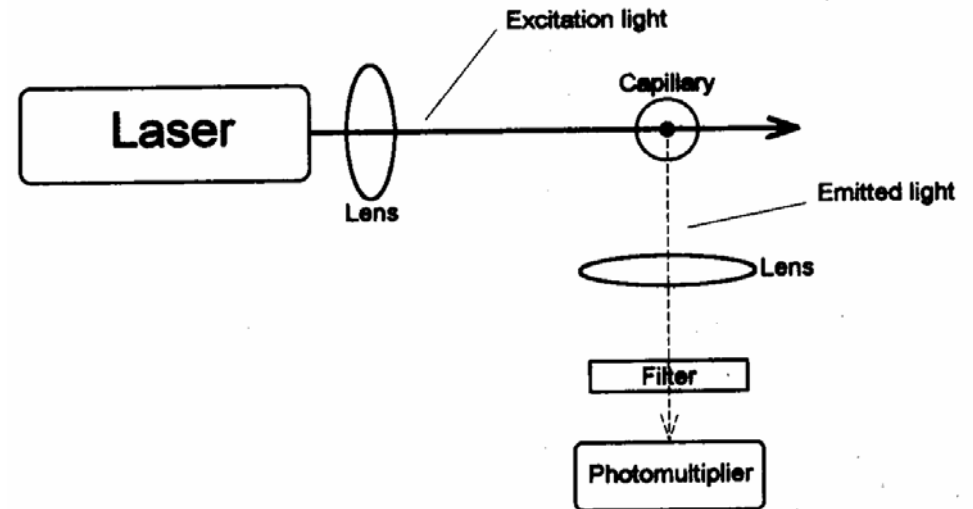
## Components:

Excitation Source

Detection Cell/Window

Light Collection Optics

Detector



## Excitation Source- Laser

(for fluorescence measurements by CE)

Coherent, Low Divergence,

Monochromatic, High photon flux

High beam quality- focused to small spot size.

# Excitation Source

**Argon Ion Laser-** Major lines at 488 & 514 nm

1. Very popular
2. Plethora of fluorophores exciting at 488 nm  
(fluorescein, its relatives, & others)
3. Small & relatively rugged
4. Low noise versions
5. Long lifetimes & relatively inexpensive
6. Used to sequence the human genome

"Going the way of the typewriter!!!"

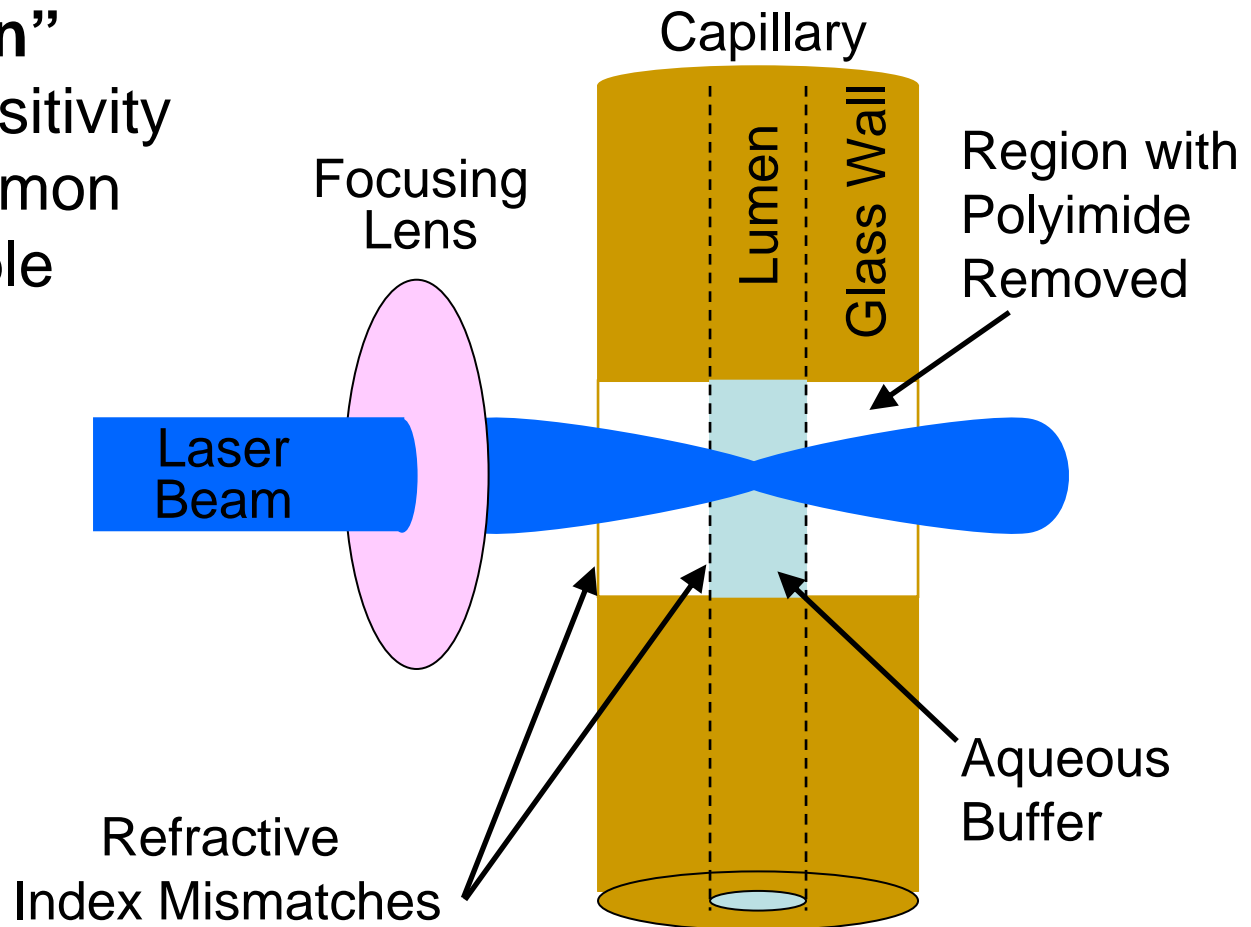
**Most popular now-**

**Solid-State, Blue Lasers- available at 473 or 488 nm.**

# Detection Cell/Window

## “On-Column”

1. Good sensitivity
2. Most common
3. Very simple
4. Low cost

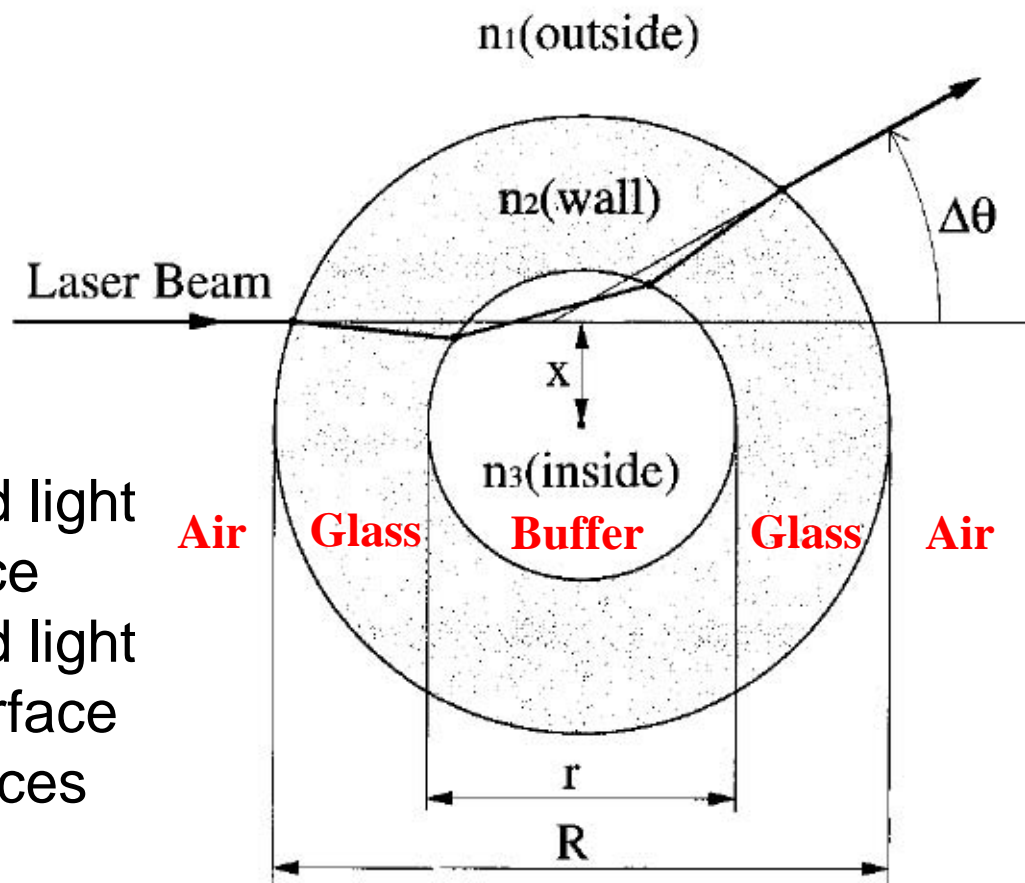


# Detection Cell/Window

“On-Column”

## Issues:

1. Reflected/scattered light at air:glass interface
2. Reflected/scattered light at glass:water interface
3. Curved glass surfaces
4. Glass impurities



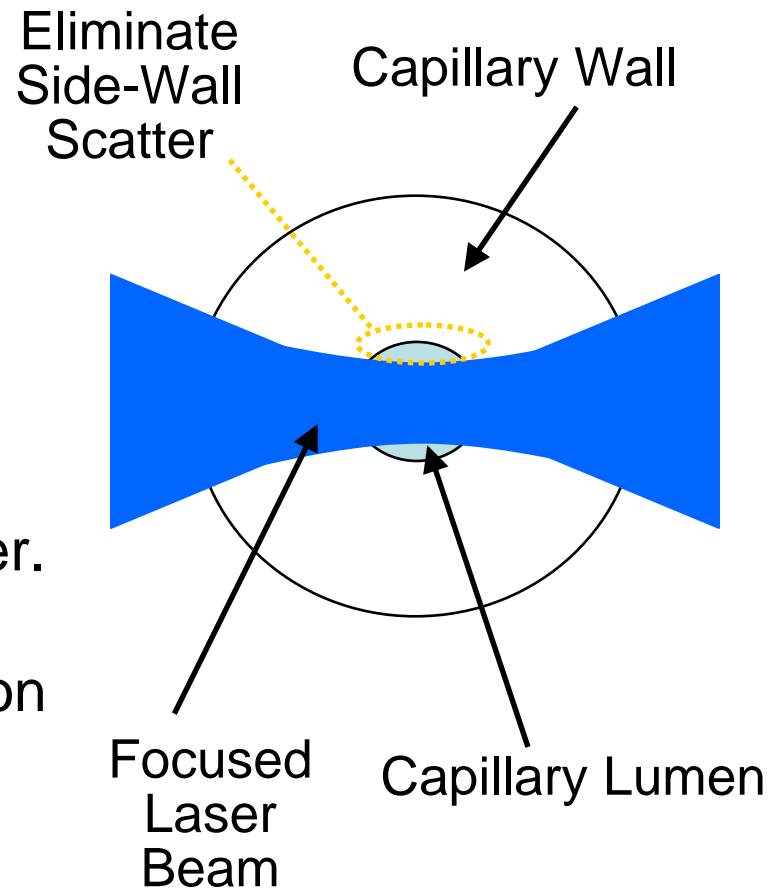
Anazawa et al, Anal. Chem. 1996, 68:2699.

# Detection Cell/Window

## “On-Column”

### Partial solutions:

1. Best sensitivity with visible- $\lambda$  fluorophores.
2. Focus laser beam to a size smaller than the lumen diameter.
3. Use confocal excitation/emission (see subsequent section)



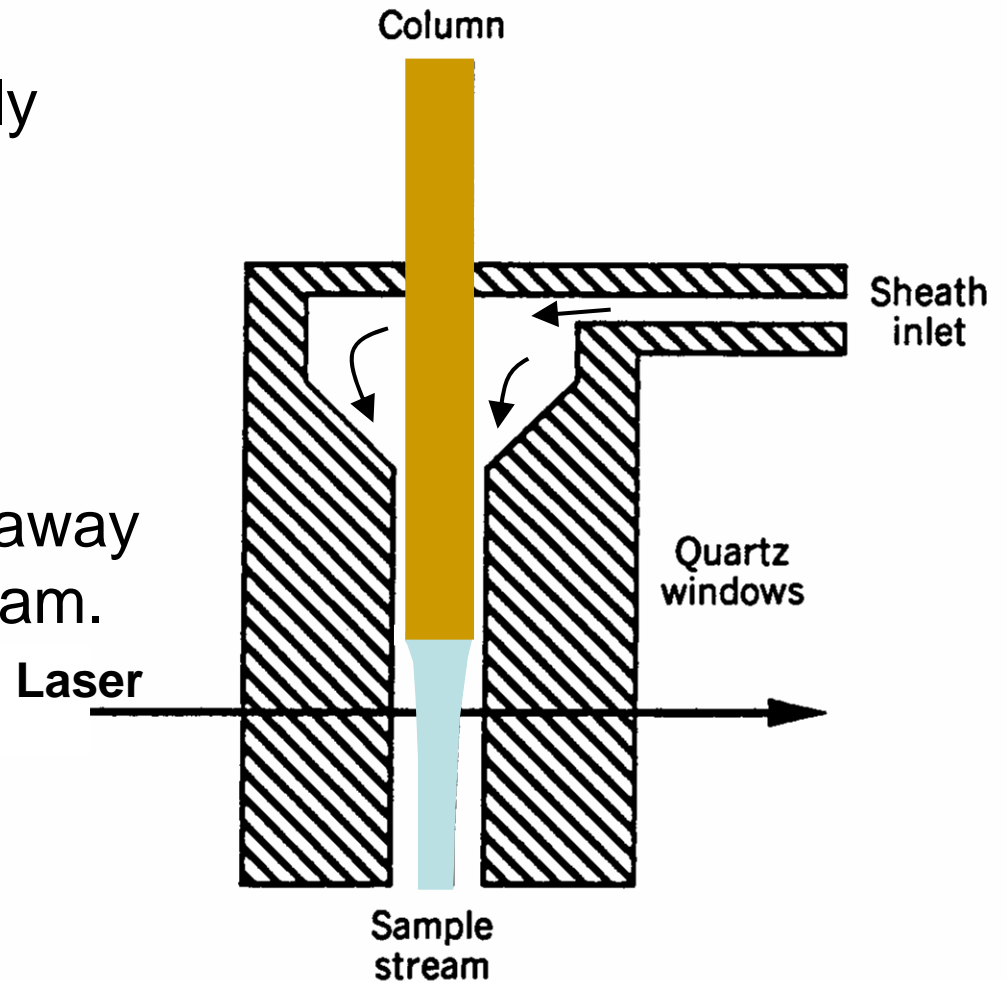


# Detection Cell/Window

**“Off-Column”**- Spatially & Spectrally Separates Fluorescence from High Background

1. Moves index of refraction mismatch away from the analyte stream.
2. Flat, high quality quartz window
3. Ultra-high sensitivity

**Issues:**  
Complexity

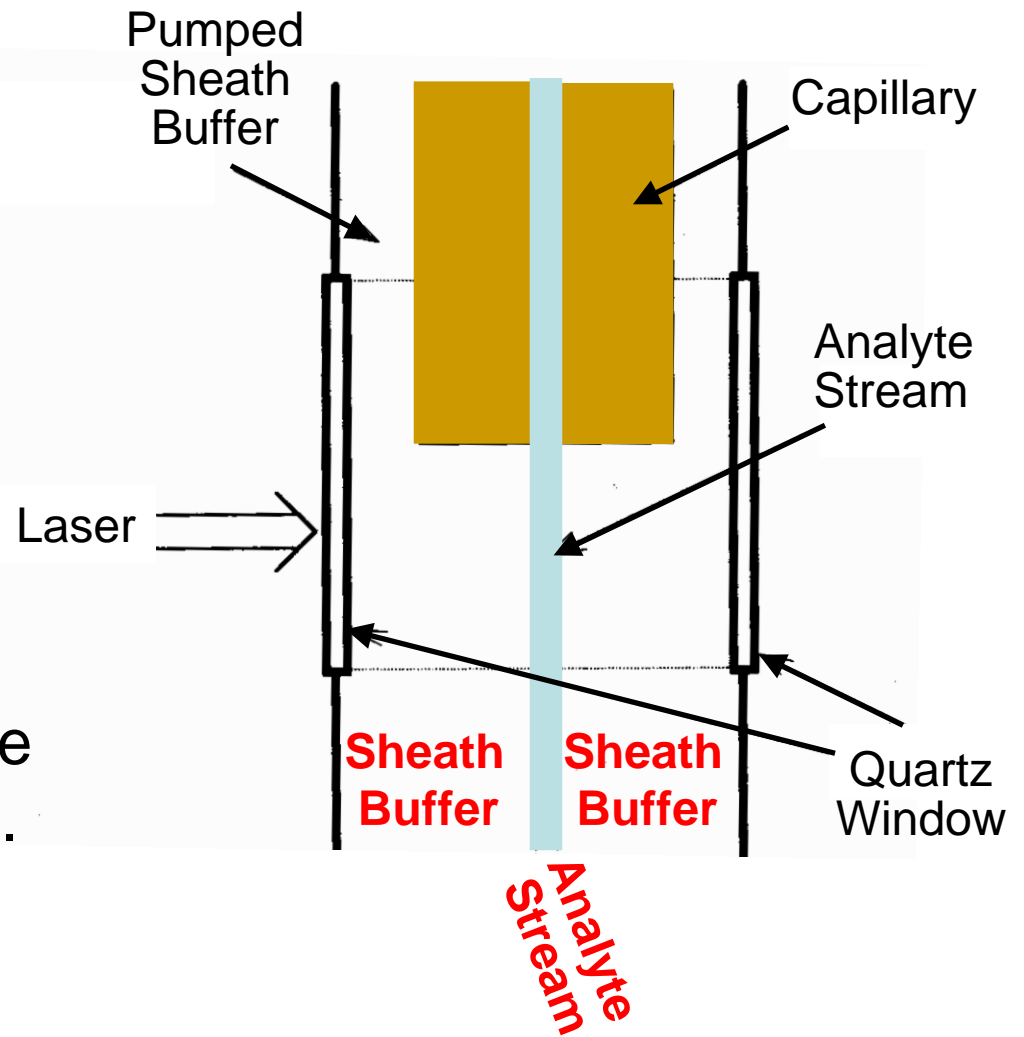


Cheng & Dovichi, 1988, Science, 242:562.

# Detection Cell/Window

## “Off-Column”

1. Rate of sheath flow controls diameter of analyte stream.  
(no band broadening)
2. Laminar flow at low rates- No mixing with sheath fluid.
3. Laser is focused to the size of analyte stream.



# Light Collection & Detector

**Detector:** Photomultiplier Tube (PMT)

wide dynamic range

high sensitivity

low cost

## **Light Collection:**

1. Maximize collection of fluorescent light
2. Minimize collection of background light
  - a. Reflected/scattered light from interfaces
  - b. Rayleigh scattering- particulates
  - c. Raman scattering from water
  - d. Background fluorescence  
(buffer solution or glass walls)

# Maximizing Collection of Fluorescent Light

**Fluorescence-** Isotropic *i.e.* emitted in all directions

Need a Lens for Light Collection  
(typically microscope objective)

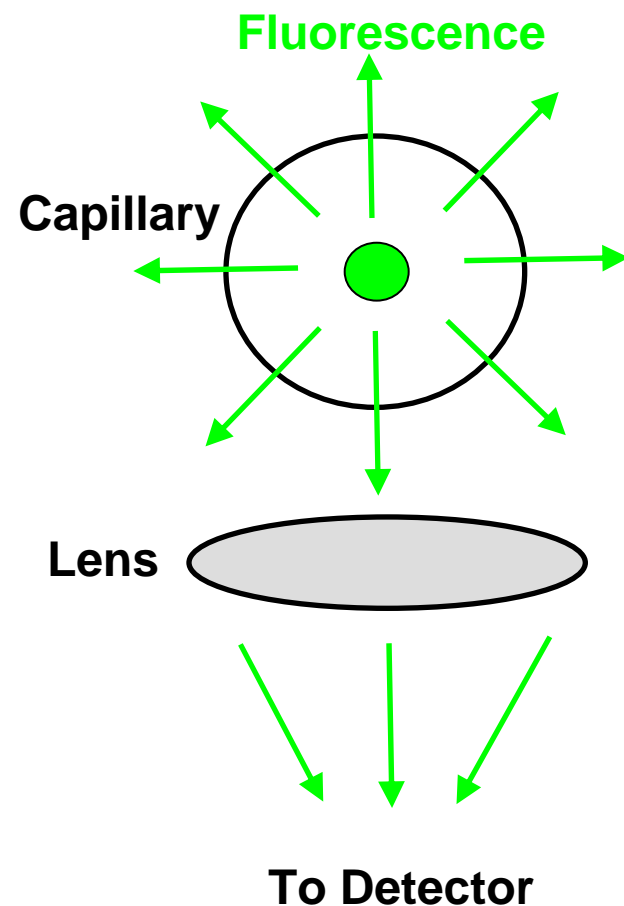
Fraction of light collected =  
Collection Efficiency =  
 $\sin^2[0.5 \arcsin(\text{NA}/n)]$

where

NA = numerical aperture

n = index of refraction of medium  
around lens = 1 (for air)

High NA microscope objectives  
give the best S/N.



# Minimizing Background Light- Raman

## Problematic Raman Band

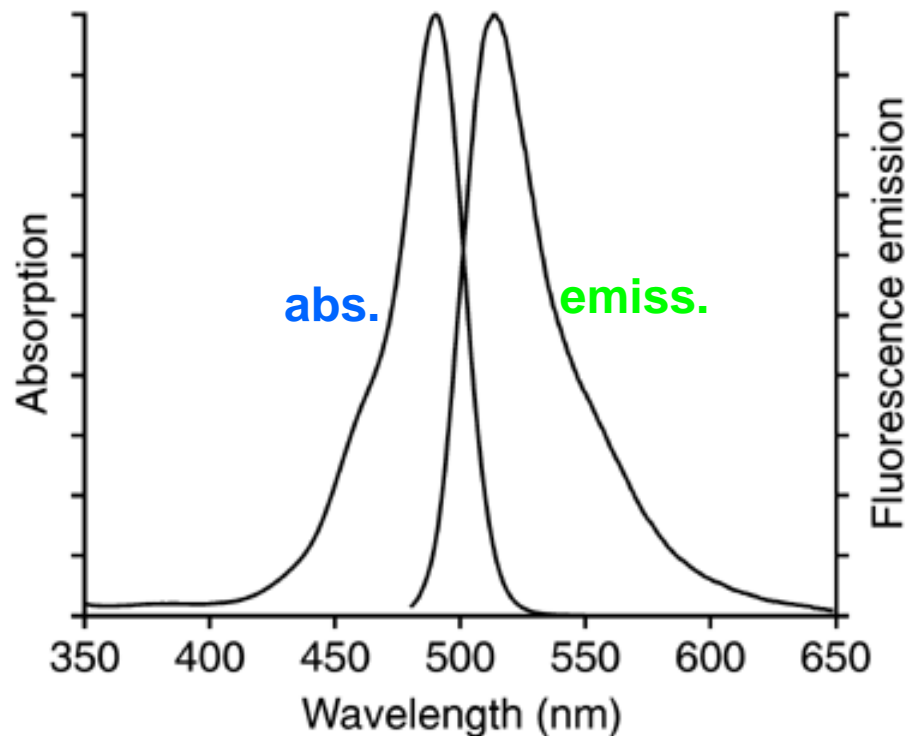
(using 488 nm laser line)  
is at 585 nm for water.

For best S/N, must  
spectrally separate  
Raman from  
fluorescein emission.

Requires a bandpass filter:  
 $\sim 500 \text{ nm} < \lambda < \sim 570 \text{ nm}$

**Note:** Filters- high transmission (>70%) in selected region  
but gratings (monochromators) have poor transmission (<1%).

Fluorescein Spectra



# **Minimizing Background Light**

## **Reflected Light/Rayleigh Scattered Light-** at 488 nm (same $\lambda$ as excitation).

Strategies:

### 1. Spectral filtering

Bandpass filter as with Raman

Notch/Razor filter-

Very high light rejection ( $OD > 6$ ) at excitation  $\lambda$

Very high transmission ( $>90\%$ ) at fluorophore emission

### 2. Spatial filtering

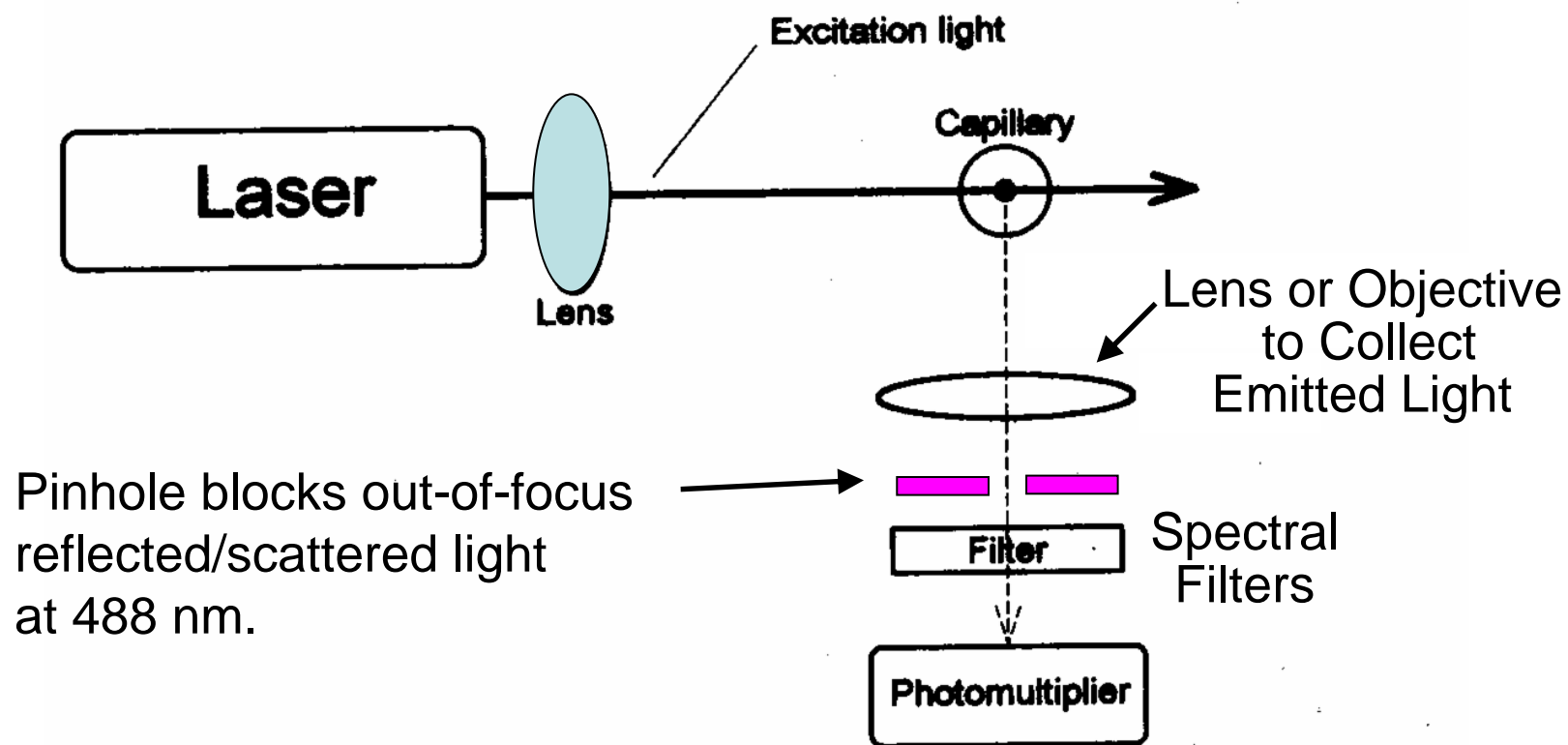
Optical Geometry-

a. Orthogonal

b. Epifluorescence (Confocal)

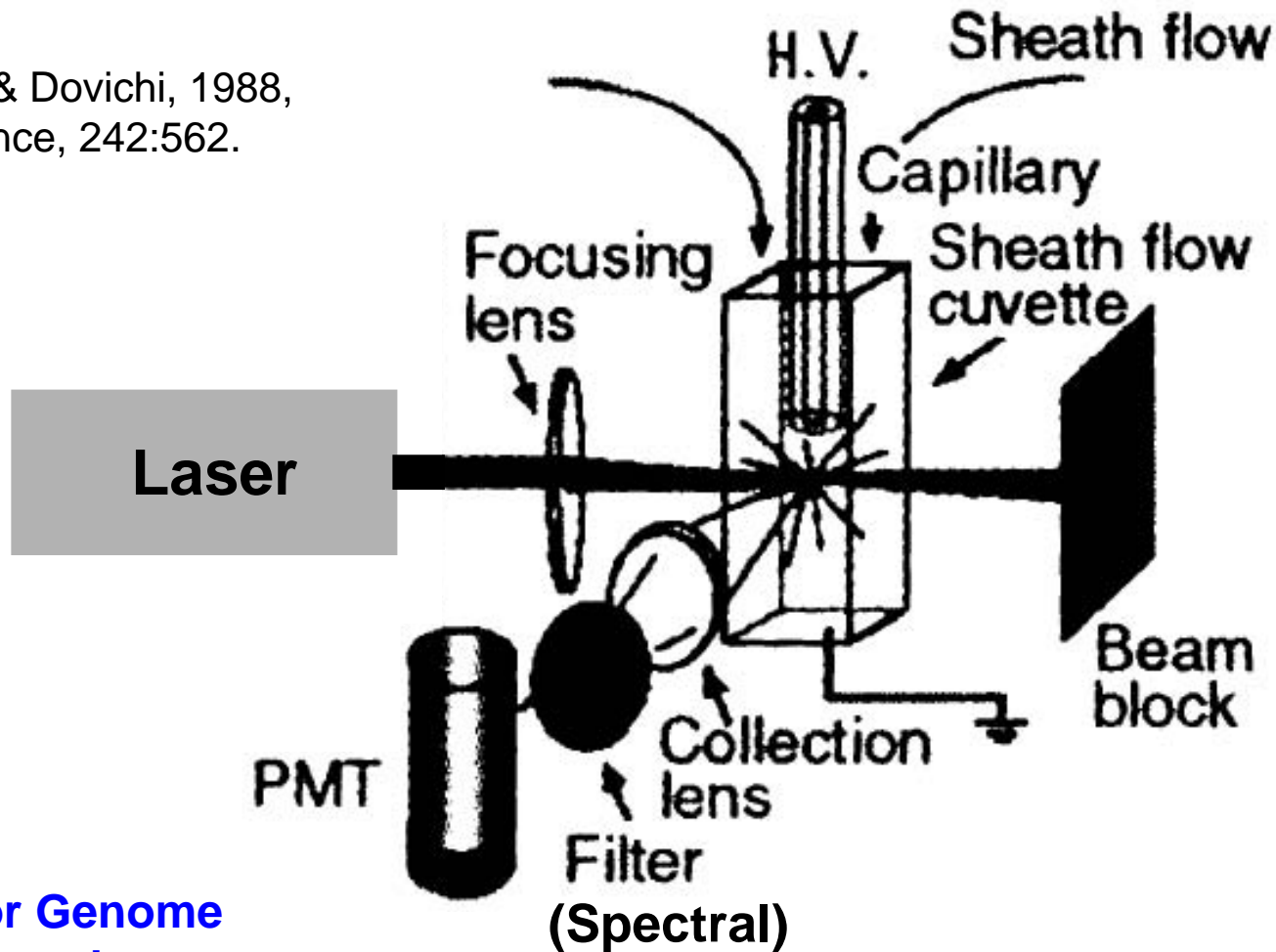
Apertures- Pinholes, Obscuration Bars

# Orthogonal Optical Geometry (On-Column Detection)



# Orthogonal Optical Geometry (Off-Column Detection)

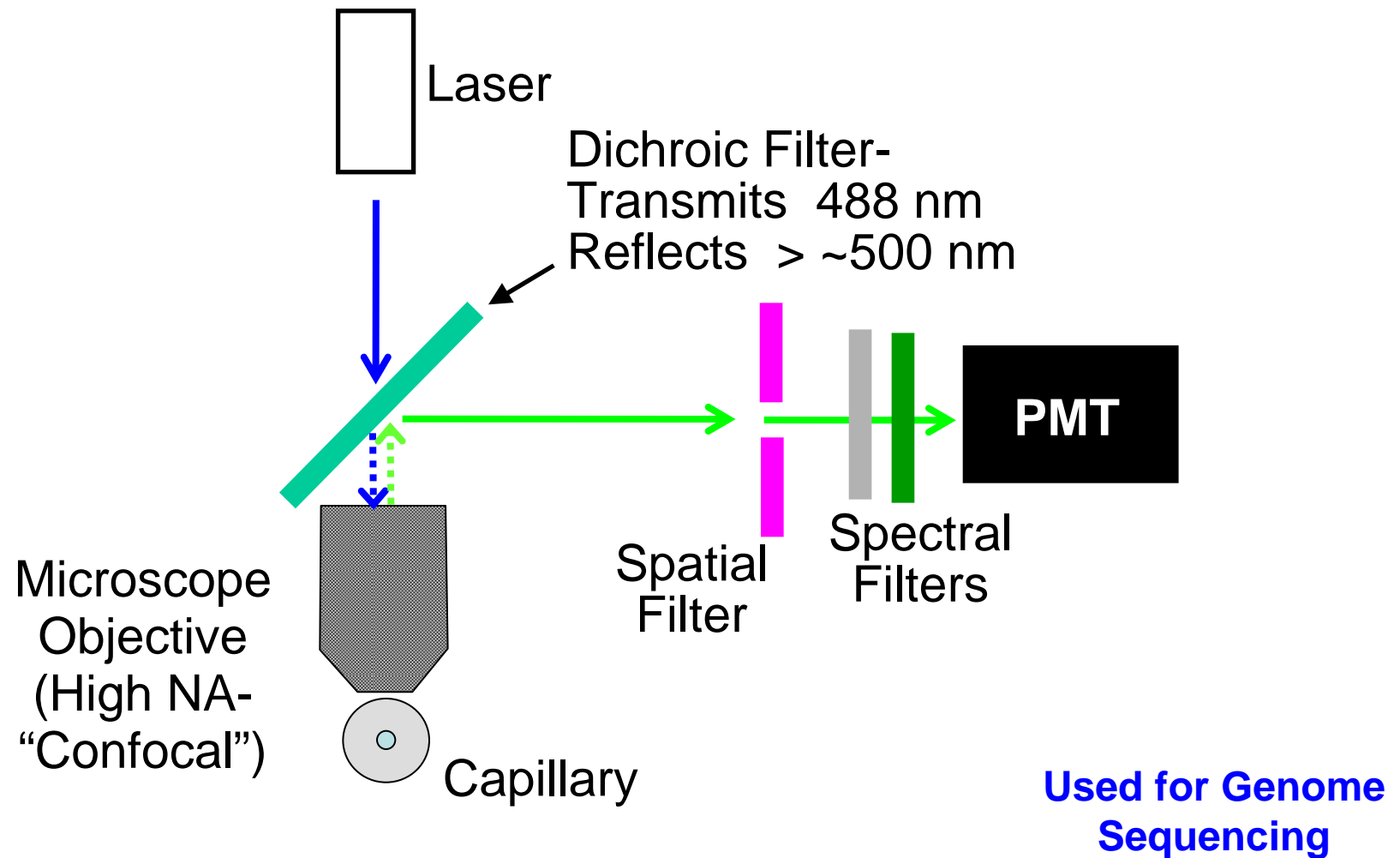
Cheng & Dovichi, 1988,  
Science, 242:562.



Used for Genome  
Sequencing



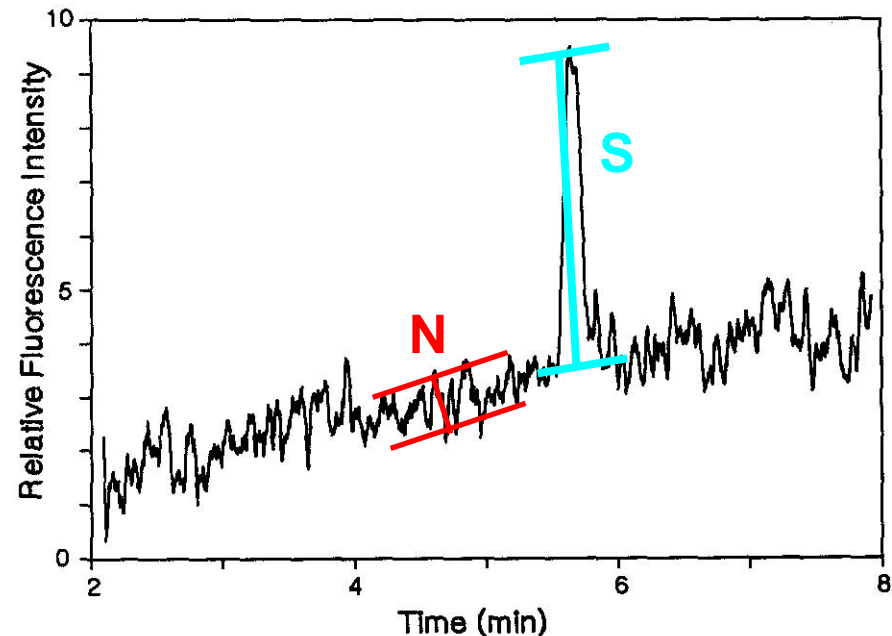
# Epifluorescence Optical Geometry (On-Column Detection)



# Optimizing Signal to Noise

1. Fluorescence increases linearly with laser power (until all fluorophores are excited).
2. Scattered light increases linearly with laser power
3. Noise in background increases as  $(\text{laser power})^{1/2}$ .

$$S/N \sim (\text{laser power})^{1/2}$$



**Define detection limit as a S/N of 3:**

On-column detection limits of  $\sim 10^{-20}$  moles/band.

Off-column (sheath flow) detection limits of  $\sim 10^{-21}$  moles/band.

Specialized Cases: Sheath flow- 1 molecule

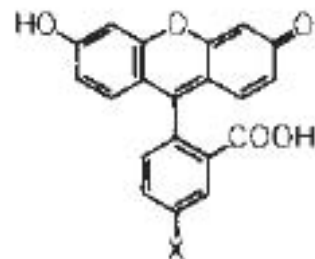
# Fluorescent Dyes for DNA Sequencing

## Need Four Dyes With These Attributes:

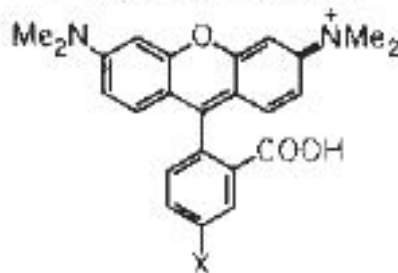
1. A common excitation  $\lambda$ .
2. High yet similar molar absorbances.
3. Four well-separated emission  $\lambda$ .
4. High yet similar quantum efficiencies.
5. Minimal and similar  $\mu$  shifts when attached to DNA strands.
6. Common set of fluorescent reagents for all sequencing.

# Initial Four Dyes for DNA Sequencing

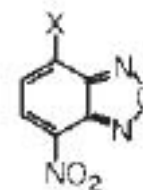
Each base-specific  
reaction (Sanger rxn)  
had a different dye-  
labeled primer:



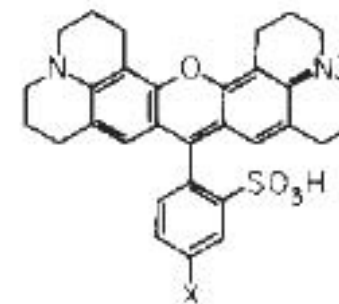
Fluorescein



Tetramethylrhodamine



NBD



Texas red

	Absorption max (nm)	Emission max (nm)
Fluorescein (FAM)	493	516
4-Chloro-7-nitrobenzo-2-1-diazole (NBD)	475	540
Tetramethyl-rhodamine (TMR)	556	582
Texas Red (TR)	599	612

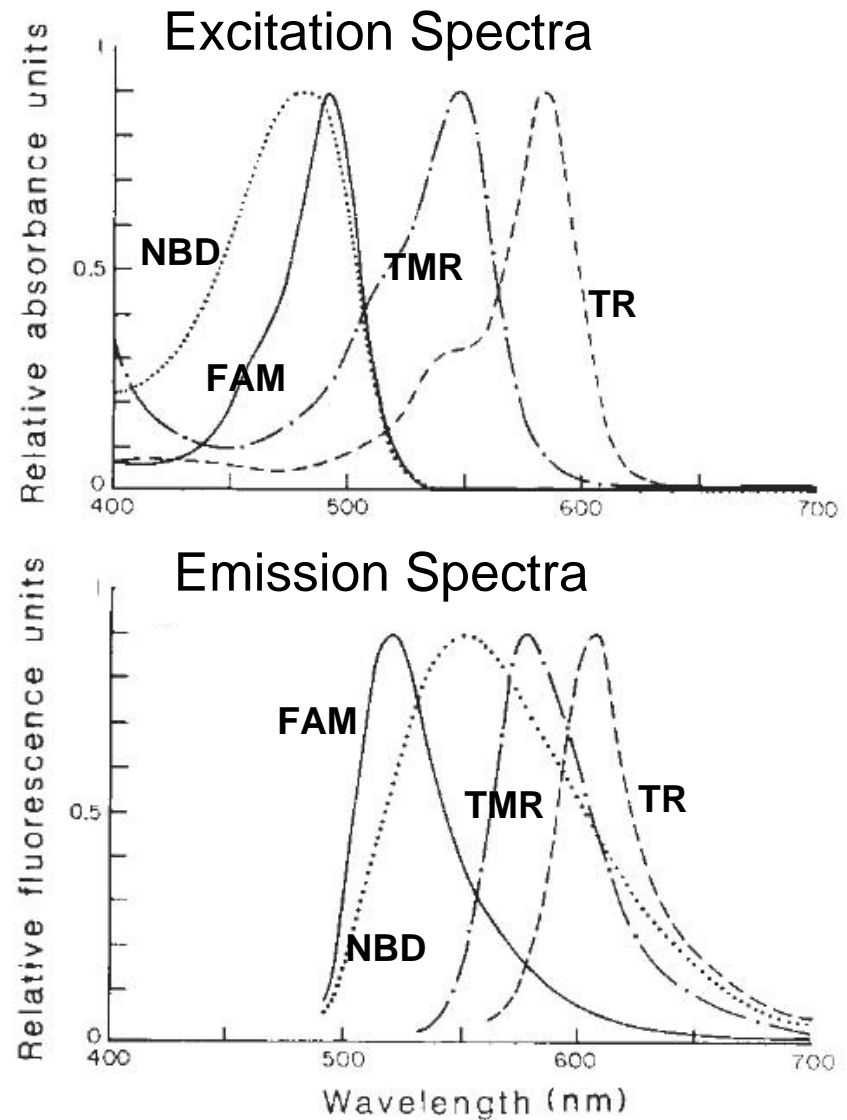
Smith, L.M. et al 1986, *Nature* 321:674-9.

# Issues With Initial Four Dyes

## Issues:

1. Required 2 excitation wavelengths.
2. Dyes were not equally bright.
3. Emission  $\lambda$  overlap.
4.  $\mu$  shifts for the different dyes are not similar.
5. Need 4 different primers for each sequencing rxn.

Smith, L.M. et al 1986, *Nature* 321:674-9.



# Improvements to Initial Four Dyes

## New Fluorophores:

### 1. Improved fluorescein and rhodamine derivatives-

Fluorescein-derived: JOE; Rhodamine-derived: TAMRA & ROX  
Better spectral properties.

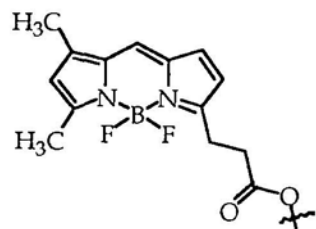
Still require 2 excitation  $\lambda$ .

Nonuniform shifts in  $\mu$ .

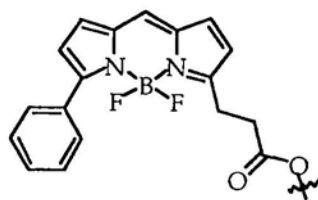
### 2. BODIPY dyes-

Good spectral prop.  
Uniform shifts in  $\mu$ .

Still require 2  
excitation  $\lambda$ .

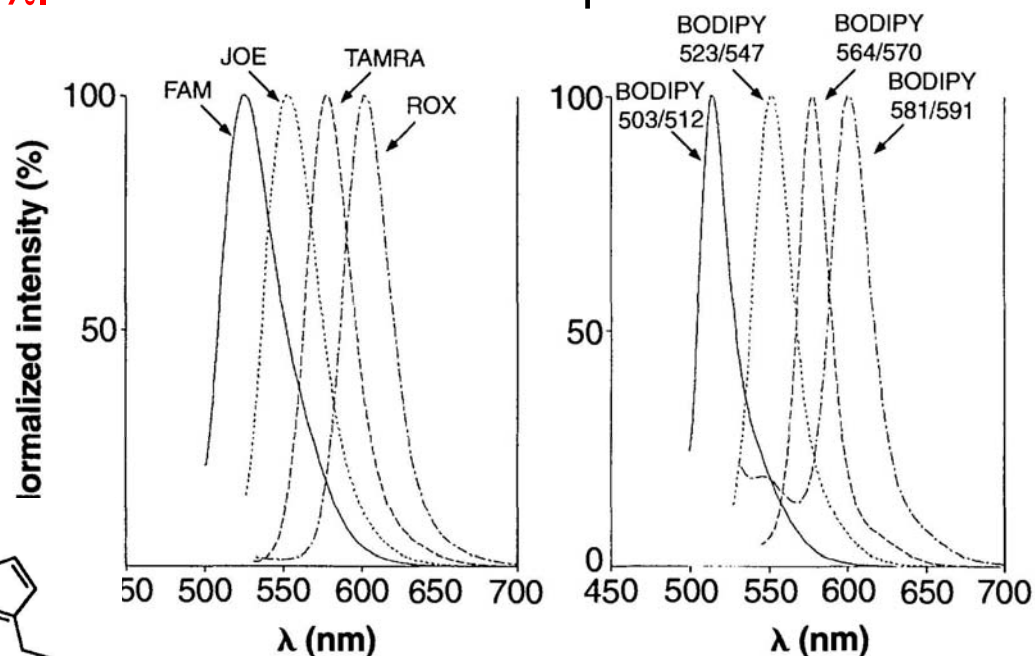


BODIPY 503/512



BODIPY 523/547

## Emission Spectra



Swerdlow et al 1990, Nucl. Acids Res. 18:1415;  
Karger et al 1991, Nucl. Acids Res. 19:4955;  
Metzker et al 1996, Science 271:1420.

# Fluorescent Dyes for DNA Sequencing

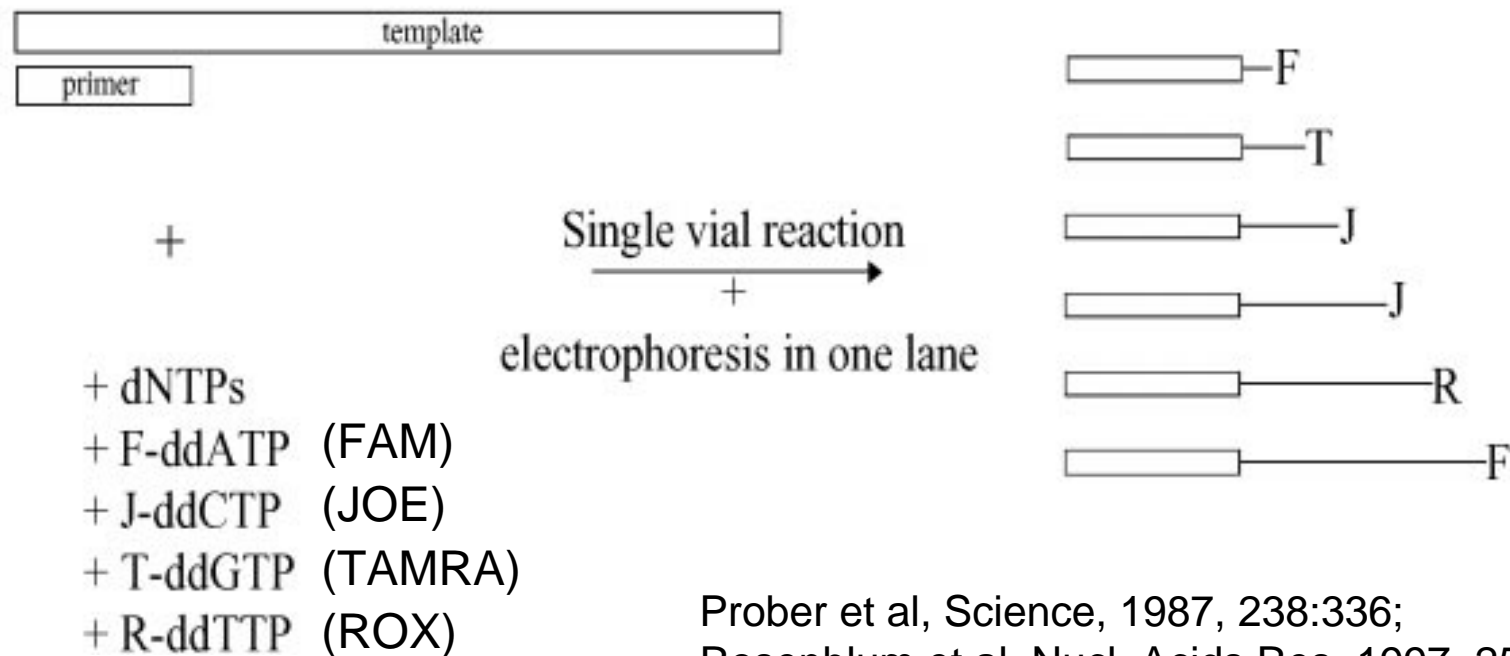
## Need Four Dyes With These Attributes:

1. A common excitation  $\lambda$ .
2. High yet similar molar absorbances.
3. Four well-separated emission  $\lambda$ . Done
4. High yet similar quantum efficiencies. Done
5. Minimal and similar  $\mu$  shifts when attached to DNA strands. Done
6. Common set of fluorescent reagents for all sequencing.

# Improvements in Dye Labeling Technology

**Dye-Labeled Terminators-** Fluorophore is linked to the ddNTP terminator. Use the same 4 terminators for all sequencing reactions.

**Note:** These also required improvements in the polymerase so it could utilize the labeled terminators.



Prober et al, Science, 1987, 238:336;  
Rosenblum et al, Nucl. Acids Res. 1997, 25:4500

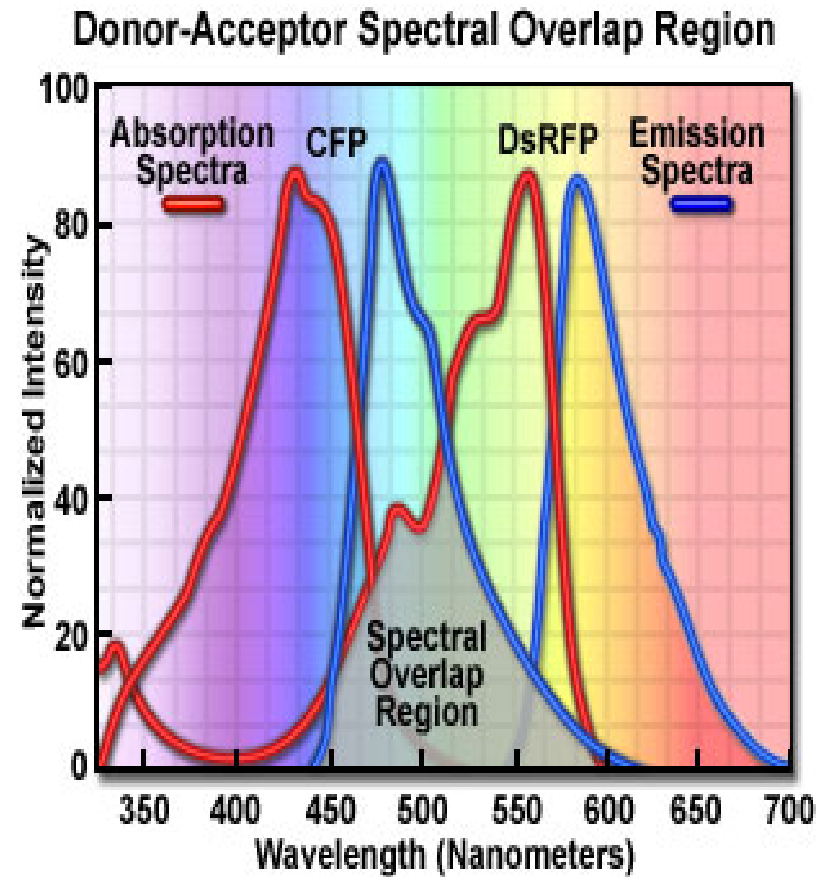
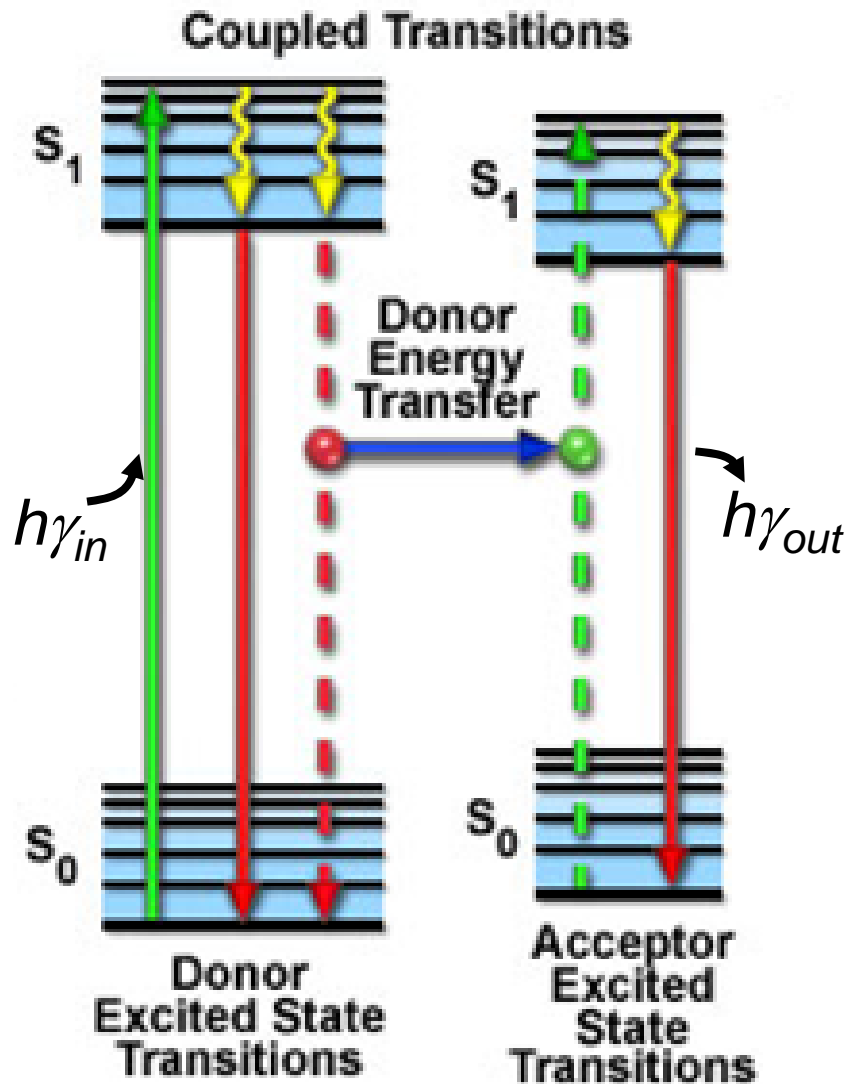


# Fluorescent Dyes for DNA Sequencing

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# Fluorescence Resonance Energy Transfer

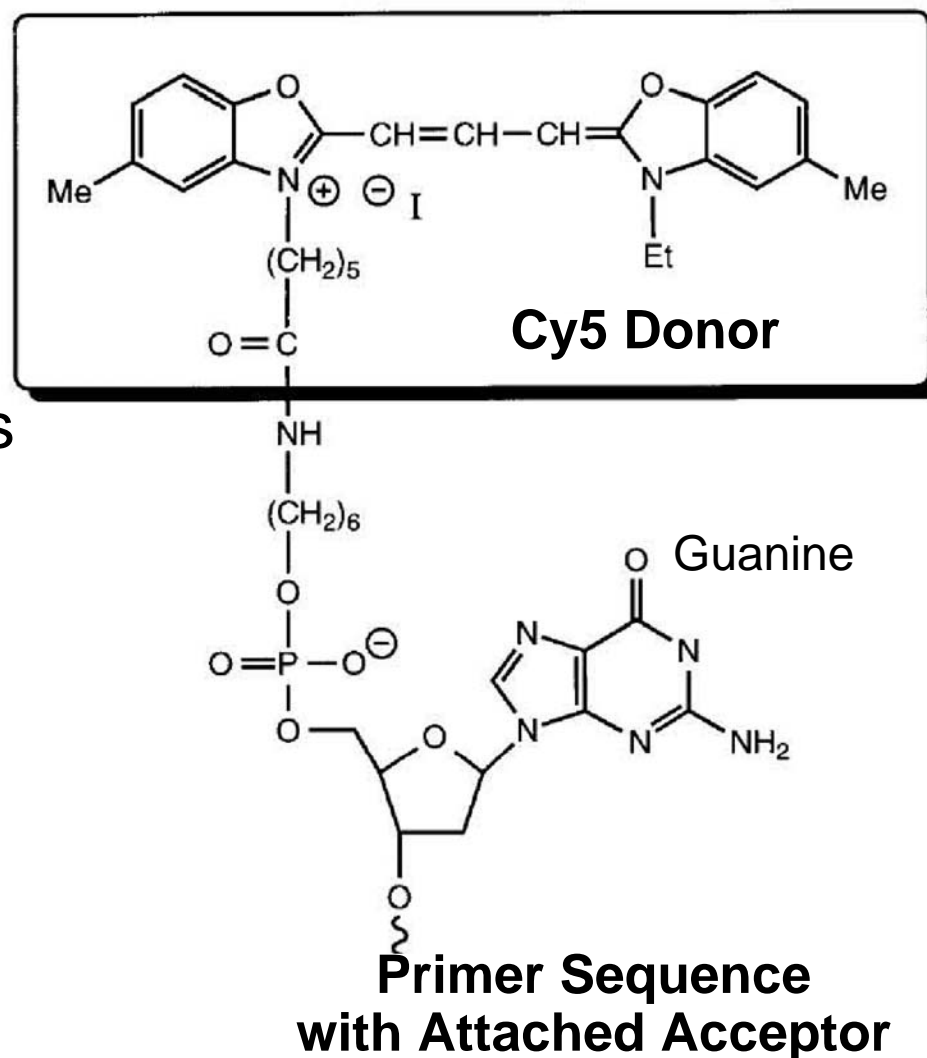


# Energy Transfer Dyes on Primers Permit Single Wavelength Excitation!

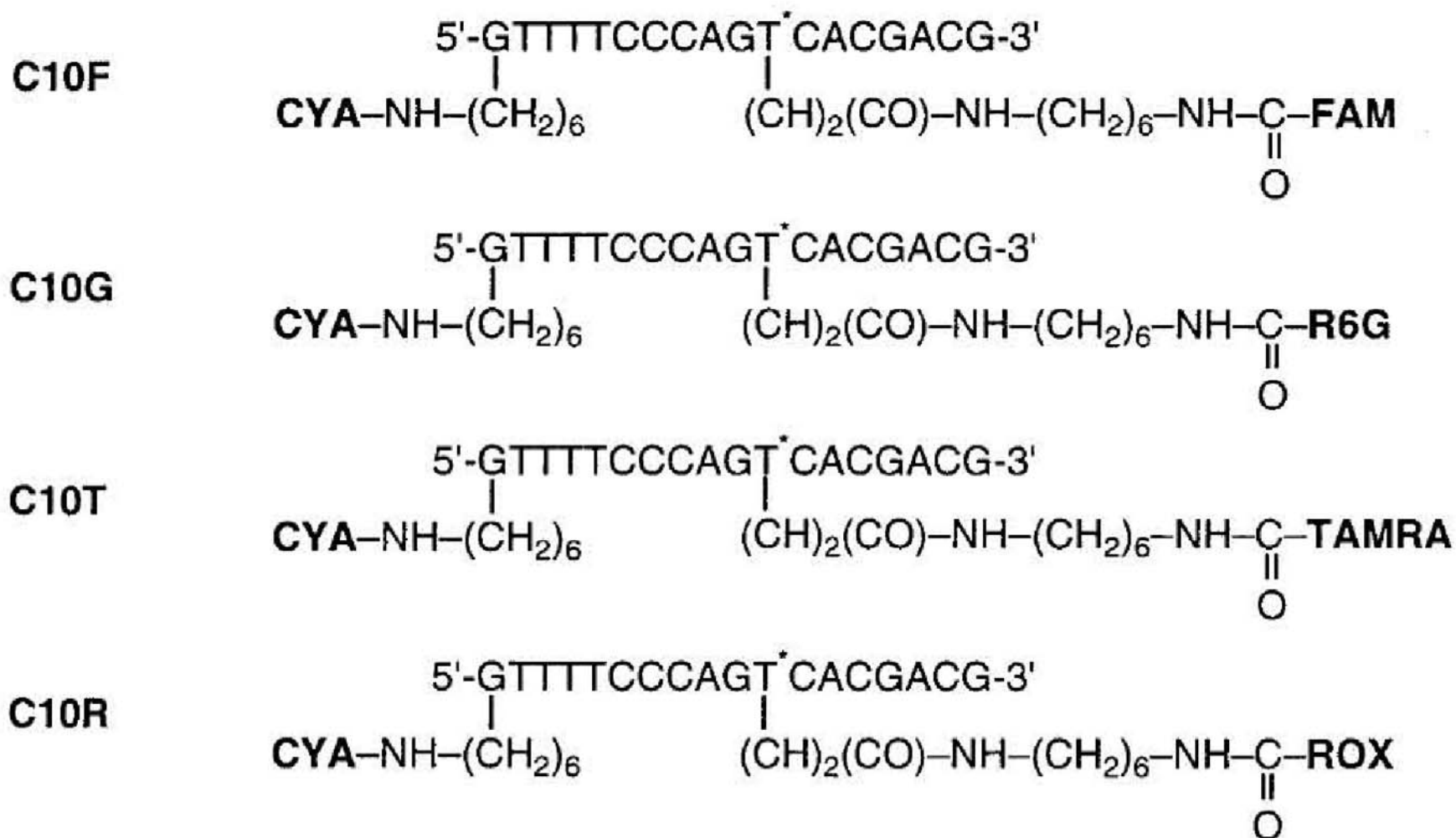
## Two dyes per primer:

1. Common donor  
FAM or Cy5  
(common exc.- 488 nm)
2. DNA spacer between dyes
3. Different acceptors  
FAM, JOE, TAMRA, ROX

Developed by Mathies' and Glazer's labs. Their paper using a FAM donor (PNAS 1995, 92:4347) is on the web site.

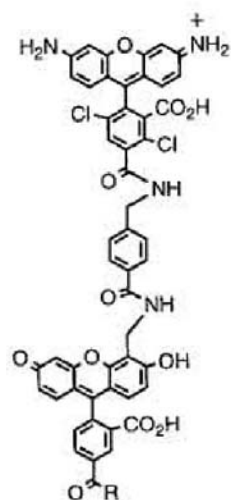


## Primers with Cy5 Donor and An Acceptor

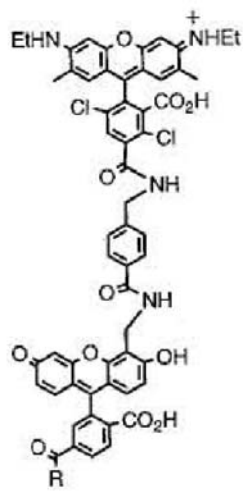


Hung et al, 1996, Anal. Chem. 243:15.

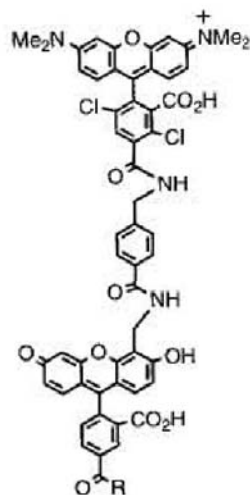
# Energy Transfer Pairs for Terminators



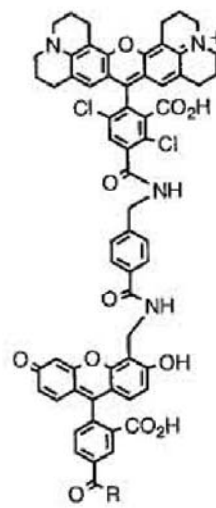
5CFB-dR110



6CFB-dR6G



5CFB-dTMR

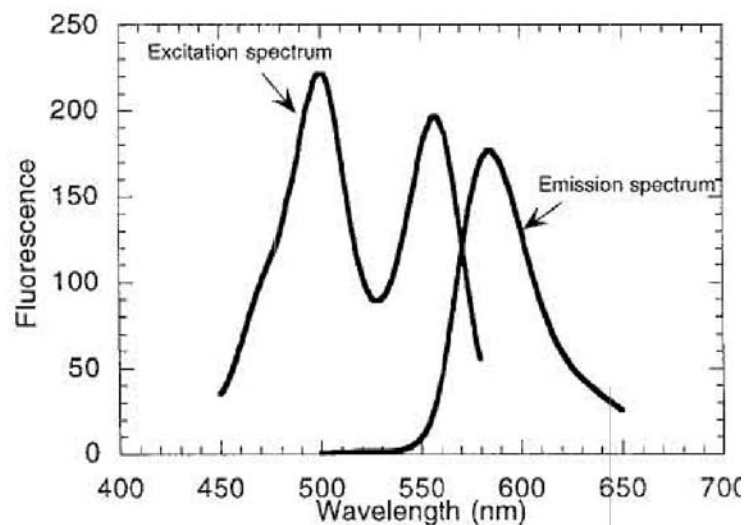


5CFB-dROX

Rhodamine  
Derivatives  
(Acceptor)

Linker

Carboxy-  
Fluorescein  
(Donor)

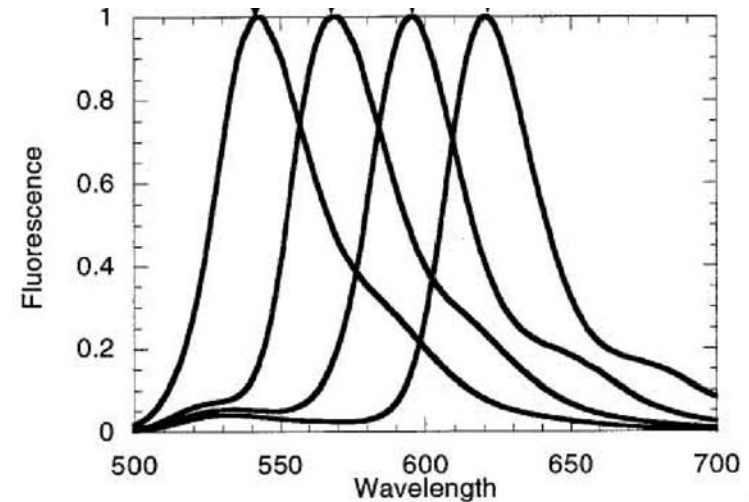
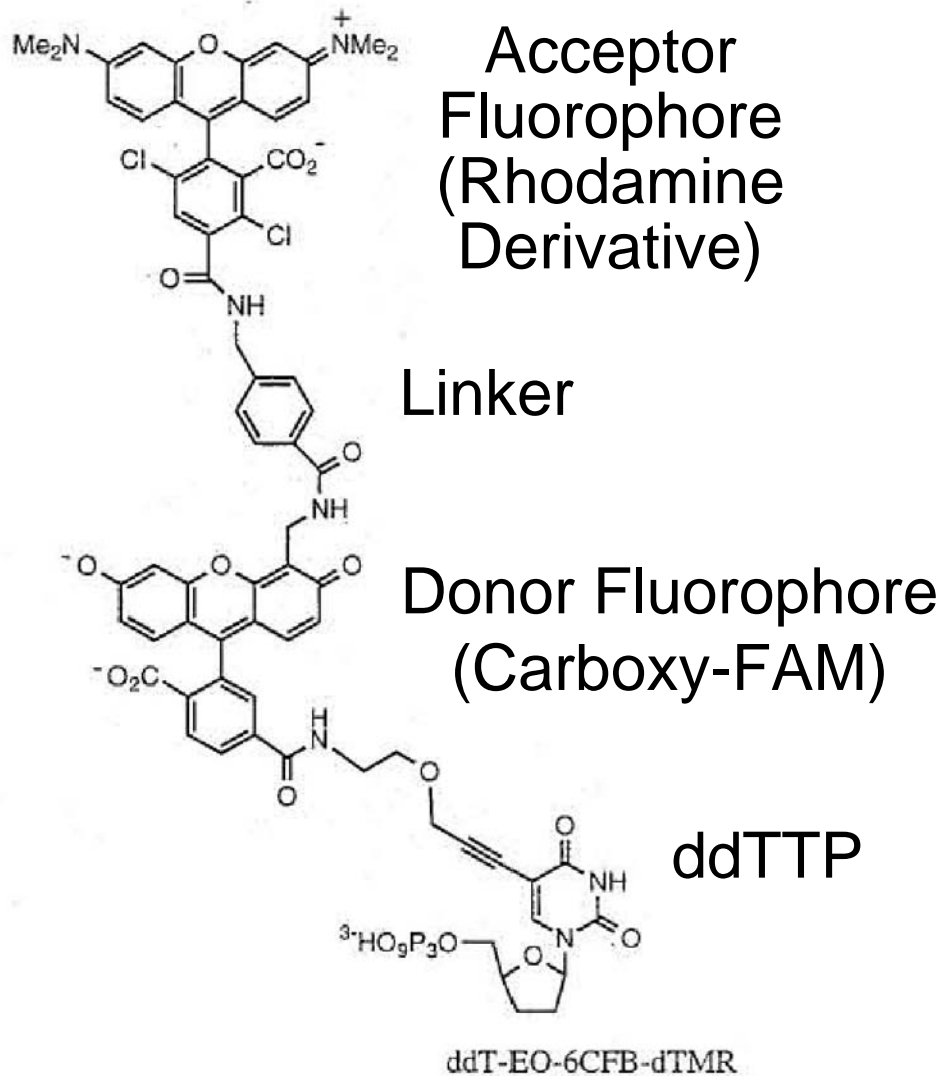


Excitation Spectra-  
Peaks from FAM & Rhodamine

Emission Spectra-  
Single Rhodamine Dye Peak

Lee et al, 1997, Nucl. Acids Res. 25:2816.

# Energy Transfer Terminators- Single $\lambda$ Excitation



Emission Spectra of the Four E.T. Terminators (*"Big-Dye" Terminators<sup>TM</sup>* of PE Applied Biosystems)

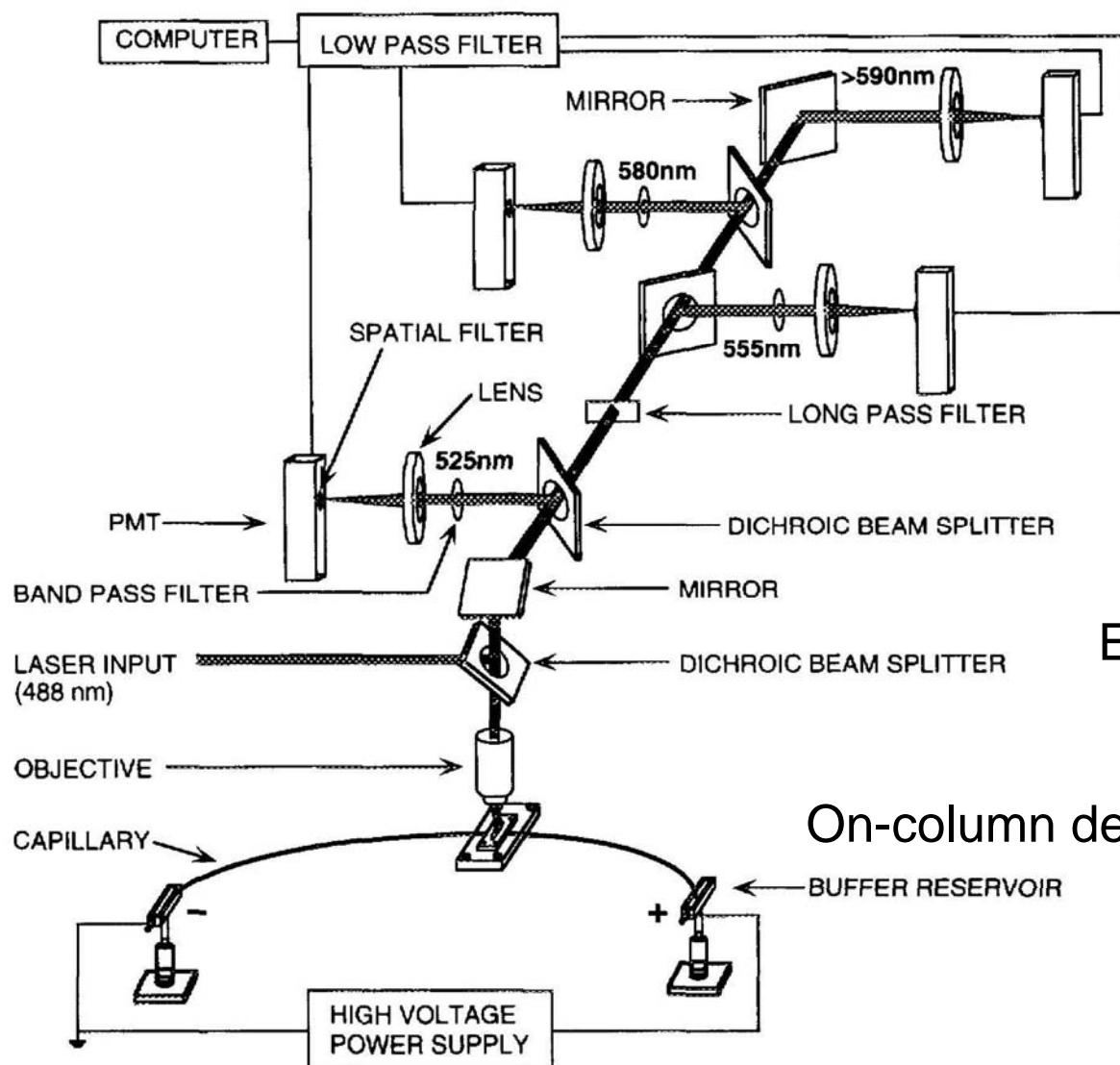
Rosenblum et al, 1997, Nucl. Acids Res. 25:4500.

# Fluorescent Dyes for DNA Sequencing

## Need Four Dyes With These Attributes:

1. A common excitation  $\lambda$ . Done
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# Detection of Multiple Emission Wavelengths



A succession of dichroic beam splitters direct different  $\lambda$  to the appropriate PMT.

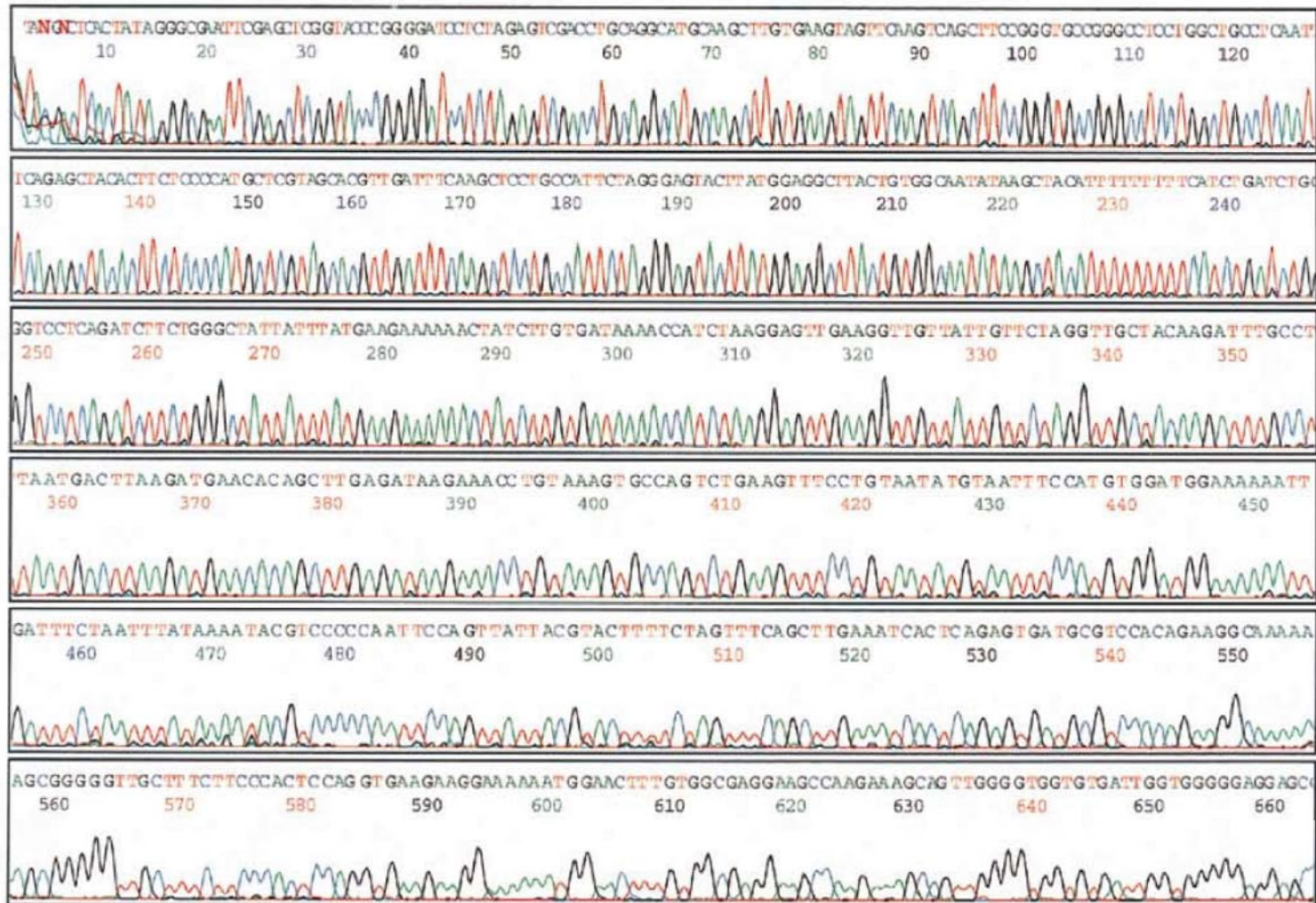
Epifluorescence geometry

On-column detection

Ju et al, 1995,  
Anal. Biochem.  
231:131.



# Sequencing Run- Energy Transfer Terminators



Lee et al, 1997, Nucl. Acids Res. 25:2816.

# References- Detection

1. Wu S, Dovichi NJ. (1989) High-sensitivity fluorescence detector for fluorescein isothiocyanate derivatives of amino acids separated by capillary zone electrophoresis. **Journal of Chromatography**, 480: 141-155.
2. Li L, McGowan LB. (2000) Improving signal to background ratio for on-the-fly fluorescence lifetime detection in capillary electrophoresis. **Electrophoresis**, 21: 1300-1304.
3. Yeung ES, Wang P, Li W, Giese RW. (1992) Laser fluorescence detector for capillary electrophoresis. **Journal of Chromatography**, 608: 73-77.
4. Swerdlow H, Wu S, Harke H, Dovichi NJ. (1990) Capillary gel electrophoresis for DNA sequencing: Laser-induced fluorescence detection with the sheath flow cuvette. **Journal of Chromatography**, 516: 61-67.
4. Roach MC, Gozel P, Zare RN. (1988) Determination of methotrexate and its major metabolite, 7-hydroxymethotrexate, using capillary zone electrophoresis and laser-induced fluorescence detection. **Journal of Chromatography**, 426:129-140.
5. Lee TT, Yeung ES. (1992) High-sensitivity laser-induced fluorescence detection of native proteins in capillary electrophoresis. **Journal of Chromatography**, 595: 319-325.
6. Chen DY, Swerdlow HP, Harke HR, Zhang JZ, Dovichi NJ. (1991) Low-cost, high-sensitivity laser-induced fluorescence detection for DNA sequencing by capillary gel electrophoresis. **Journal of Chromatography**, 559: 237-246.
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9. Cheng YF, Dovichi NJ. (1988) Subattomole amino acid analysis by capillary zone electrophoresis and laser-induced fluorescence. **Science**, 242: 562-564.
10. Lee TT, Yeung ES. (1996) Capillary electrophoresis detectors: Lasers. **Methods in Enzymology**, 270: 419-449.
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12. Johnson, M.E., Landers,J.P. (2004) Fundamentals and practice for ultrasensitive laser-induced fluorescence detection in microanalytical systems. **Electrophoresis** 25: 35133527.

# References- Fluorescent Dyes

1. Zakeri H, Amparo G, Chen SM, Spurgeon S, Kwok PY. (1998) Peak height pattern in dichloro-rhodamine and energy transfer dye terminator sequencing. **Biotechniques**, 25: 406-414.
2. Franca LTC, Carrilho E, Kist TBL. (2002) A review of DNA sequencing techniques. **Quarterly Reviews of Biophysics**, 35: 169-200.
3. Hung SC, Ju J, Mathies RA, Glazer AN. (1996) Cyanine dyes with high absorption cross section as donor chromophores in energy transfer primers. **Analytical Biochemistry**, 243: 15-27.
4. Hung SC, Ju J, Mathies RA, Glazer AN. (1996) Energy transfer primers with 5- or 6-carboxyrhodamine-6G as acceptor chromophores. **Analytical Biochemistry**, 238: 165-170.
5. Ju J, Kheterpal I, Scherer JR, Ruan C, Fuller CW, Glazer AN, Mathies RA. (1995) Design and synthesis of fluorescence energy transfer dye-labeled primers and their application for DNA sequencing and analysis. **Analytical Biochemistry**, 231: 131-140.
6. Ju J, Glazer AN, Mathies RA. (1996) Energy transfer primers: A new fluorescence labeling paradigm for DNA sequencing and analysis. **Nature Medicine**, 2: 246-249.
7. Ju J, Ruan C, Fuller CW, Glazer AN, Mathies RA. (1995) Fluorescence energy transfer dye-labeled primers for DNA sequencing and analysis. **Proceedings of the National Academy of Science, USA**, 92: 4347-4351.
8. Kricka LJ. (2002) Stains, labels and detection strategies for nucleic acids assays. **Annals of Clinical Biochemistry**, 39: 114-129.
9. Lee LG, Spurgeon SL, Heiner CR, Benson SC, Rosenblum BB, Menchen SM, Graham RJ, Constantinescu A, Upadhyya KG, Cassel JM. (1997) New energy transfer dyes for DNA sequencing. **Nucleic Acids Research**, 25: 2816-2822.
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11. Rosenblum BB, Lee LG, Spurgeon SL, Khan SH, Menchen SM, Heiner CR, Chen SM. (1997) New dye-labeled terminators for improved DNA sequencing patterns. **Nucleic Acids Research**, 25: 4500-4504.